Lecture 5: Search with Other Agents

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https://shuaili8.github.io

https://shuaili8.github.io/Teaching/CS3317/index.html

Part of slide credits: CMU AI & http://ai.berkeley.edu

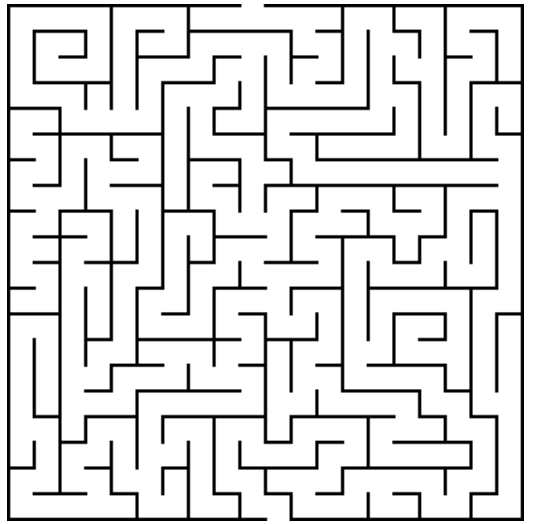
Warm Up

- How is Tic Tac Toe different from maze search?

X	O	X
	O	X
	O	

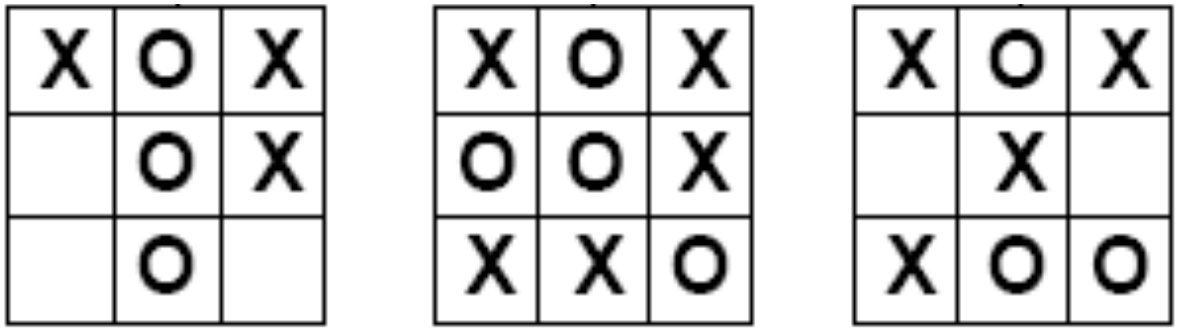
X	O	X
O	O	X
X	X	O

X	O	X
	X	
X	O	O

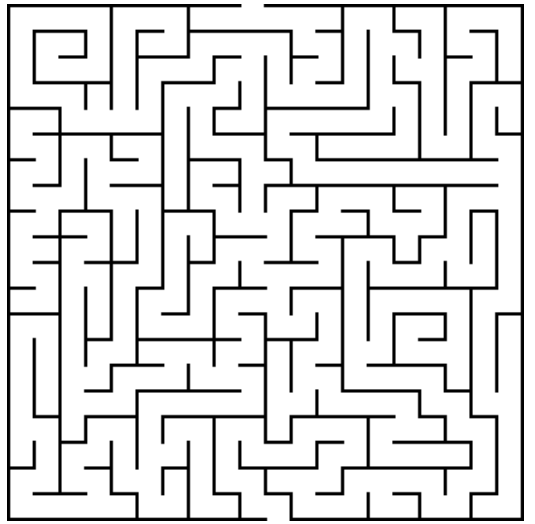


Warm Up 2

- How is Tic Tac Toe different from maze search?



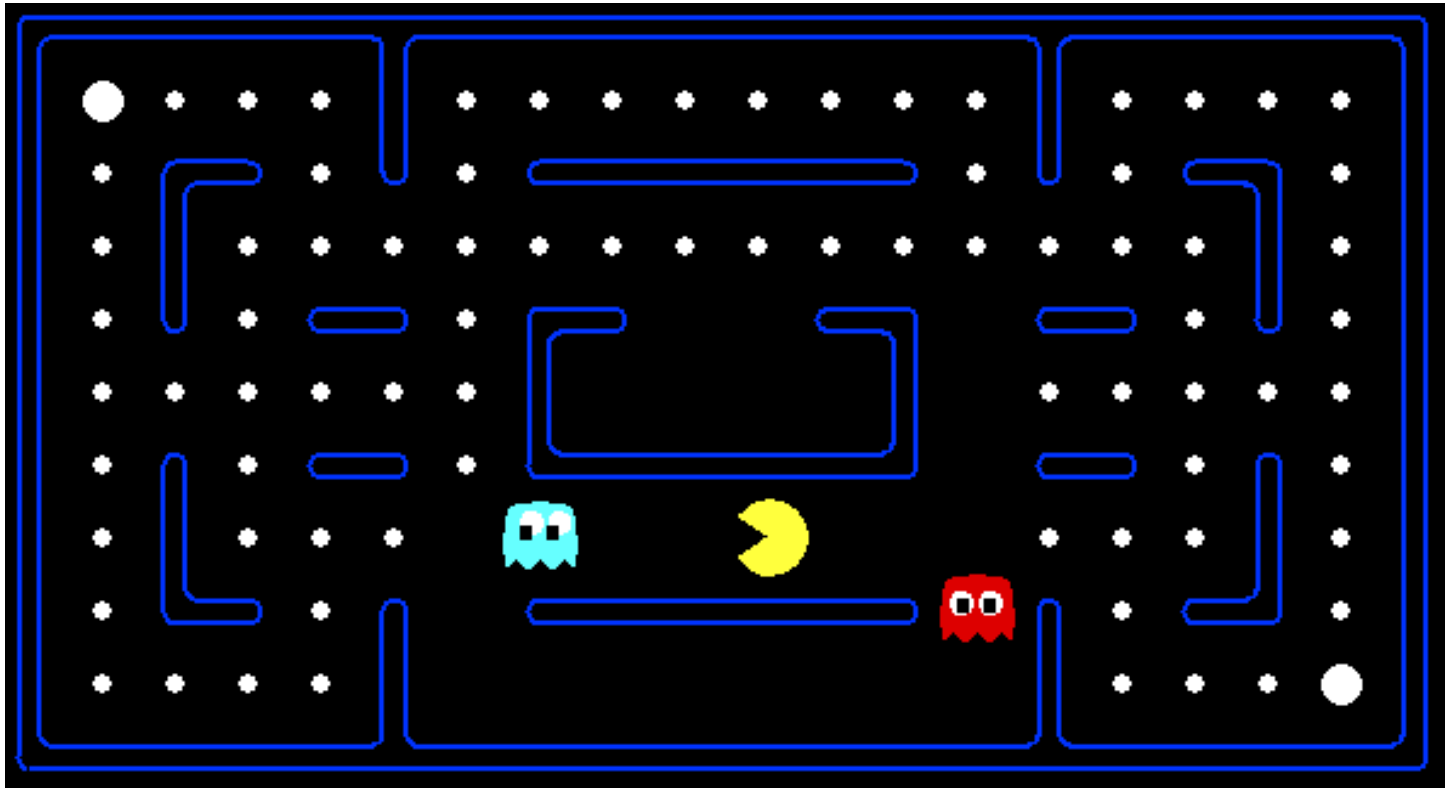
Multi-Agent, Adversarial, Zero Sum



Single Agent

Game Type

Behavior from Computation

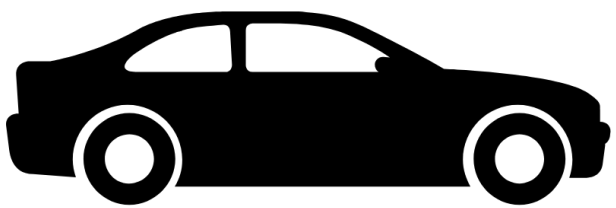


[Demo: mystery pacman (L6D1)]

Video of Demo Mystery Pacman

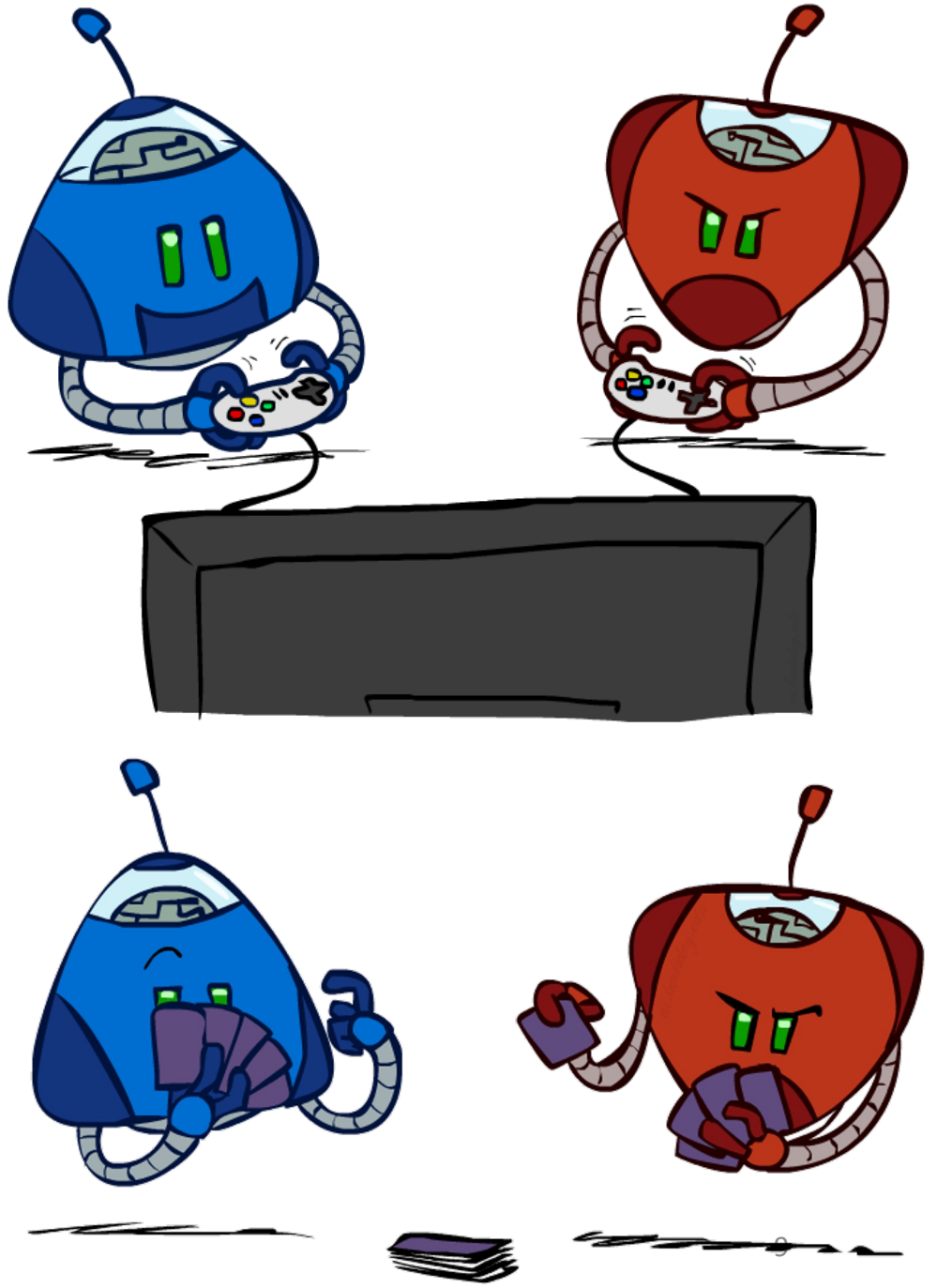
Agents Getting Along with Other Agents

Agents Getting Along with Humans

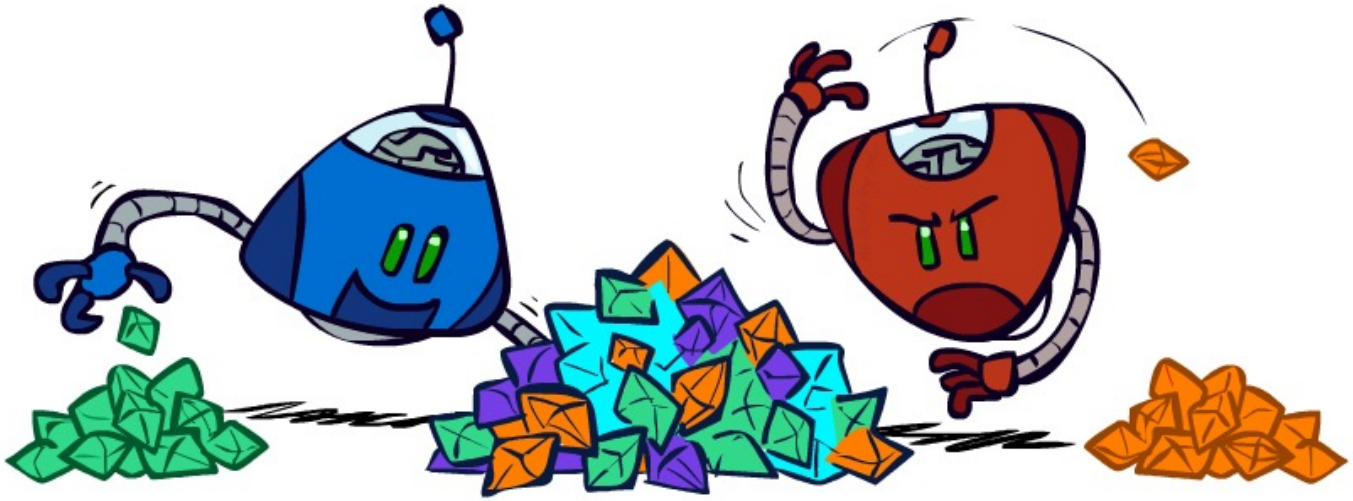


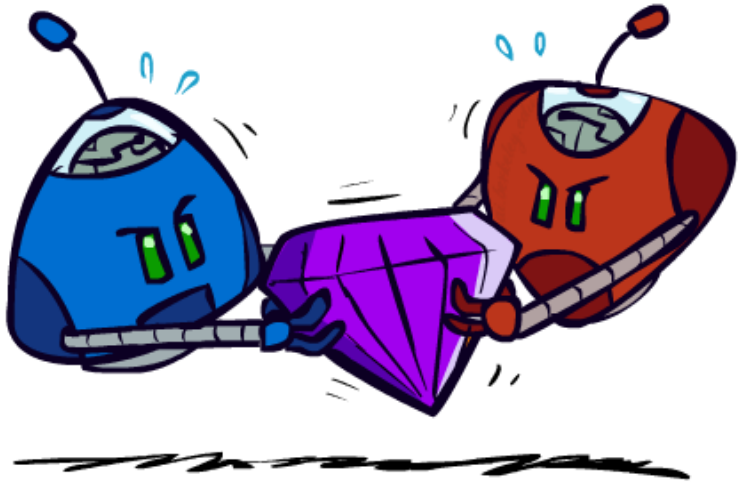
Types of Games

- Many different kinds of games!
- Axes:
  - Deterministic or stochastic?
  - One, two, or more players?
  - Zero sum?
  - Perfect information (can you see the state)?
- Want algorithms for calculating a ***contingent plan*** (a.k.a. strategy or policy) which recommends a move for every possible eventuality



Types of Games 2





- General Games
  - Agents have independent utilities (values on outcomes)
  - Cooperation, indifference, competition, shifting alliances, and more are all possible
    - We don't make AI to act in isolation, it should a) work around people and b) help people
    - That means that every AI agent needs to solve a game
- Zero-Sum Games
  - Agents have opposite utilities (values on outcomes)
  - Lets us think of a single value that one maximizes and the other minimizes
  - Adversarial, pure competition

History of Game AI

1956 checkers

1992 backgammon

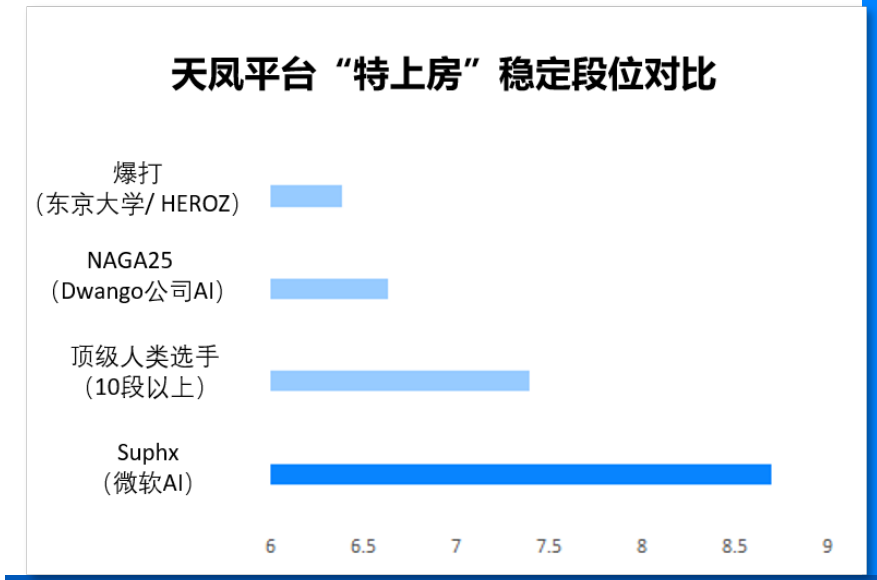
1994 checkers

1997 chess

2016 Go

2017 Texas hold’em

2019 Majiang

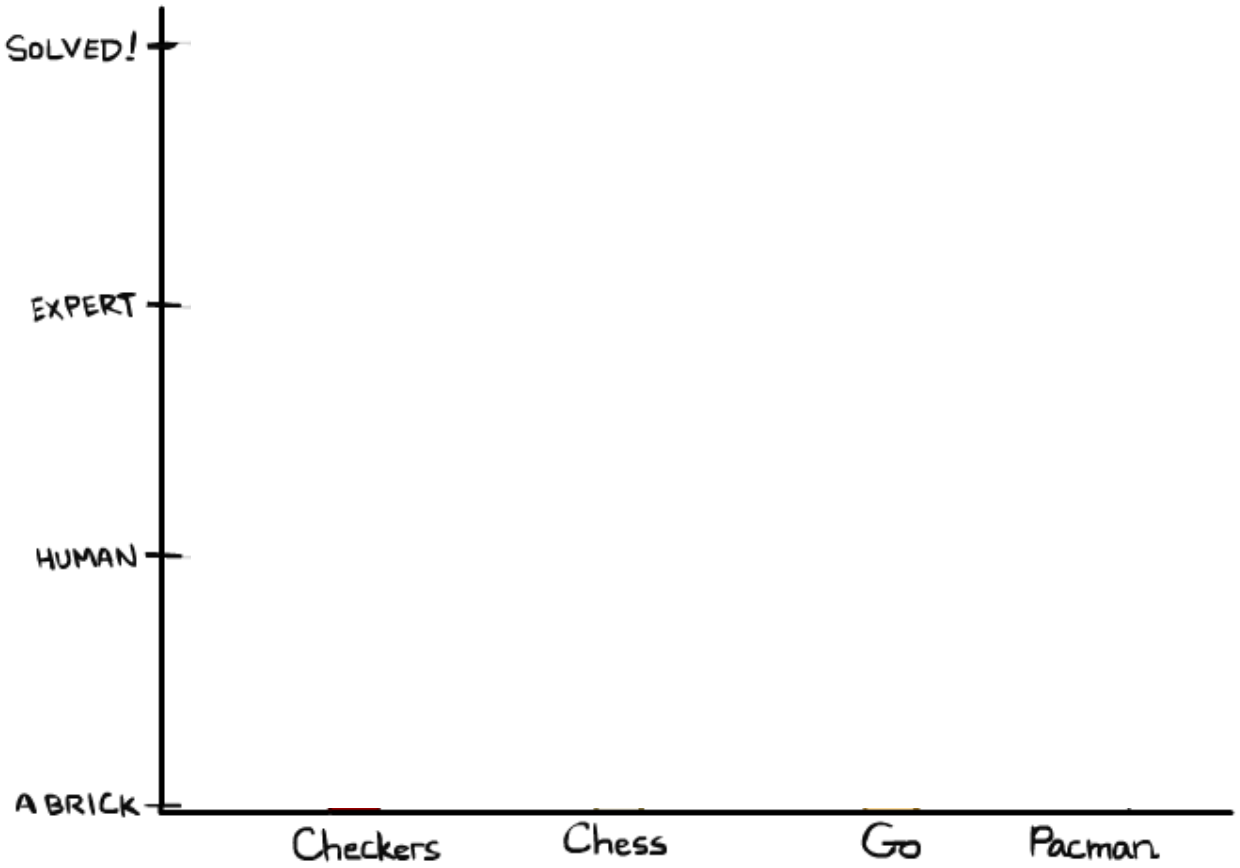


Zero-Sum Games

- **Checkers:** 1950: First computer player. 1994: First computer champion: Chinook ended 40-year-reign of human champion Marion Tinsley using complete 8-piece endgame. 2007: Checkers solved!

- **Chess:** 1997: Deep Blue defeats human champion Gary Kasparov in a six-game match. Deep Blue examined 200M positions per second, used very sophisticated evaluation and undisclosed methods for extending some lines of search up to 40 ply. Current programs are even better, if less historic.

- **Go: 2016: Alpha GO defeats human champion. Uses Monte Carlo Tree Search, learned evaluation function.**



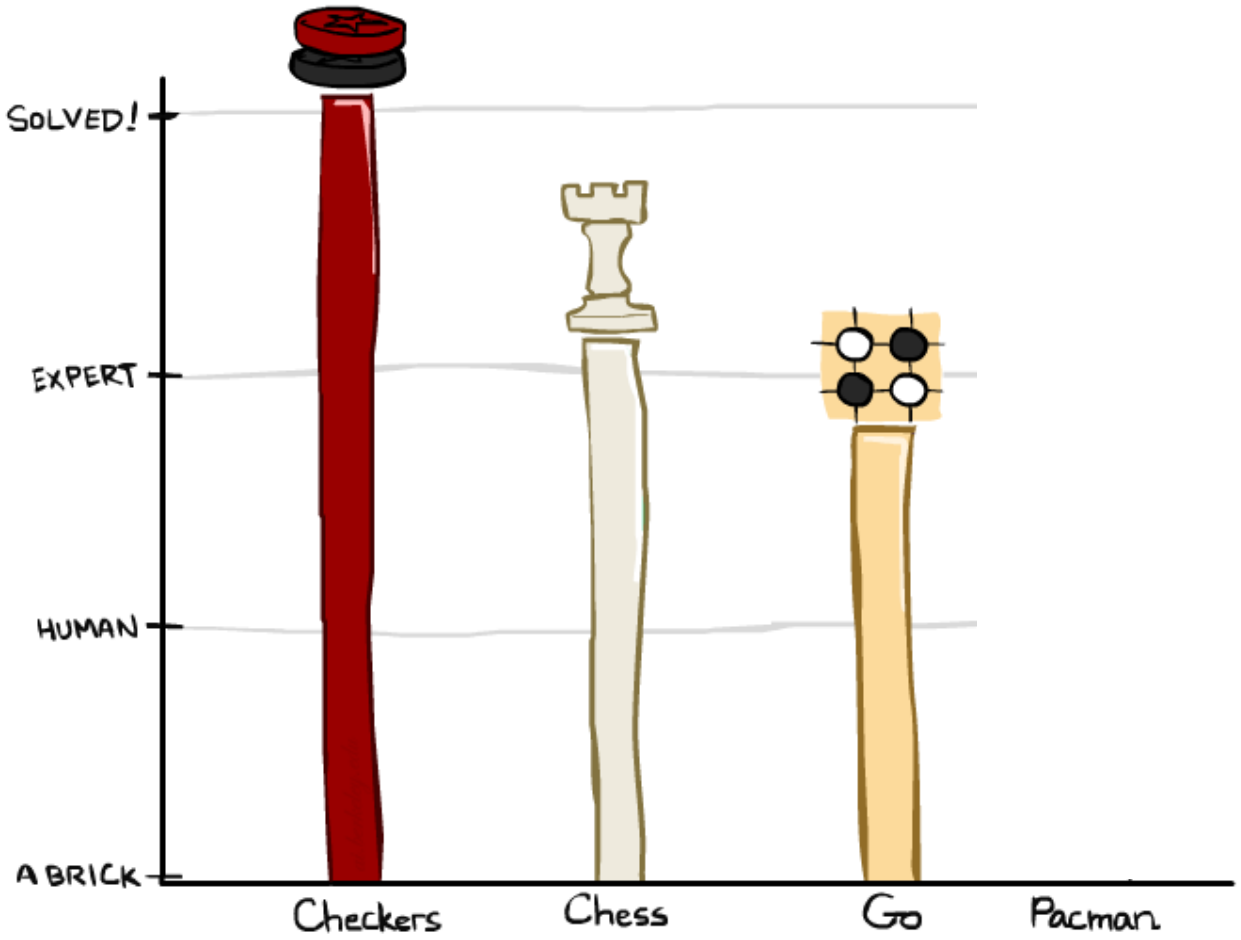
Zero-Sum Games 2

- **Checkers:** 1950: First computer player. 1994: First computer champion: Chinook ended 40-year-reign of human champion Marion Tinsley using complete 8-piece endgame. 2007: Checkers solved!

- **Chess:** 1997: Deep Blue defeats human champion Gary Kasparov in a six-game match. Deep Blue examined 200M positions per second, used very sophisticated evaluation and undisclosed methods for extending some lines of search up to 40 ply. Current programs are even better, if less historic.

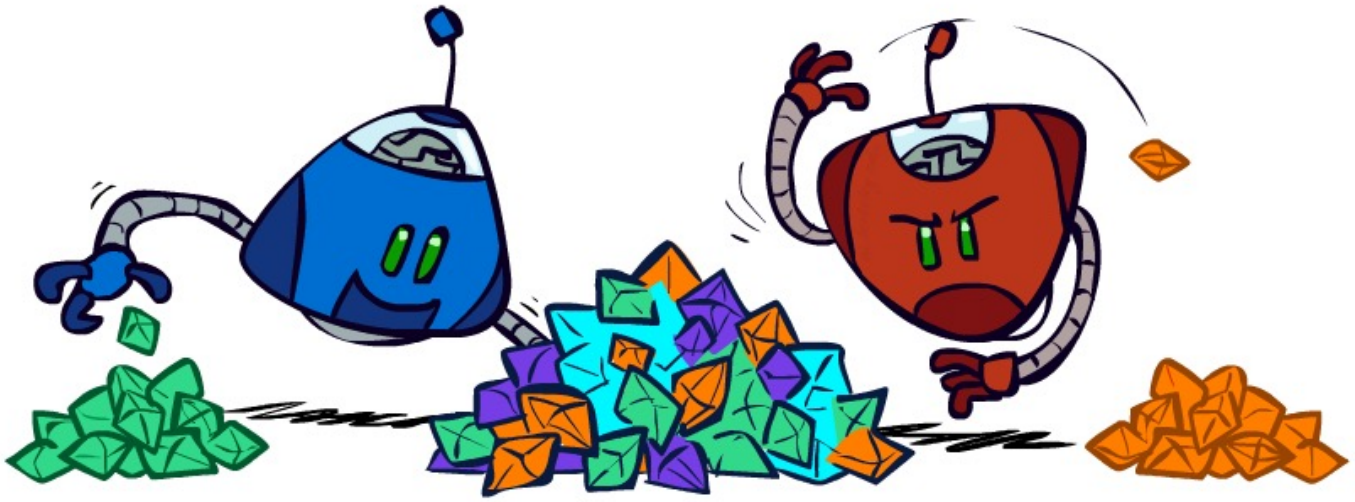
- **Go :2016: Alpha GO defeats human champion. Uses Monte Carlo Tree Search, learned evaluation function.**

- **Pacman**



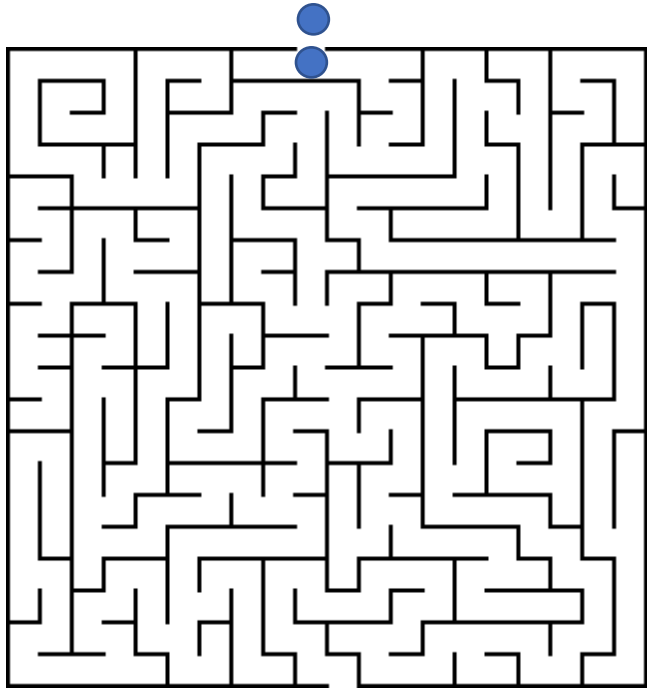
Game playing – state of the art

Types of Games 3



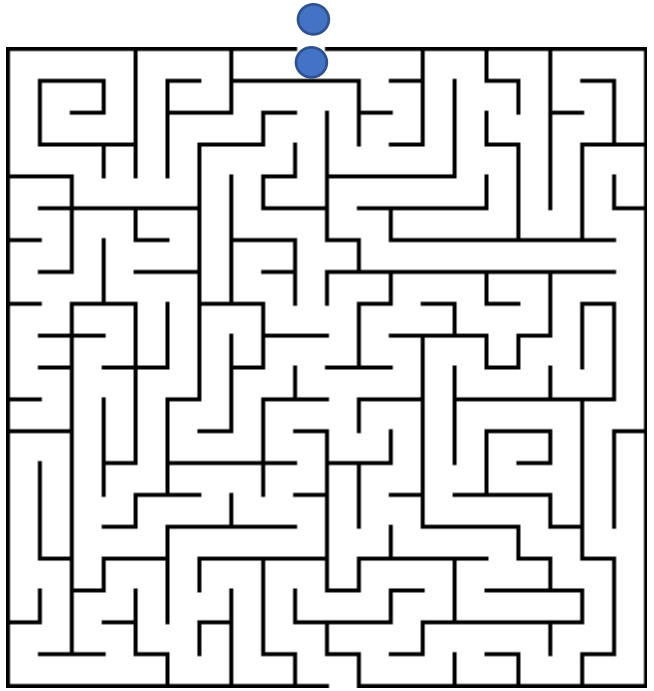
- Common payoff games
  - Discussion: Use a technique you've learned so far to solve one!

How could we model multi-agent collaborative problems?

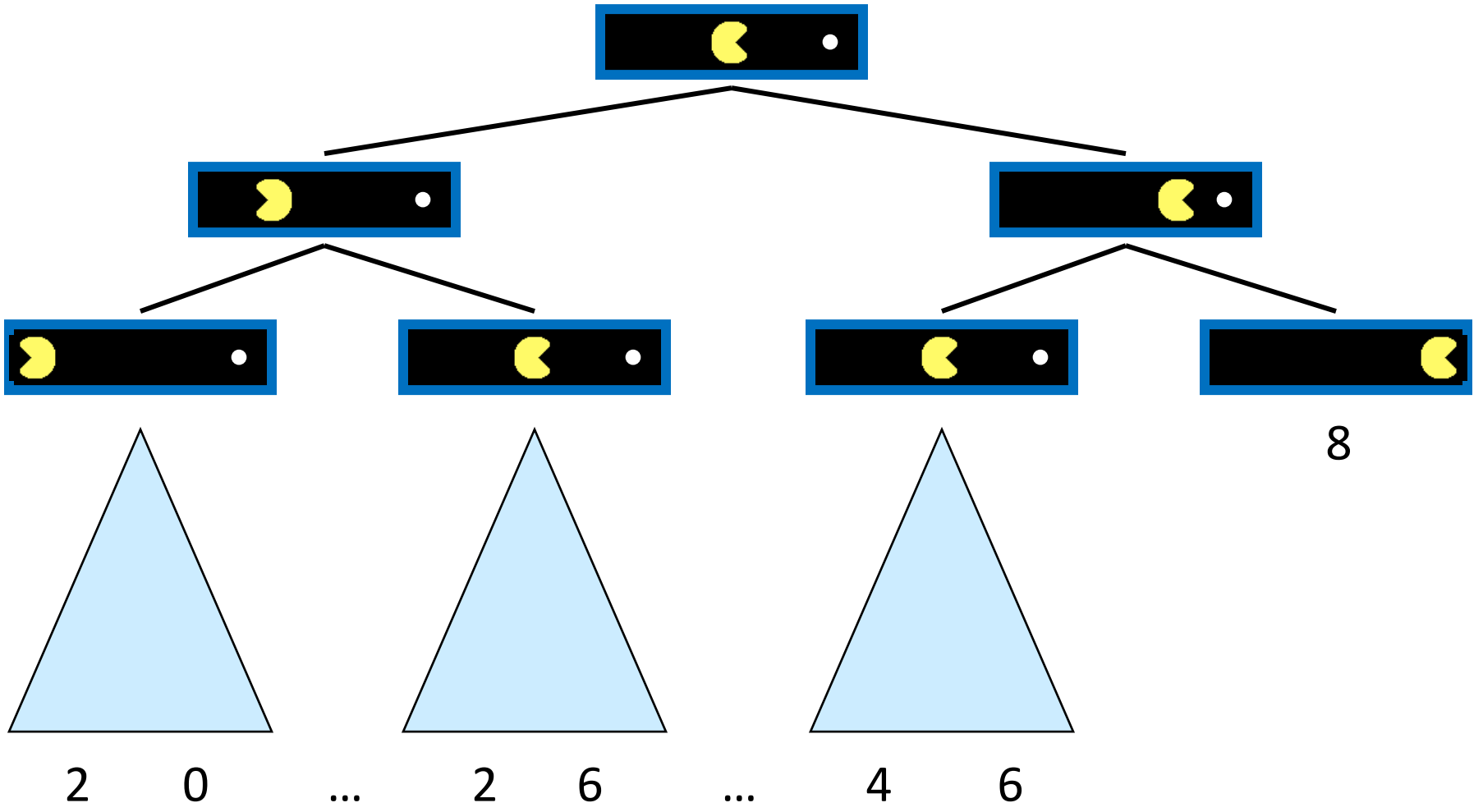


How could we model multi-agent collaborative problems? 2

- Simplest idea: each agent plans their own actions separately from others

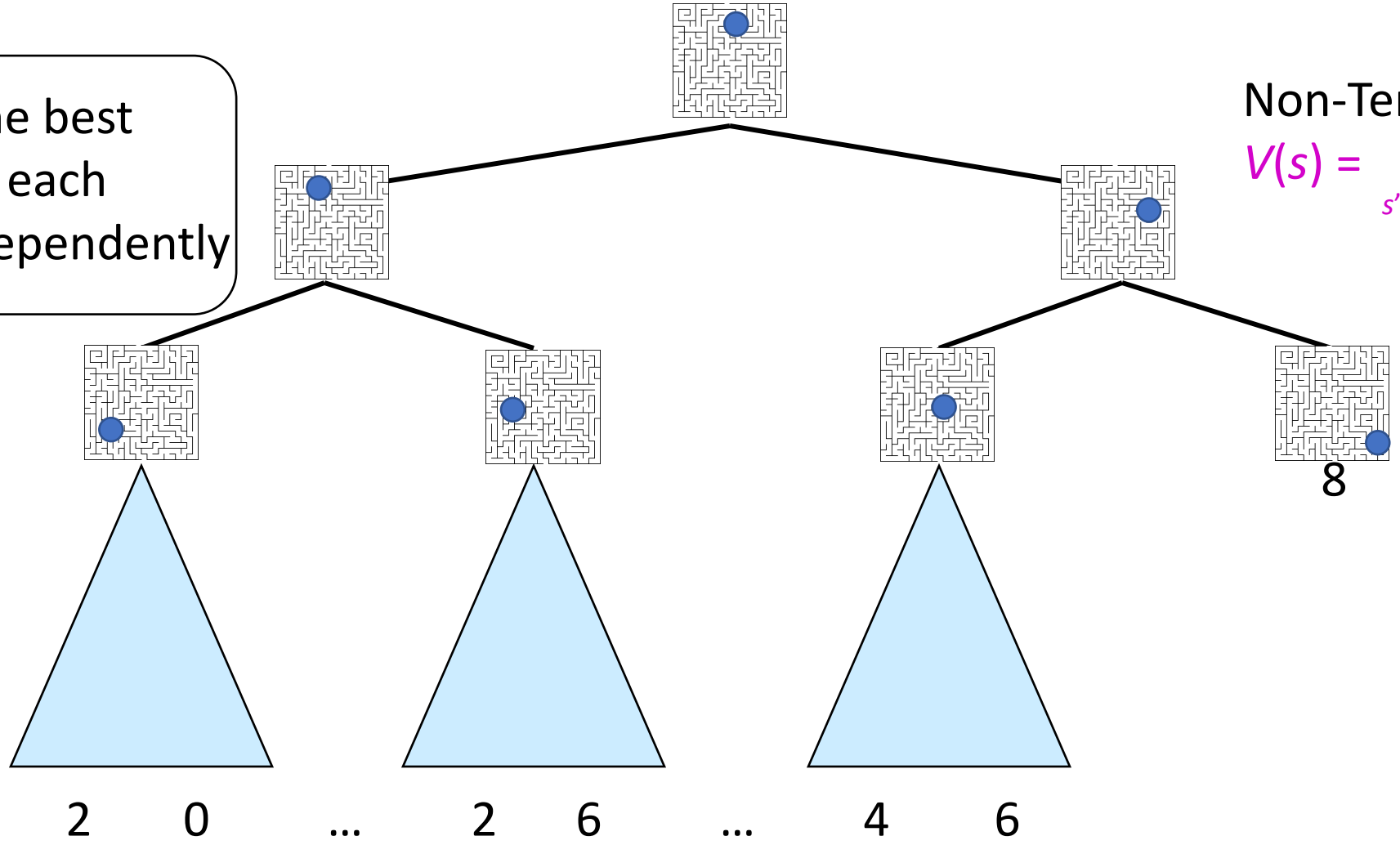


Single-Agent Trees



Many Single-Agent Trees

Choose the best action for each agent independently



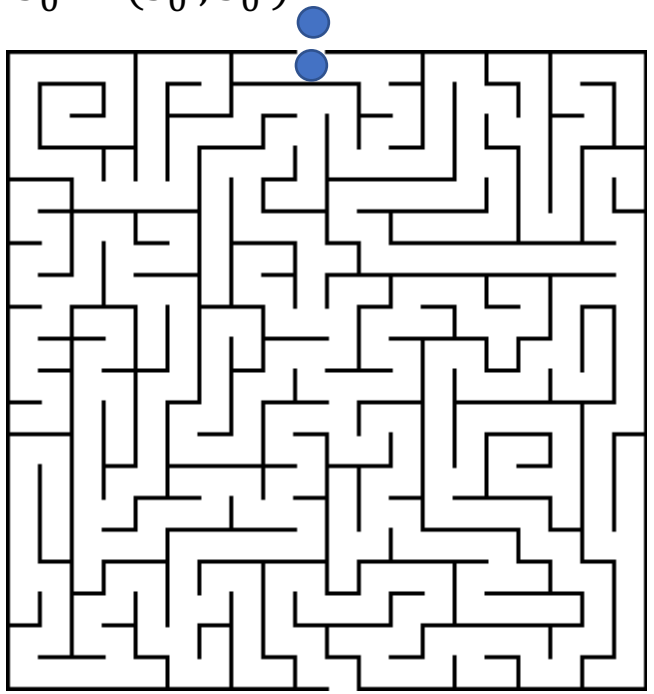
Non-Terminal States:

$V(s) = \max_{s' \in \text{successors}(s)} V(s')$

Idea 2: Joint State/Action Spaces

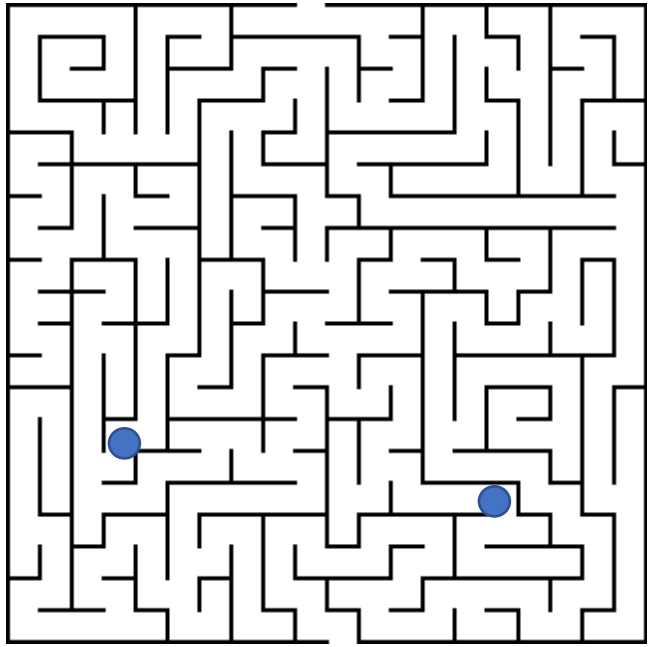
- Combine the states and actions of the N agents

$S_0 = (S_0^A, S_0^B)$



Idea 2: Joint State/Action Spaces 2

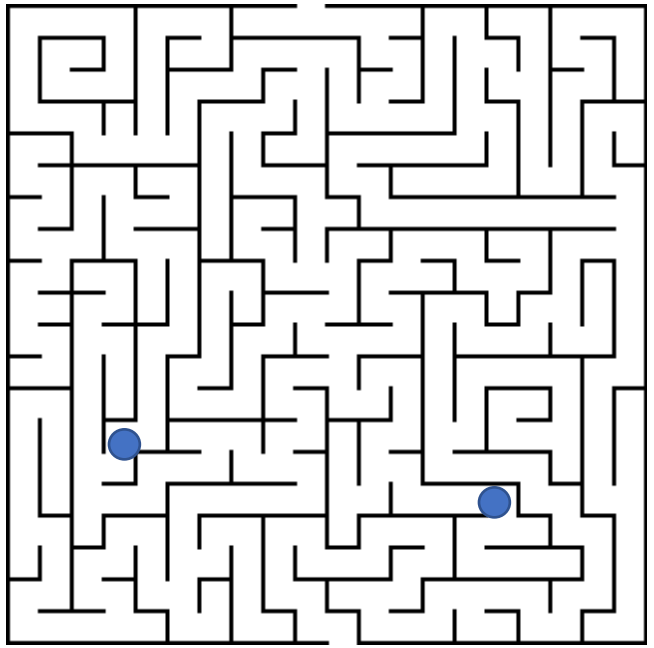
$S_K = (S_K^A, S_K^B)$



Idea 2: Joint State/Action Spaces 3

- Search looks through all combinations of all agents' states and actions
- Think of one brain controlling many agents

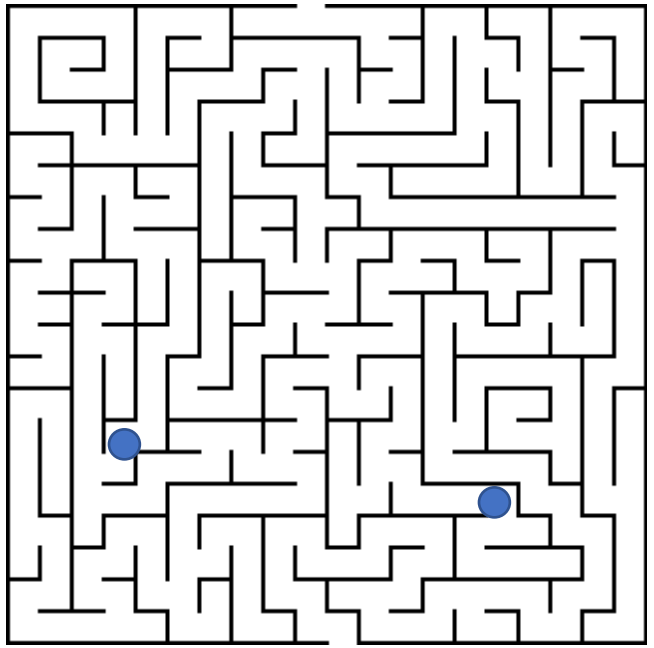
$$S_K = (S_K^A, S_K^B)$$



Idea 2: Joint State/Action Spaces 4

- Search looks through all combinations of all agents' states and actions
- Think of one brain controlling many agents

- What is the size of the state space?
- What is the size of the action space?
- What is the size of the search tree?



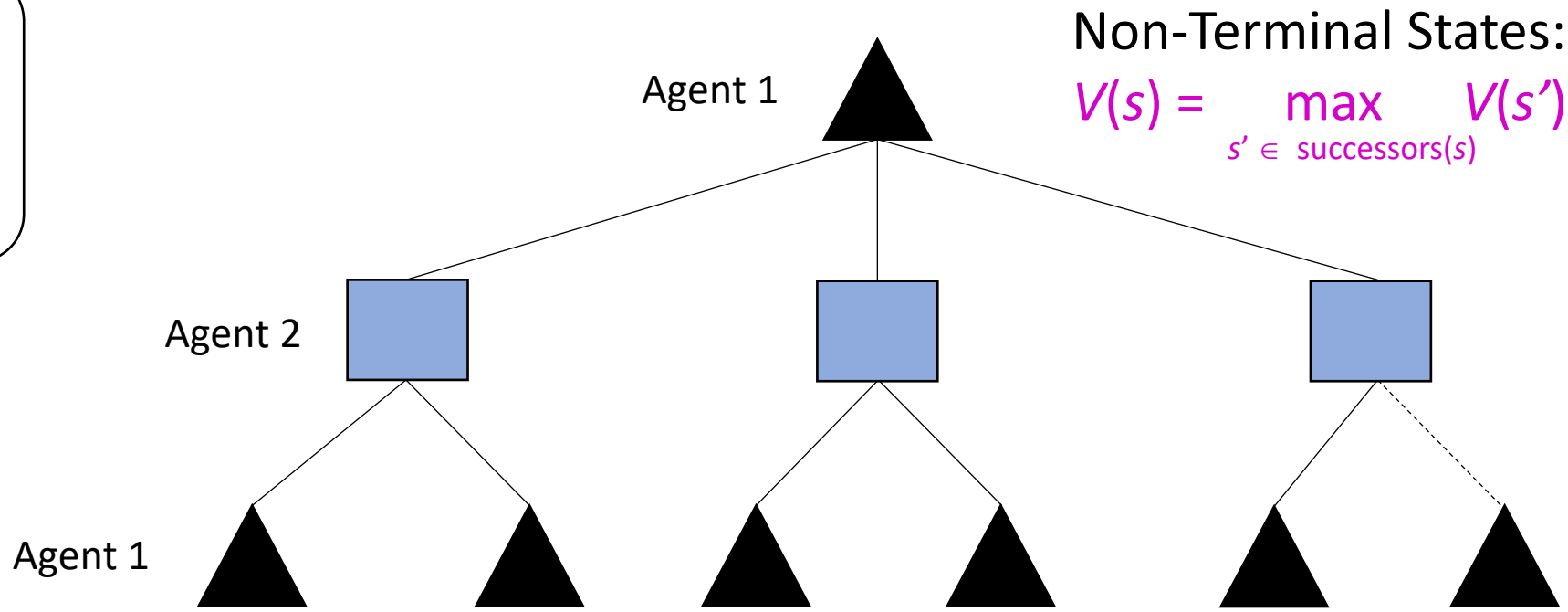
Idea 3: Centralized Decision Making

- Each agent proposes their actions and computer confirms the joint plan
  - Either accept or arrange for conflicts of the current submitted plans
- Example: Autonomous vehicles driving through intersections

Idea 4: Alternate Searching One Agent at a Time

- Search one agent's actions from a state, search the next agent's actions from those resulting states , etc…

Choose the best cascading combination of actions



Non-Terminal States:

$V(s) = \max_{s' \in \text{successors}(s)} V(s')$

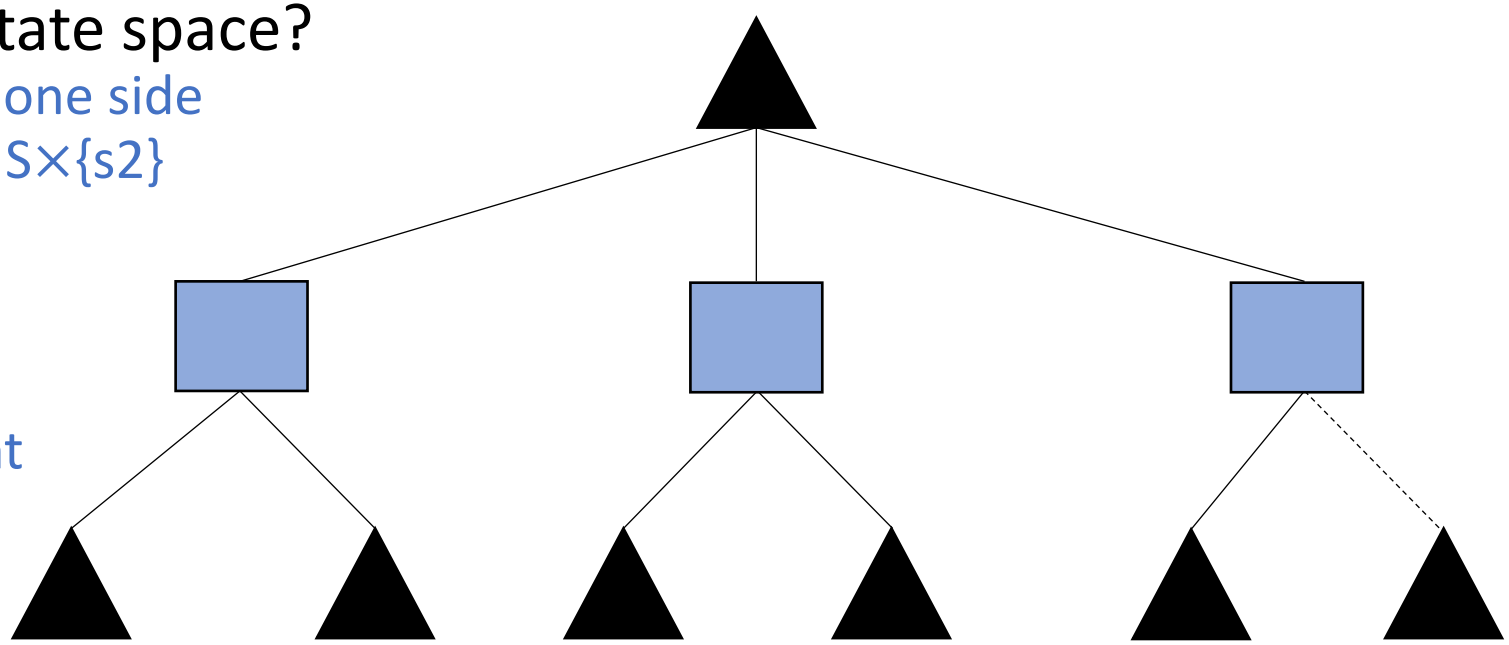
Idea 4: Alternate Searching One Agent at a Time

- Search one agent's actions from a state, search the next agent's actions from those resulting states , etc…

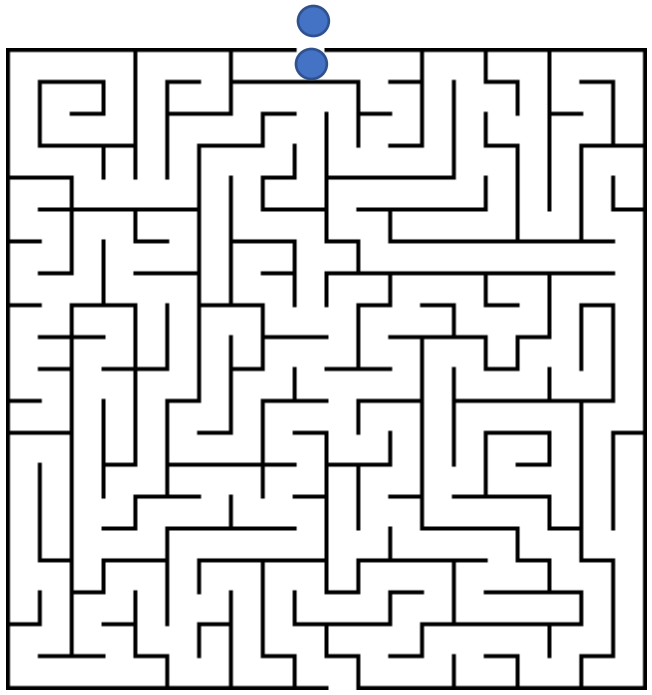
- What is the size of the state space?
  - Try to expand (s1,s2) on one side
  - Only consider space like S×{s2}

- What is the size of the action space?
  - Take action for one agent

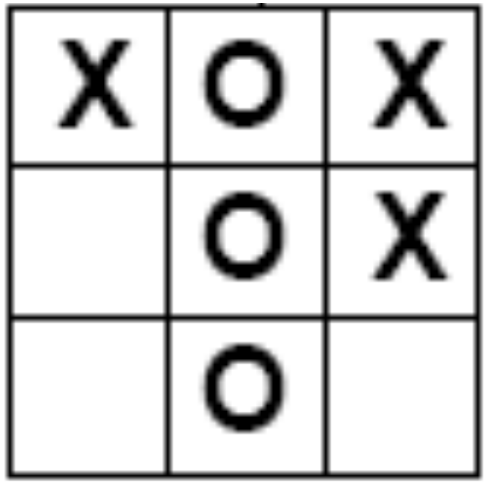
- What is the size of the search tree?



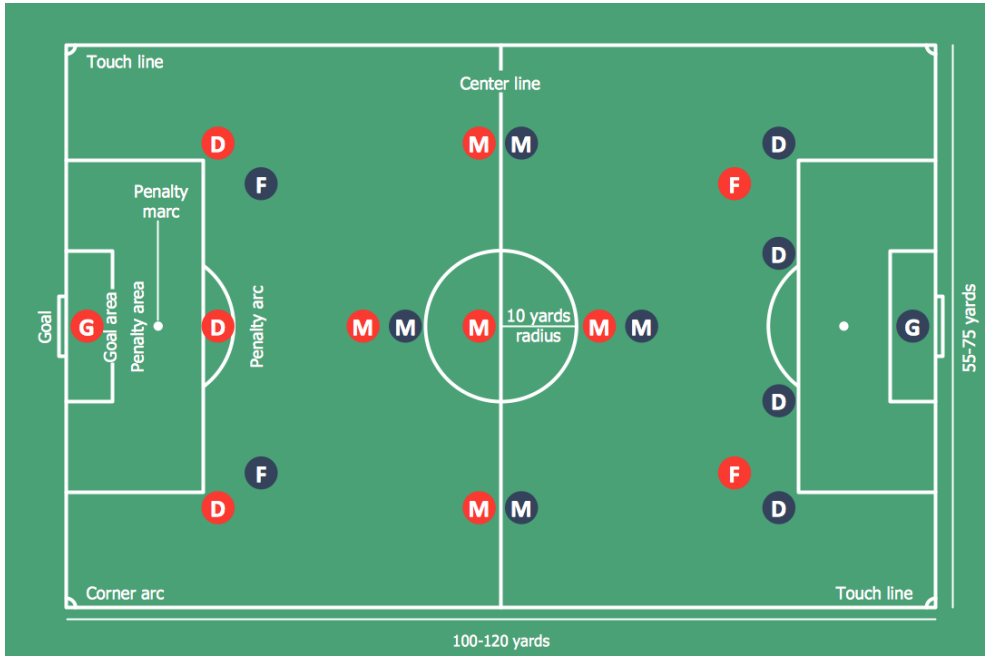
Multi-Agent Applications



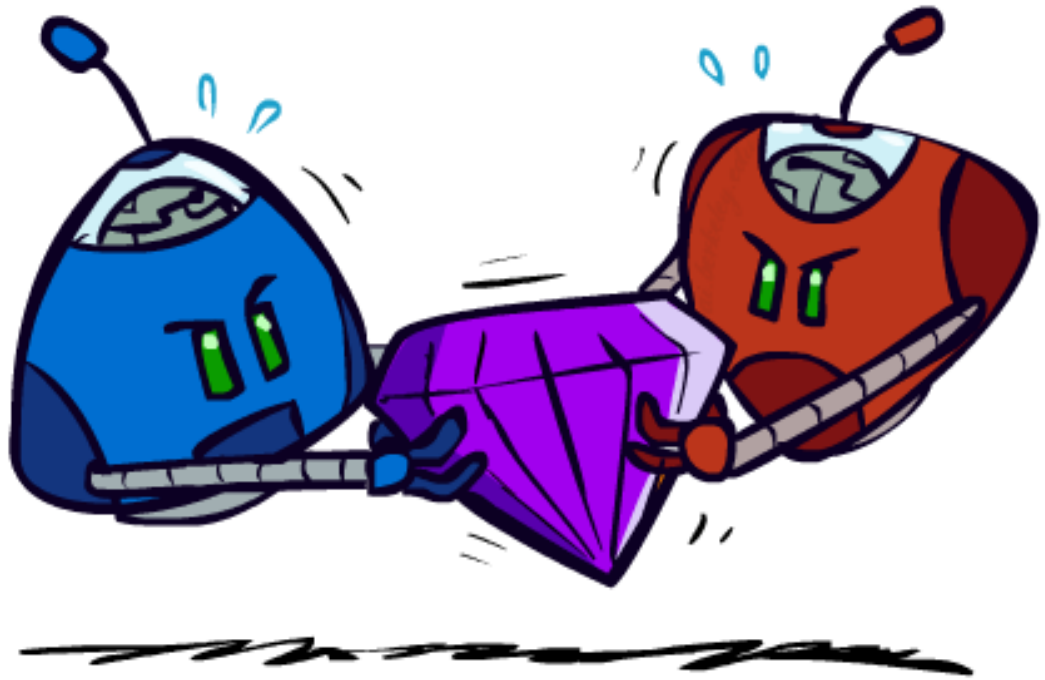
Collaborative Maze Solving



Adversarial

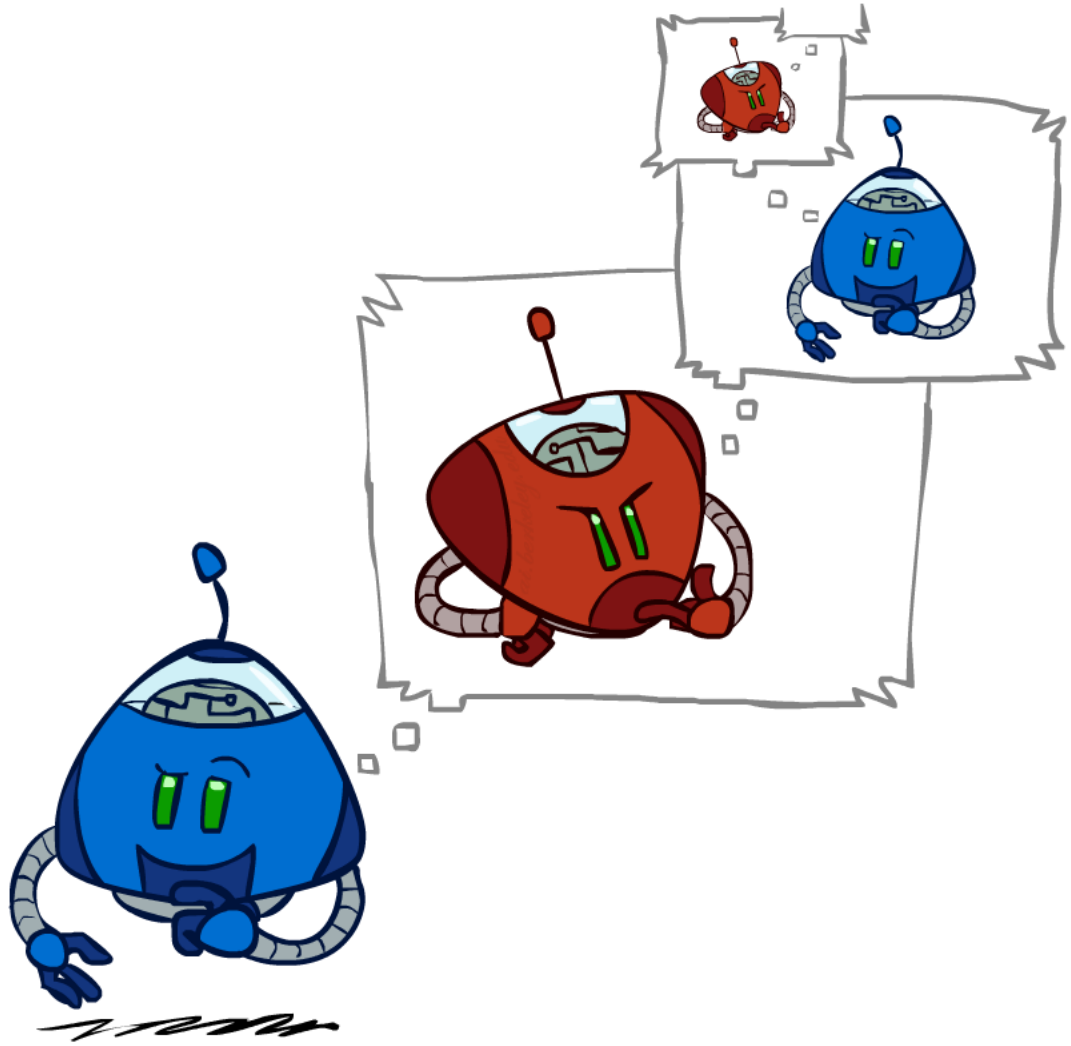


Team: Collaborative
Competition: Adversarial



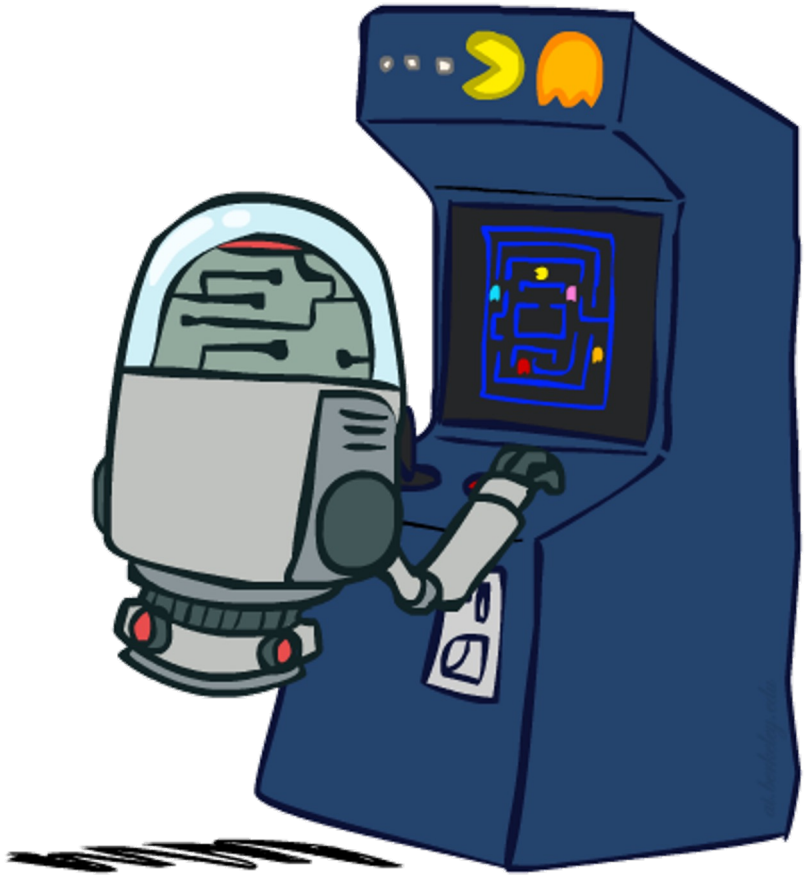
Adversarial Search

Cost -> Utility!



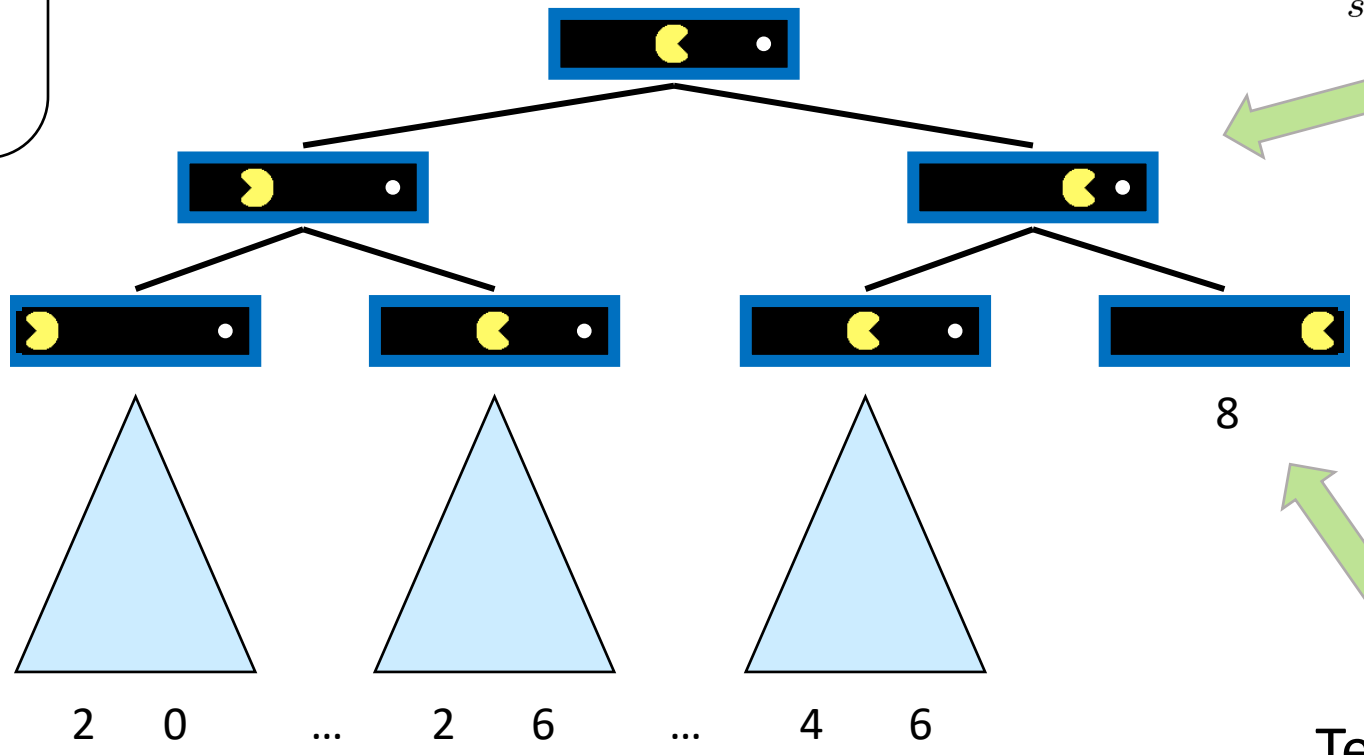
“Standard” Games

- Standard games are deterministic, observable, two-player, turn-taking, zero-sum
- Game formulation:
  - States: S (start at s_0)
  - Players: P={1...N} (usually take turns)
  - Actions: A (may depend on player / state)
  - Transition Function: SxA $\rightarrow$ S
  - Terminal Test: S $\rightarrow$ {t,f}
  - Terminal Utilities: SxP $\rightarrow$ R
- Solution for a player is a policy: S $\rightarrow$ A



Single-Agent Trees: Value of a State

Value of a state:
The best achievable outcome (utility) from that state



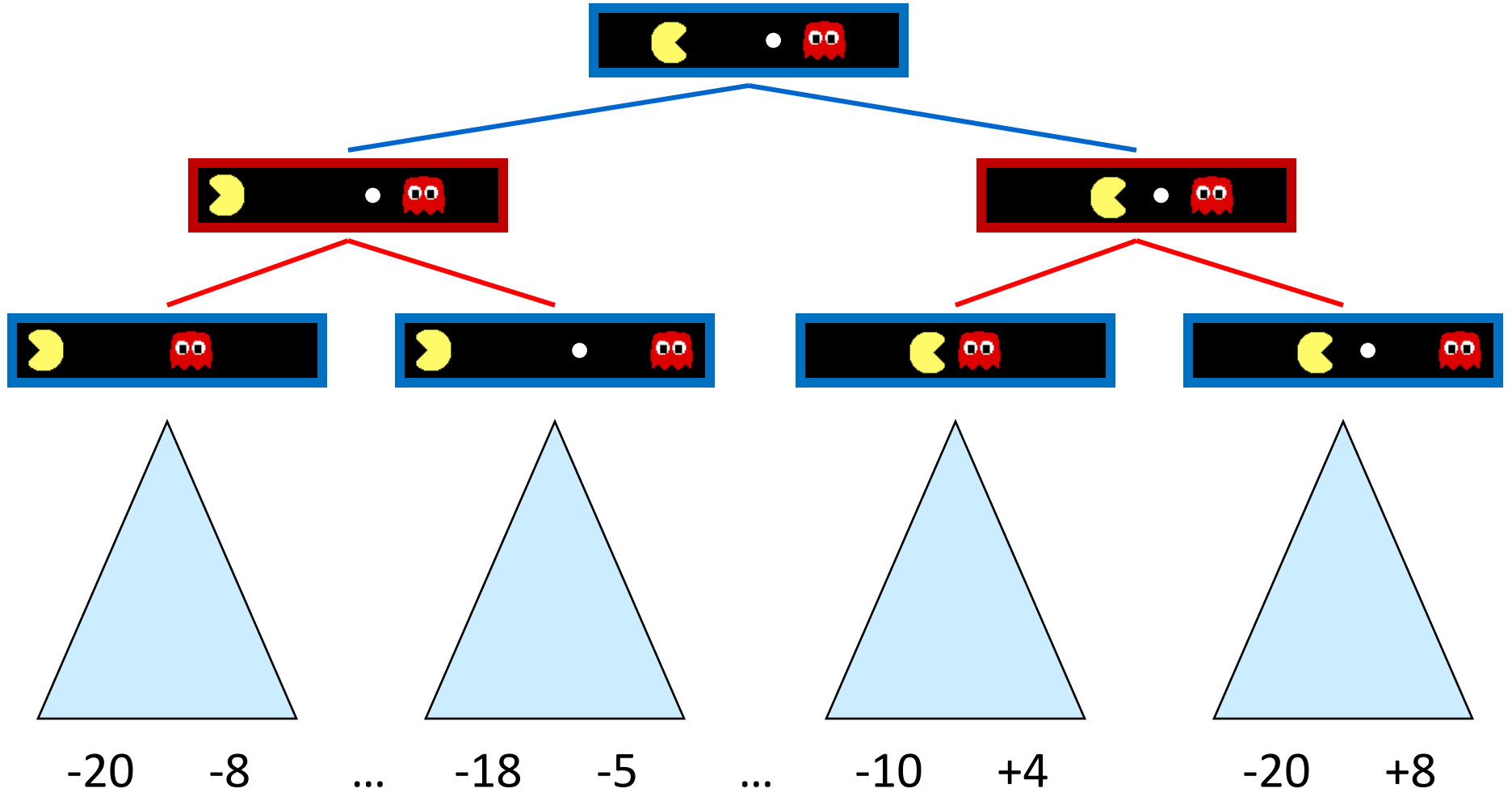
Non-Terminal States:

$$V(s) = \max_{s' \in \text{children}(s)} V(s')$$

Terminal States:

$$V(s) = \text{known}$$

Adversarial Game Trees



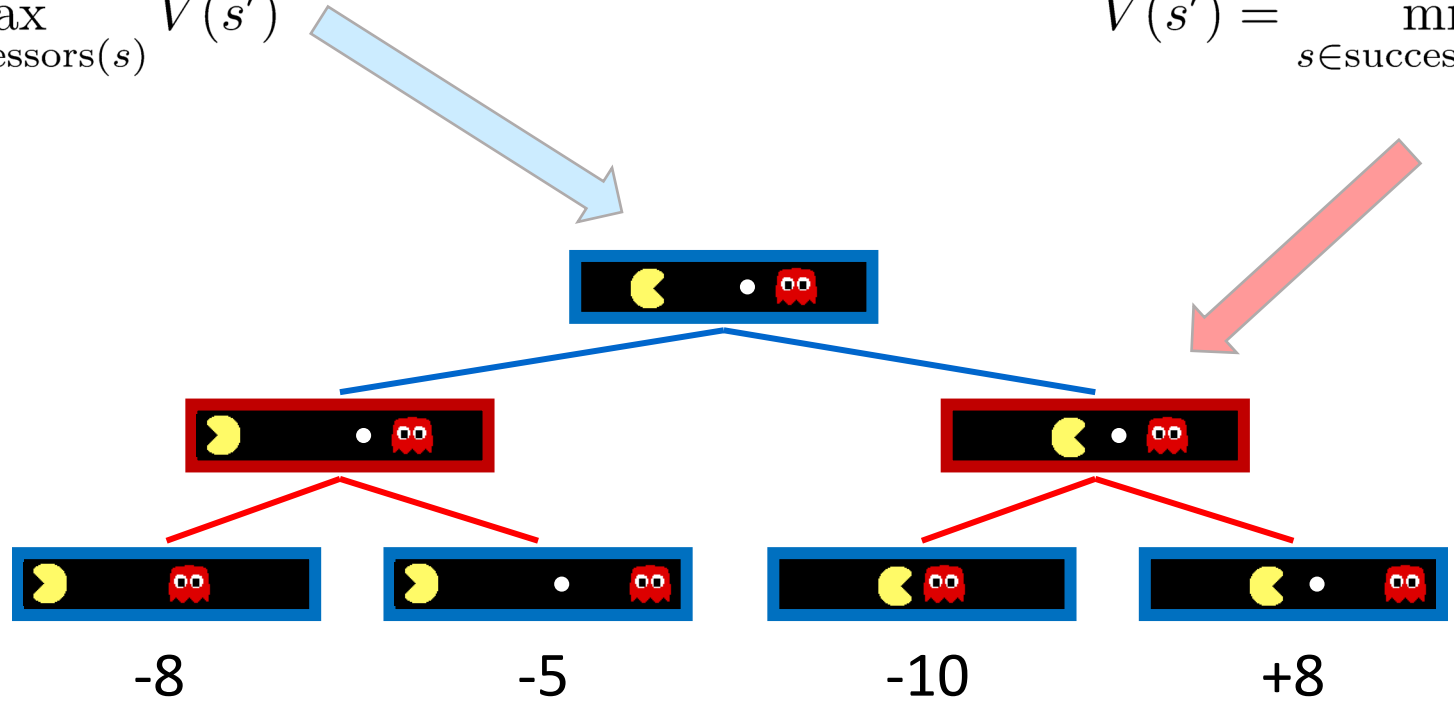
Adversarial Game Trees: Minimax Values

States Under Agent's Control:

$$V(s) = \max_{s' \in \text{successors}(s)} V(s')$$

States Under Opponent's Control:

$$V(s') = \min_{s \in \text{successors}(s')} V(s)$$



Terminal States:

$$V(s) = \text{known}$$

Example: Tic-Tac-Toe Game Tree

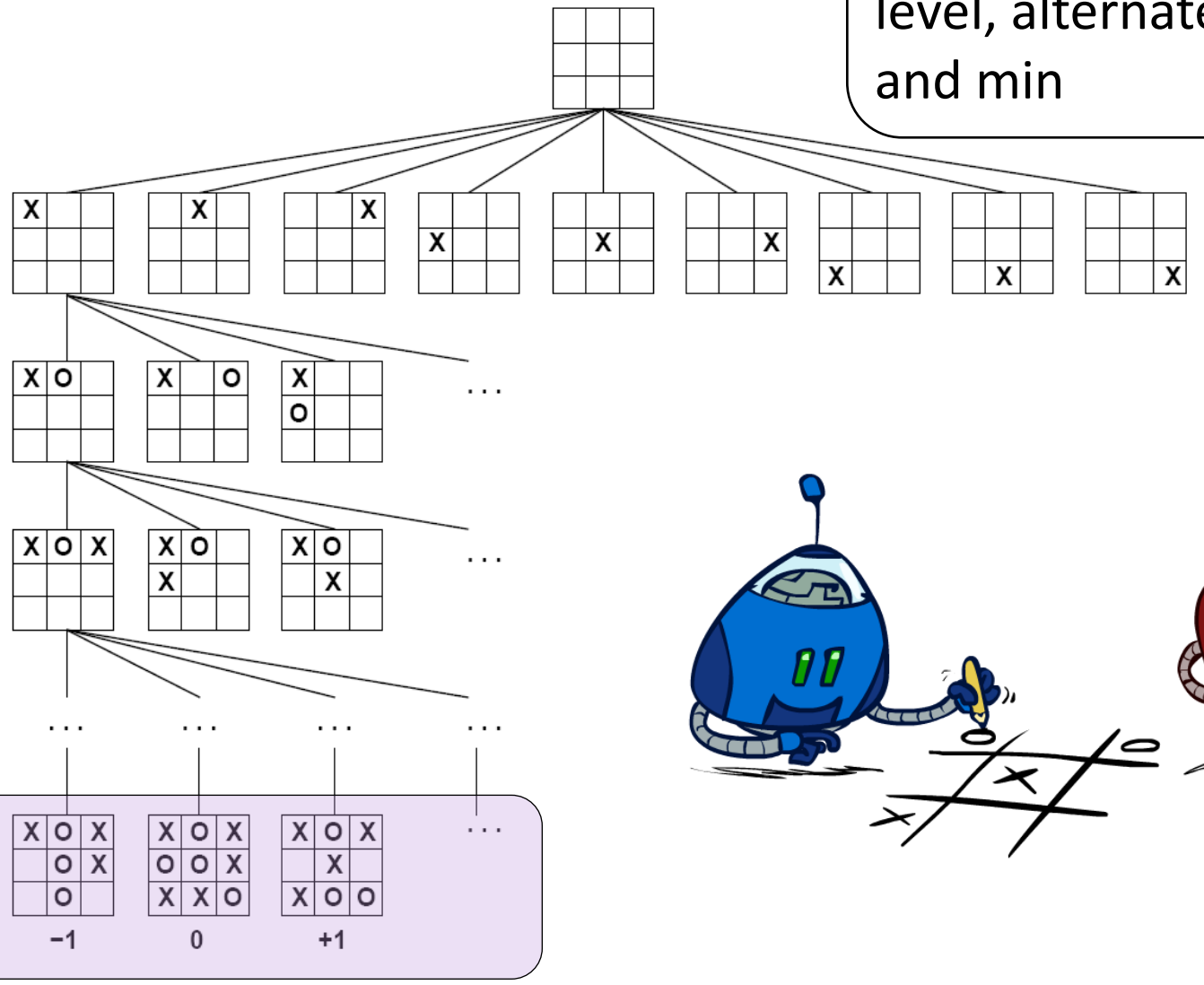
- States
- Actions
- Values

MAX (X)

MIN (O)

MAX (X)

MIN (O)

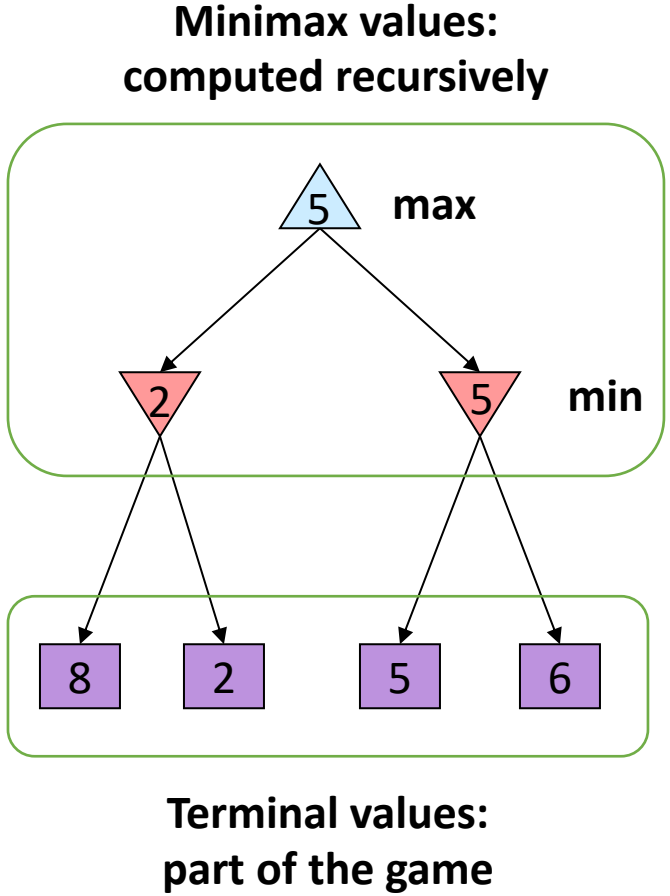


Instead of taking the max utility at every level, alternate max and min

Minimax Search

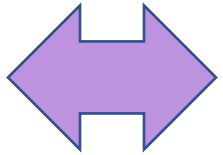
- Deterministic, zero-sum games:
  - Tic-tac-toe, chess, checkers
  - One player maximizes result
  - The other minimizes result

- Minimax search:
  - A state-space search tree
  - Players alternate turns
  - Compute each node's minimax value: the best achievable utility against a rational (optimal) adversary



Minimax Implementation

```
def max-value(state):
    initialize v = -∞
    for each successor of state:
        v = max(v, min-value(successor))
    return v
```



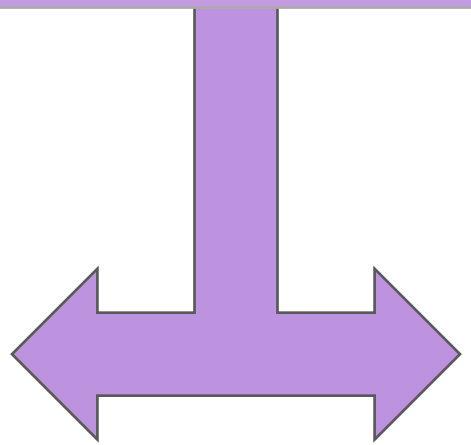
```
def min-value(state):
    initialize v = +∞
    for each successor of state:
        v = min(v, max-value(successor))
    return v
```

$$V(s) = \max_{s' \in \text{successors}(s)} V(s')$$

$$V(s') = \min_{s \in \text{successors}(s')} V(s)$$

Minimax Implementation (Dispatch)

```
def value(state):
    if the state is a terminal state: return the state's utility
    if the next agent is MAX: return max-value(state)
    if the next agent is MIN: return min-value(state)
```

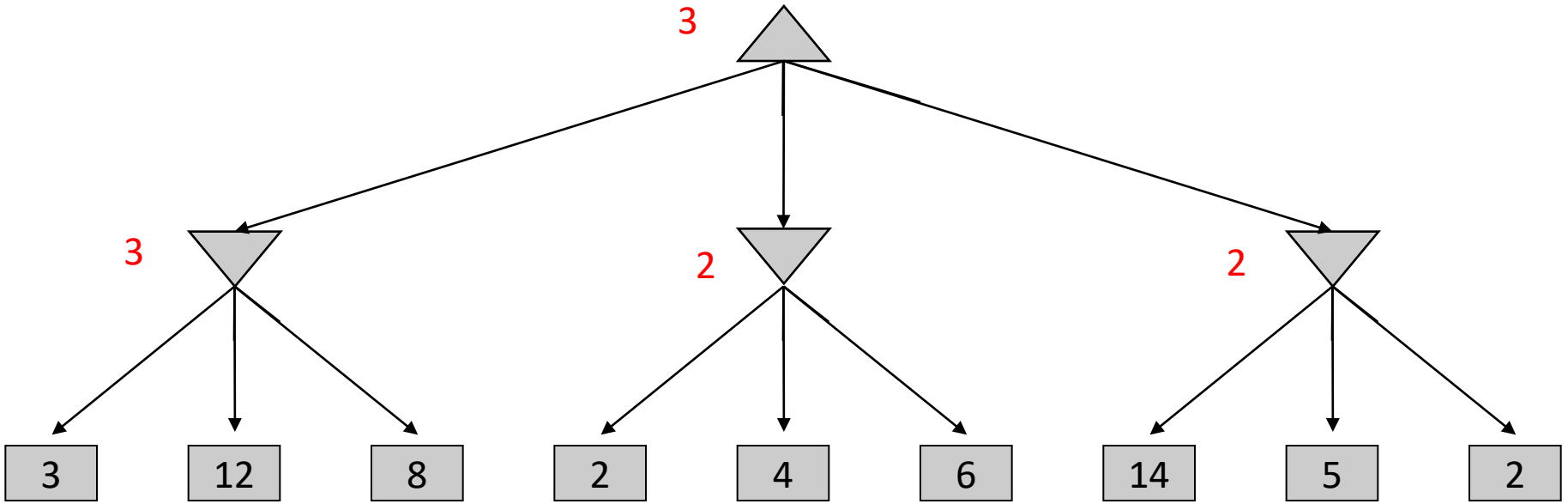


```
def max-value(state):
    initialize v = -∞
    for each successor of state:
        v = max(v, value(successor))
    return v
```

```
def min-value(state):
    initialize v = +∞
    for each successor of state:
        v = min(v, value(successor))
    return v
```

Example

- Actions?

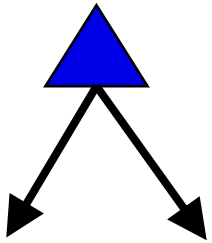


Pseudocode for Single Agent

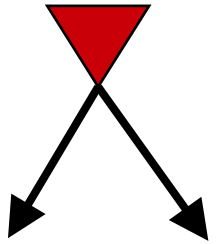
```
def max_value(state):

    if state.is_leaf:
        return state.value
    # TODO Also handle depth limit

    best_value = -10000000

    for action in state.actions:
        next_state = state.result(action)

        next_value = max_value(next_state)

        if next_value > best_value:
            best_value = next_value

    return best_value
```

Pseudocode for Minimax Search

```
def max_value(state):

    if state.is_leaf:
        return state.value
    # TODO Also handle depth limit

    best_value = -10000000

    for action in state.actions:
        next_state = state.result(action)

        next_value = min_value(next_state)

        if next_value > best_value:
            best_value = next_value

    return best_value

def min_value(state):
```



$$V(s) = \max_a V(s'),$$
where $s' = result(s, a)$



$$\hat{a} = \operatorname{argmax}_a V(s'),$$
where $s' = result(s, a)$

Pseudocode for Generic Game Tree

```
function  minimax_decision( state )
        return  argmax a in state.actions  value( state.result(a) )

function  value( state )
    if  state.is_leaf
        return state.value

    if  state.player  is  MAX
        return  max a in state.actions  value( state.result(a) )

    if  state.player  is  MIN
        return  min a in state.actions  value( state.result(a) )
```

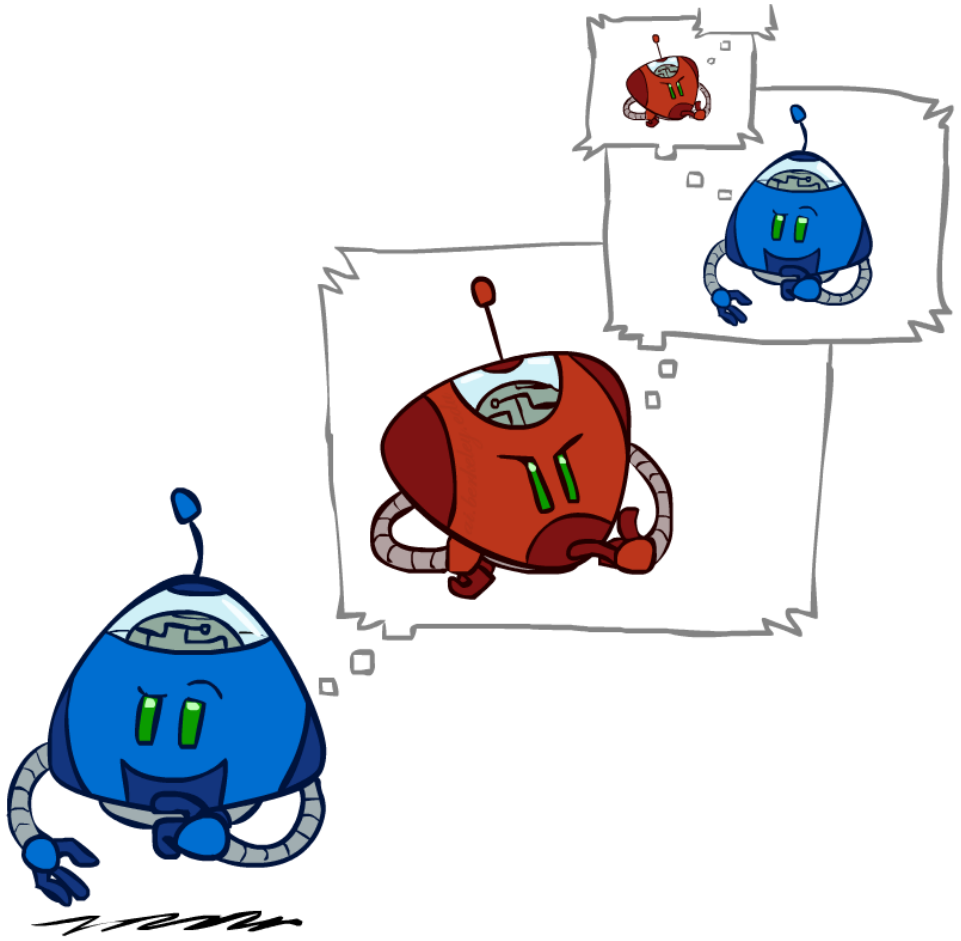
Quiz

- Minimax search belongs to which class?

A) BFS
B) DFS
C) UCS
D) A*

Minimax Efficiency

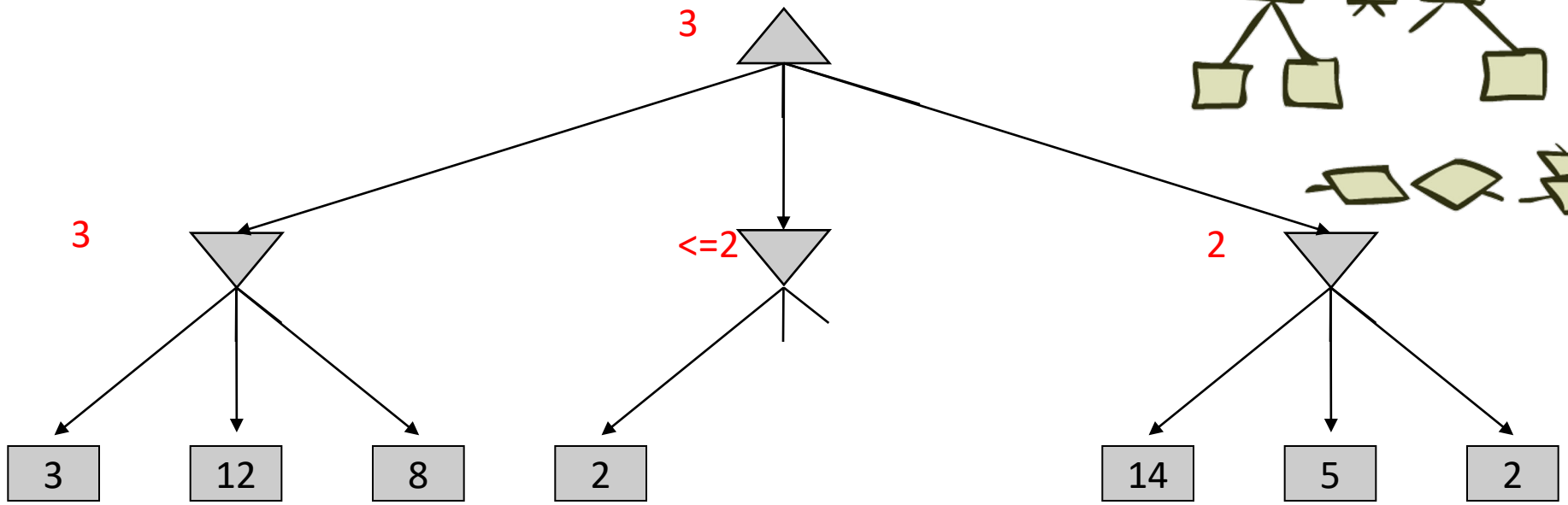
- How efficient is minimax?
  - Just like (exhaustive) DFS
  - Time: $O(b^m)$
  - Space: O(bm)

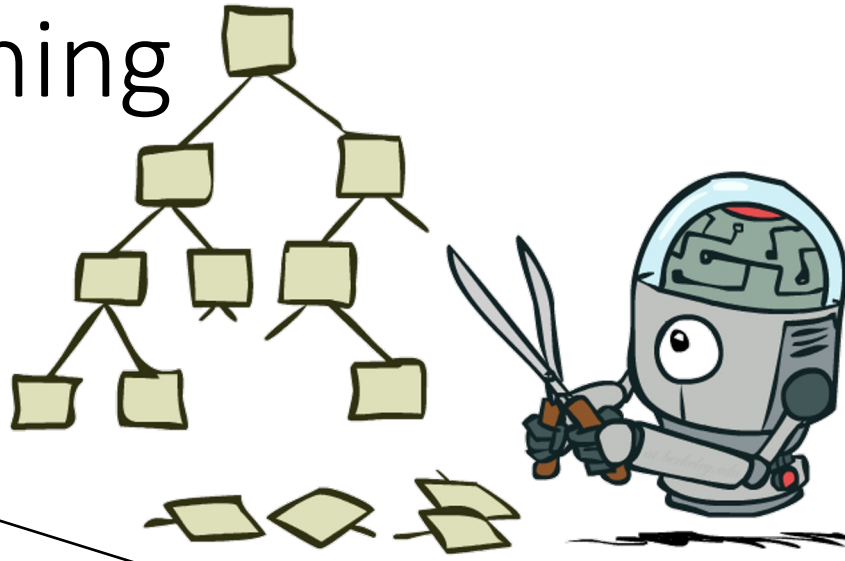


- Example: For chess, $b \approx 35$, $m \approx 100$
  - Exact solution is completely infeasible
  - But, do we need to explore the whole tree?
  - Humans can't do this either, so how do we play chess?
  - Bounded rationality – Herbert Simon

Resource Limits

Resource Limits: Game Tree Pruning

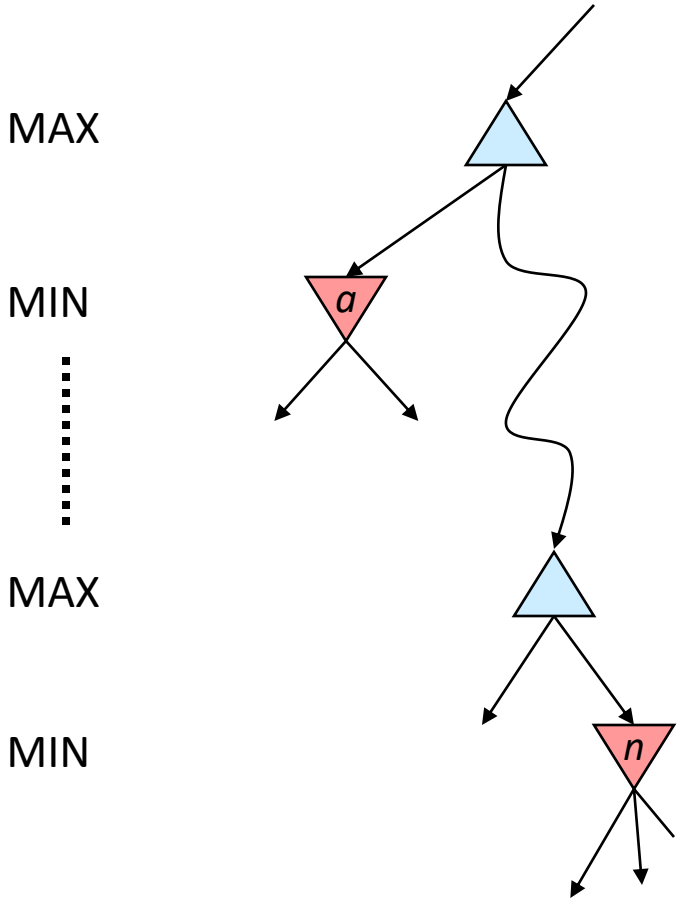




The order of generation matters: more pruning is possible if good moves come first

Game Tree Pruning: Alpha-Beta Pruning

- General configuration (MIN version)
  - We're computing the MIN-VALUE at some node *n*
  - We're looping over *n*'s children
  - *n*'s estimate of the childrens' min is dropping
  - Who cares about *n*'s value? MAX
  - Let *α* be the best value that MAX can get at any choice point along the current path from the root
  - If *n* becomes smaller than *α*, MAX will avoid it, so we can stop considering *n*'s other children (it's already bad enough that it won't be played)



- MAX version is symmetric

Alpha-Beta Implementation

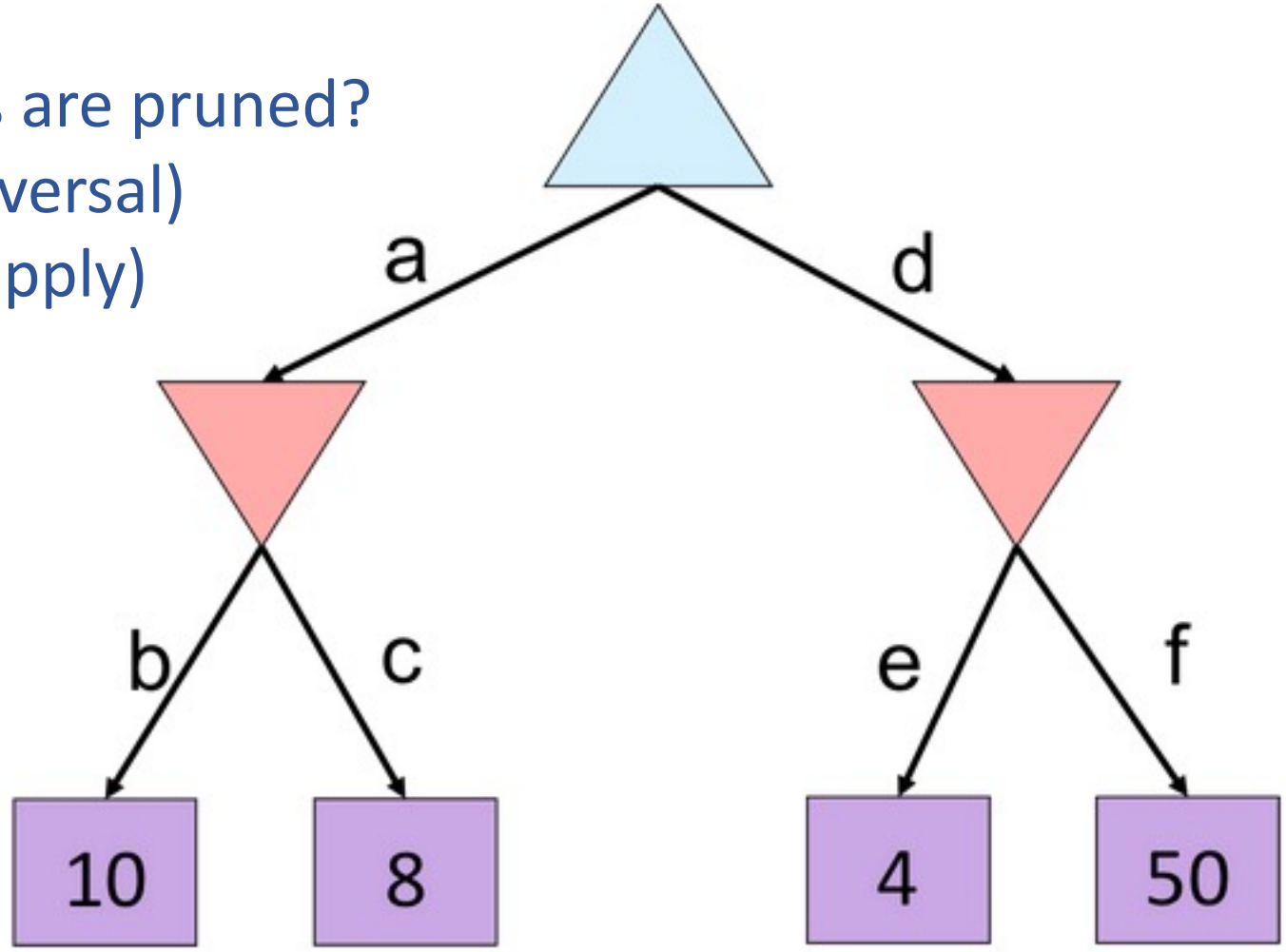
α: MAX's best option on path to root
β: MIN's best option on path to root

```
def max-value(state, α, β):
    initialize v = -∞
    for each successor of state:
        v = max(v, value(successor, α, β))
        if v ≥ β return v
        α = max(α, v)
    return v
```

```
def min-value(state , α, β):
    initialize v = +∞
    for each successor of state:
        v = min(v, value(successor, α, β))
        if v ≤ α return v
        β = min(β, v)
    return v
```

Quiz a

Which branches are pruned?
(Left to right traversal)
(Select all that apply)



Quiz b

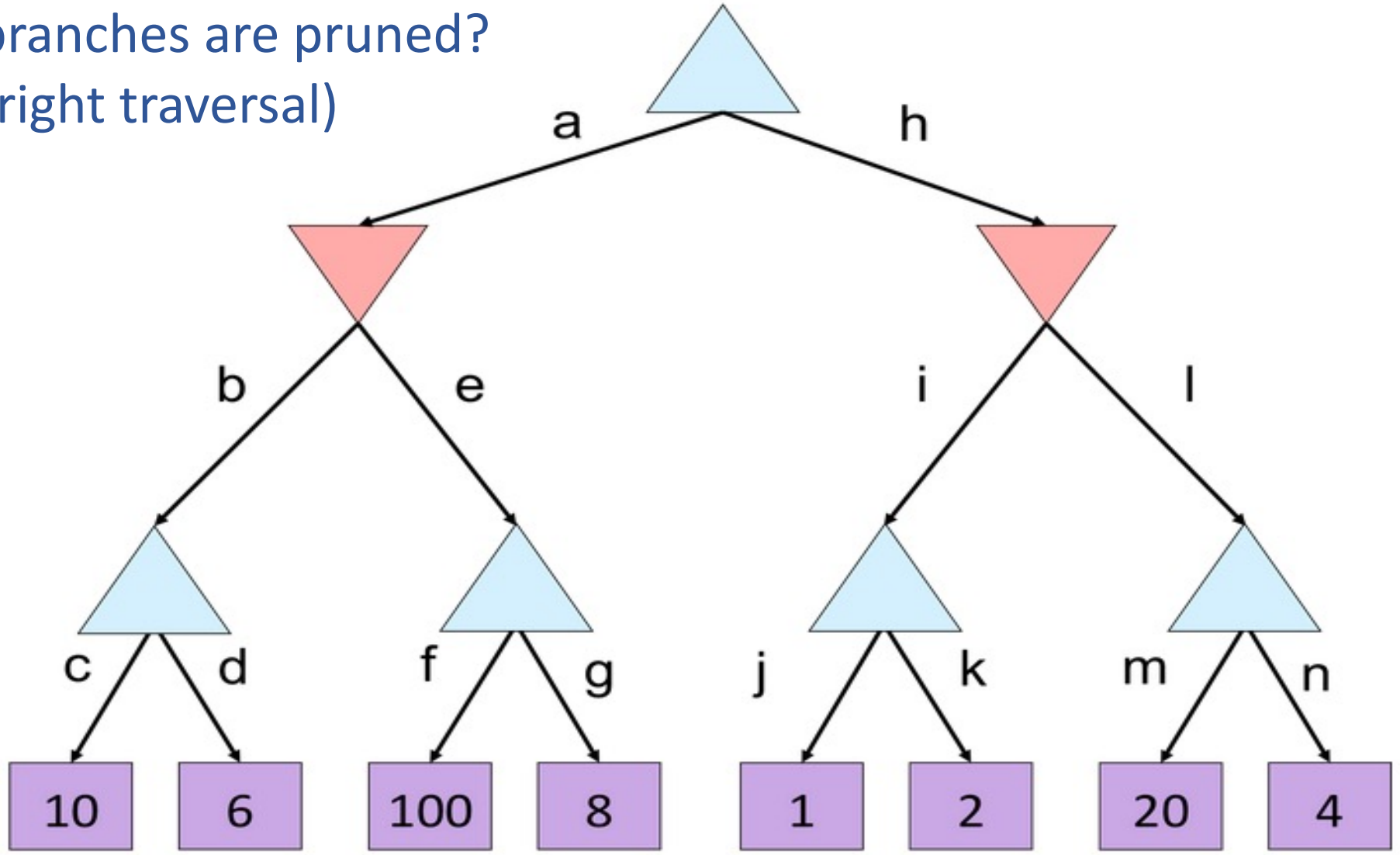
Which branches are pruned?
(Left to right traversal)

A) e, l

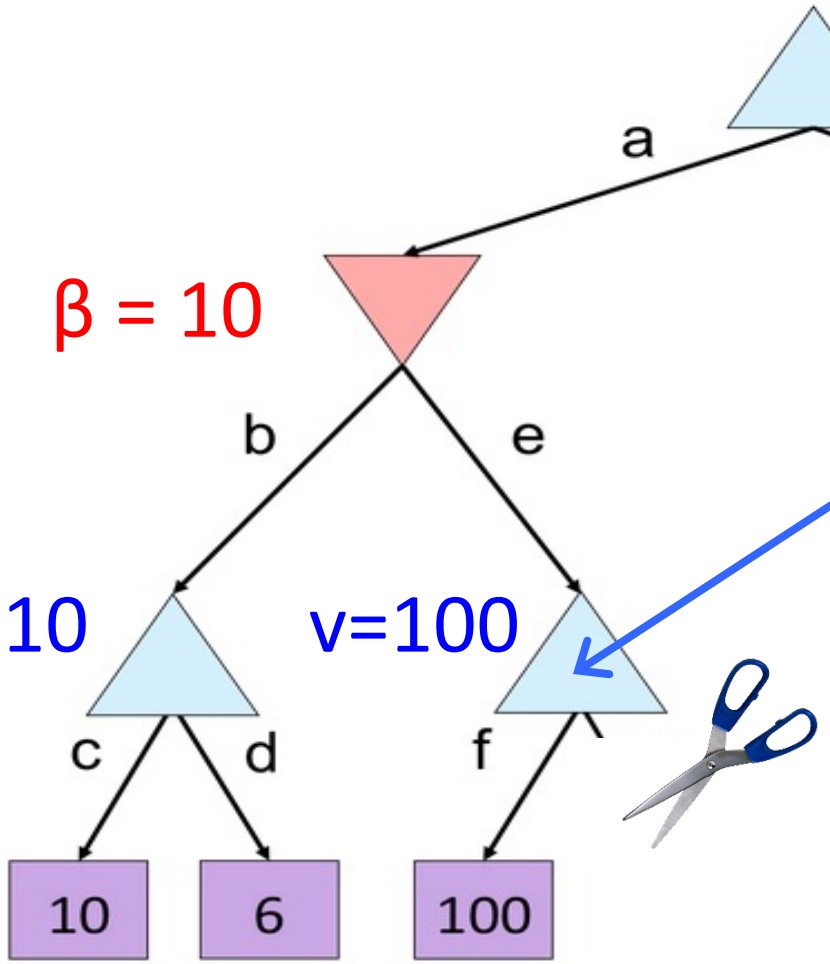
B) g, l

C) g, k, l

D) g, n



Quiz b - 2

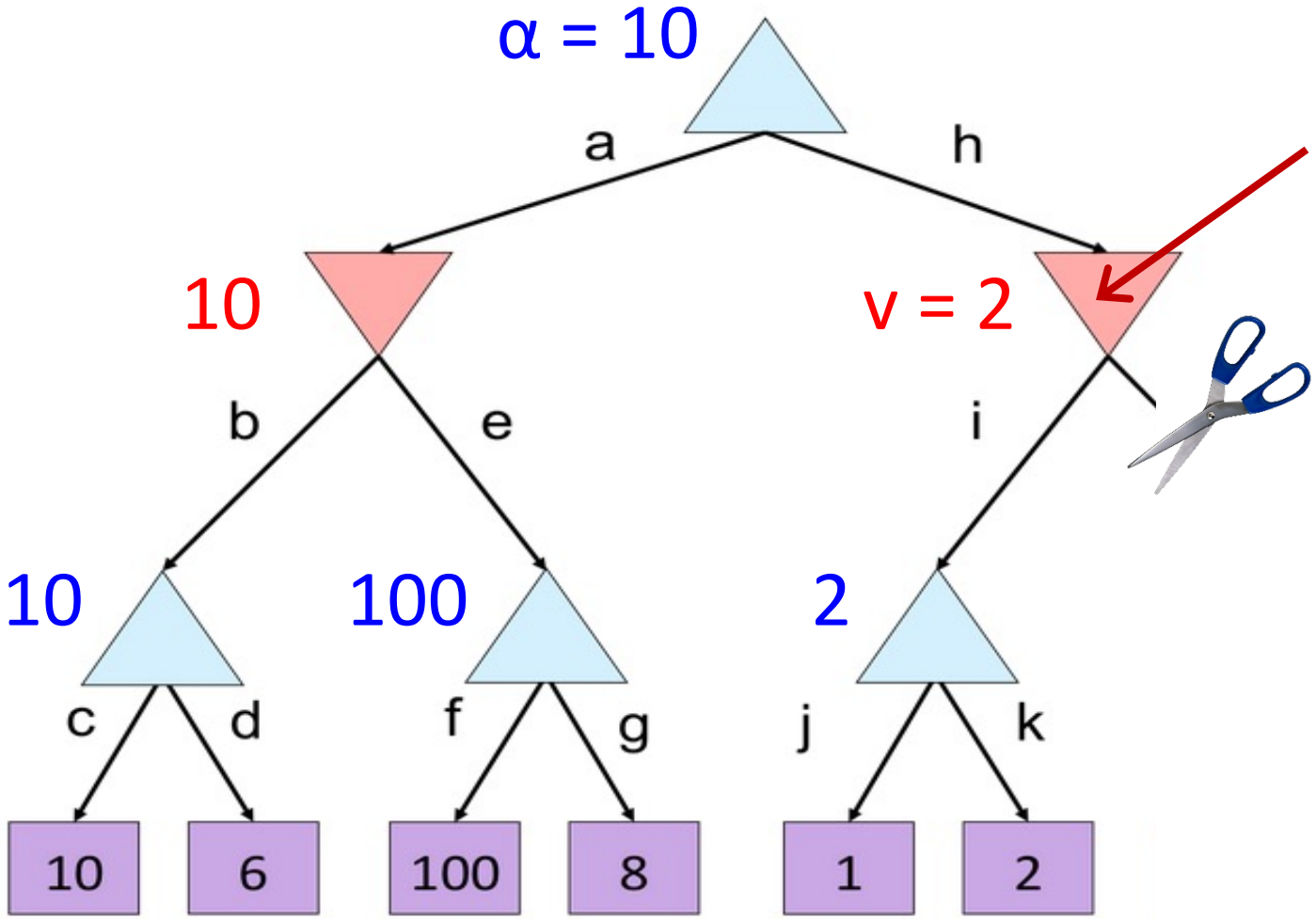


α: MAX's best option on path to root

β: MIN's best option on path to root

```
def max-value(state, α, β):
    initialize v = -∞
    for each successor of state:
        v = max(v, value(successor, α, β))
        if v ≥ β
            return v
        α = max(α, v)
    return v
```

Quiz b - 3

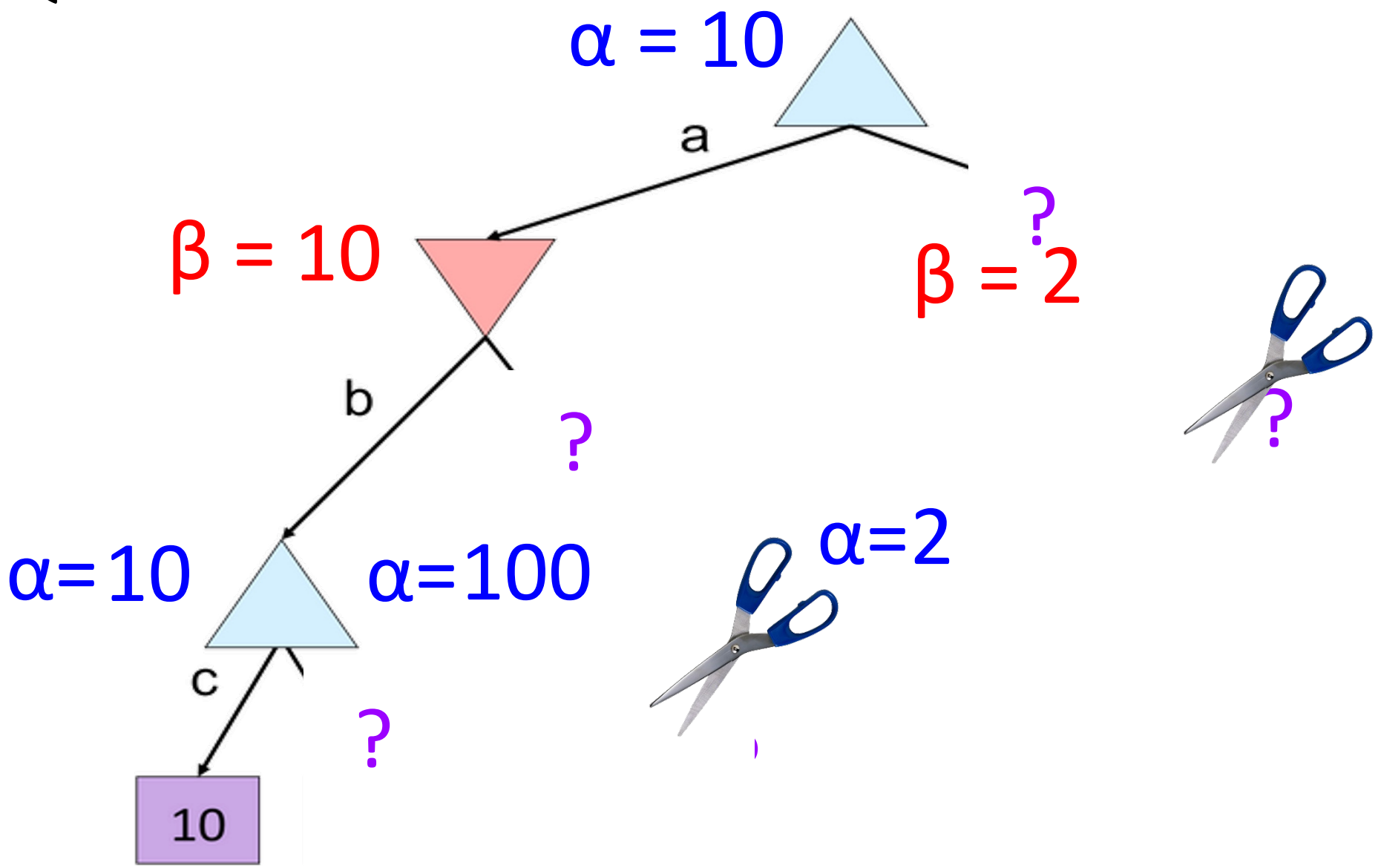


α: MAX's best option on path to root

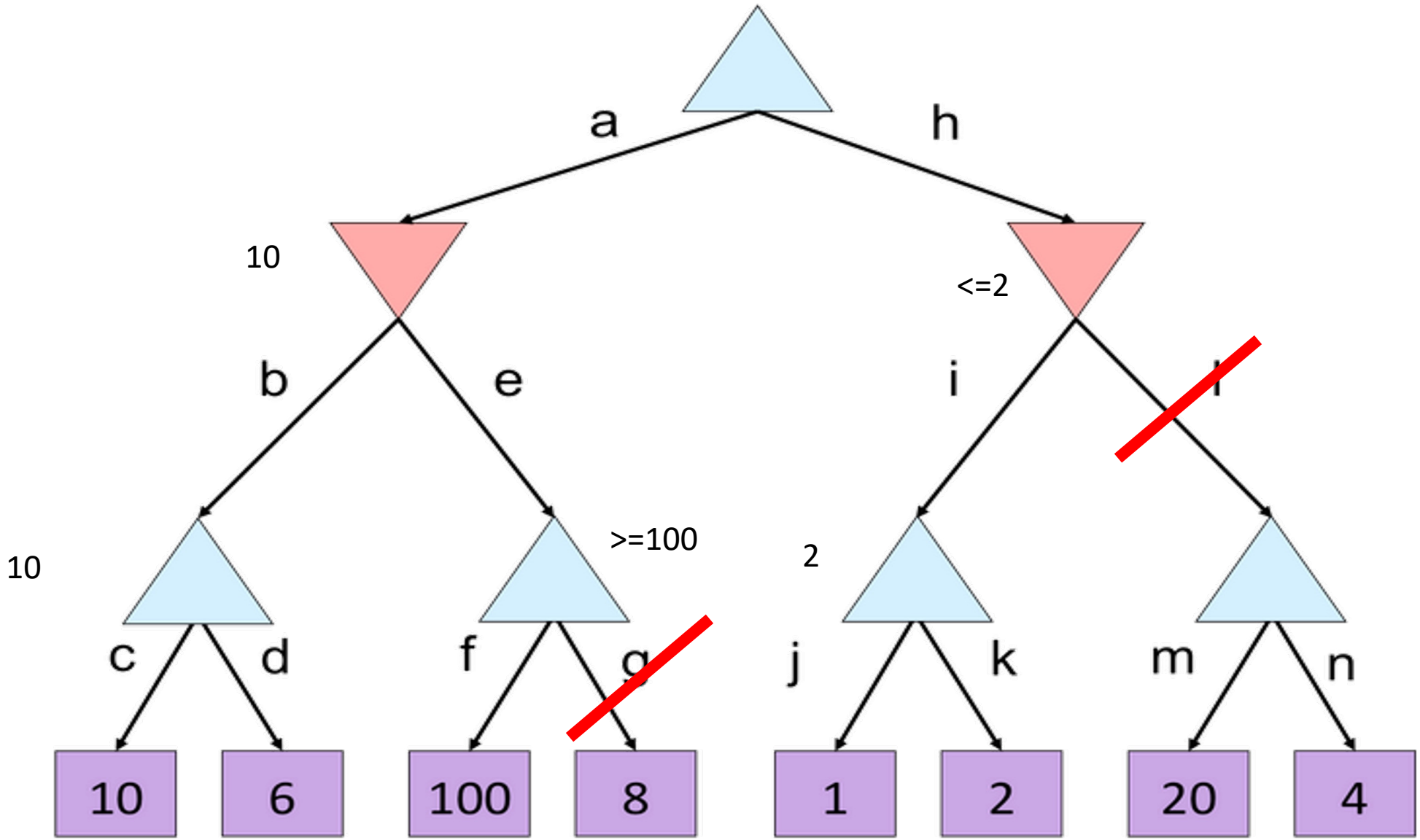
β: MIN's best option on path to root

```
def min-value(state , α, β):
    initialize v = +∞
    for each successor of state:
        v = min(v, value(successor, α, β))
        if v ≤ α
            return v
        β = min(β, v)
    return v
```

Quiz b - 4



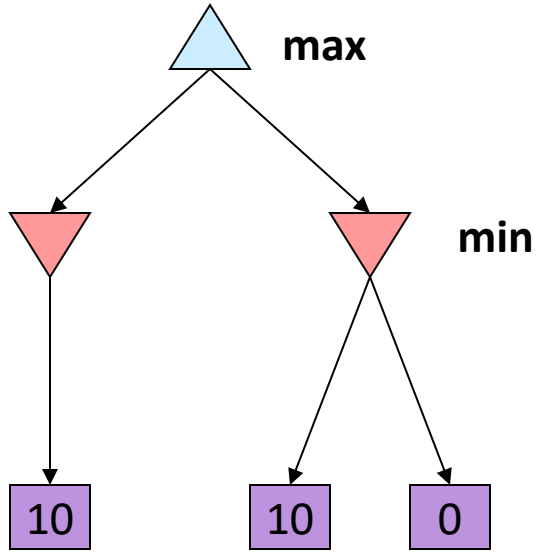
Quiz b - 5



Alpha-Beta Pruning Properties

- This pruning has no effect on minimax value computed for the root!

- Values of intermediate nodes might be wrong
  - Important: children of the root may have the wrong value
  - So the most naïve version won't let you do action selection

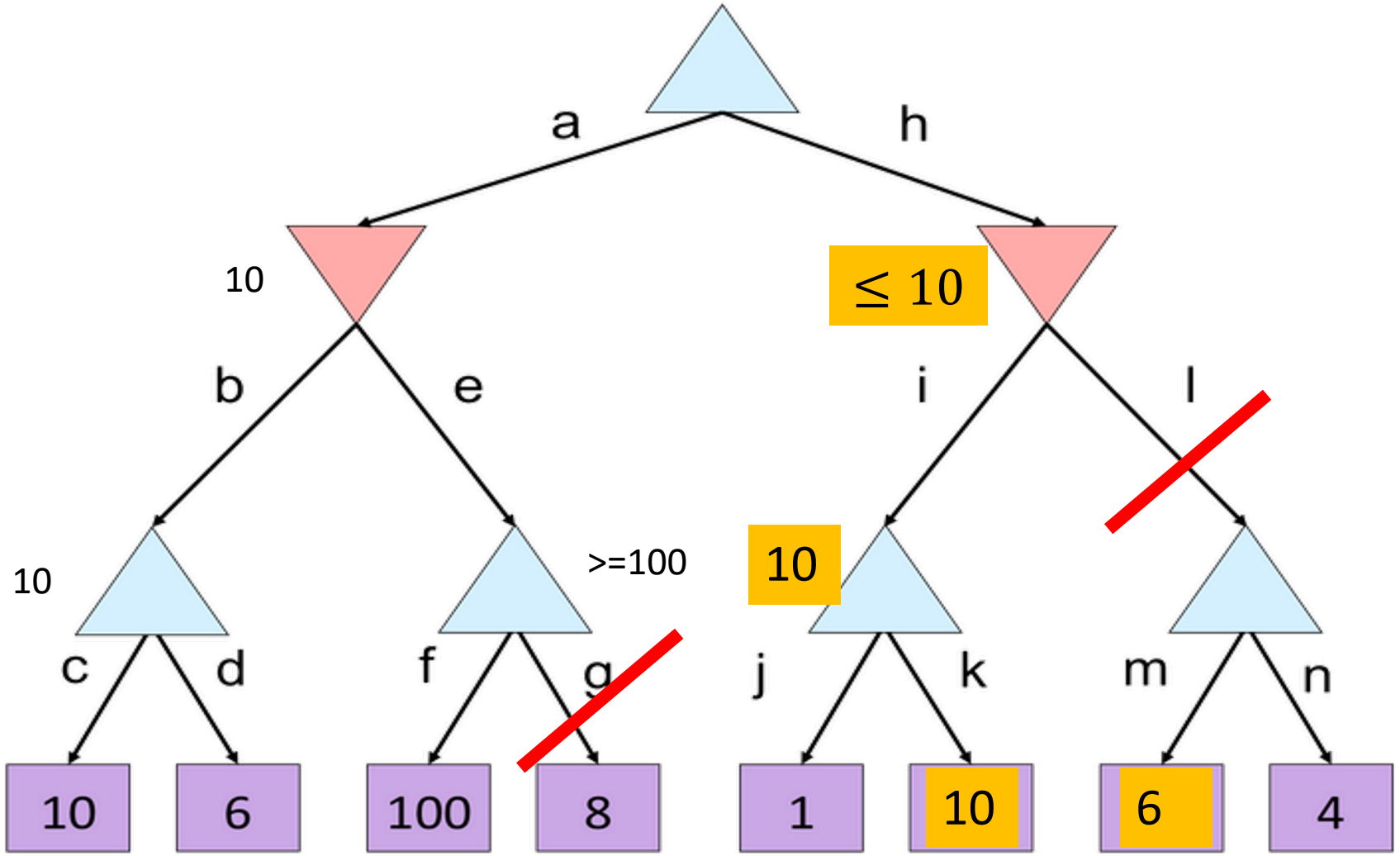


- Good child ordering improves effectiveness of pruning

- With "perfect ordering":
  - Time complexity drops to $O(b^{m/2})$
  - Doubles solvable depth!
  - Chess: 1M nodes/move => depth=8, respectable
  - Full search of complicated games, is still hopeless…

- This is a simple example of metareasoning (computing about what to compute)

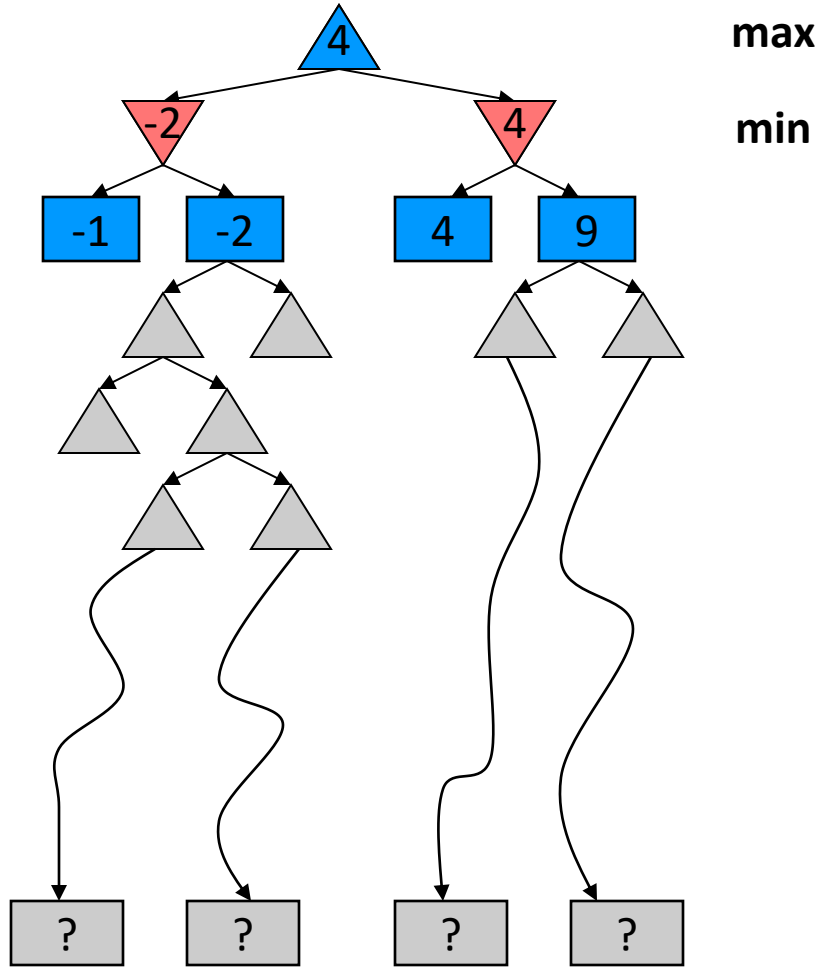
Alpha-Beta Pruning: Action Selection Failure



Resource Limits II
Bounded lookahead

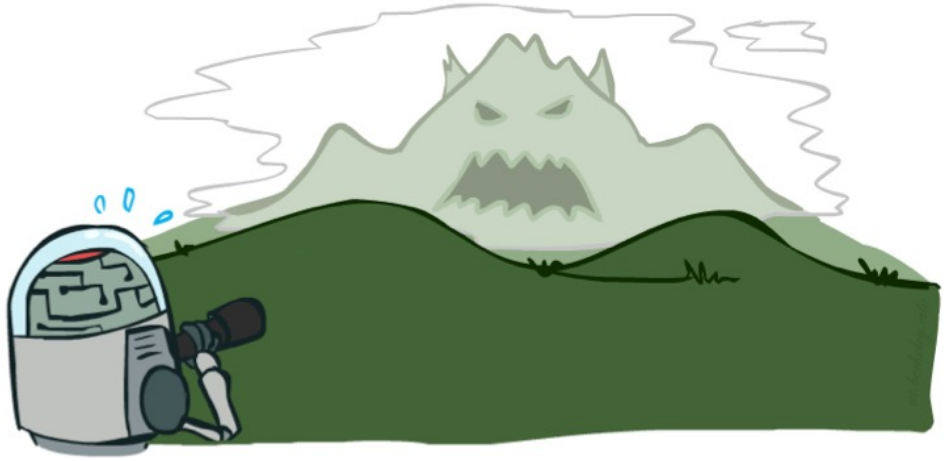
Depth-limited search

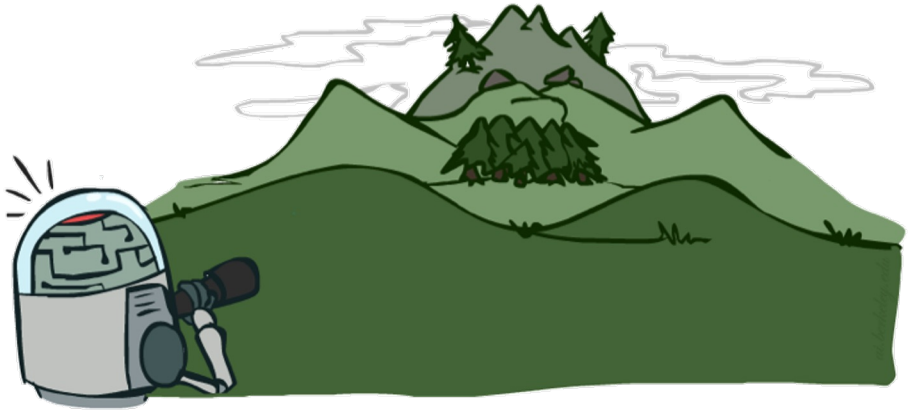
- Problem: In realistic games, cannot search to leaves!
- Solution: Depth-limited search
  - Instead, search only to a limited depth in the tree
  - Replace terminal utilities with an evaluation function for non-terminal positions
- Example:
  - Suppose we have 100 seconds, can explore 10K nodes / sec
  - So can check 1M nodes per move
  - For chess, $b \approx 35$ so reaches about depth 4 – not so good
  - α-β reaches about depth 8 – decent chess program
- Guarantee of optimal play is gone
- More plies makes a BIG difference
- Use iterative deepening for an anytime algorithm



Depth Matters

- Evaluation functions are always imperfect
- The deeper in the tree the evaluation function is buried, the less the quality of the evaluation function matters
- An important example of the tradeoff between complexity of features and complexity of computation





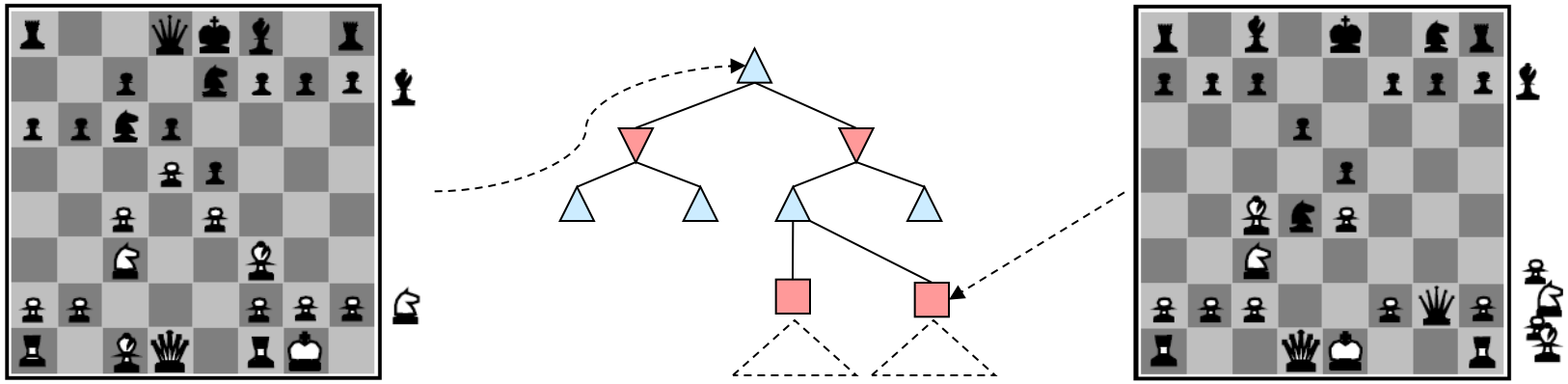
[Demo: depth limited (L6D4, L6D5)]

Video of Demo Limited Depth (2)

Video of Demo Limited Depth (10)

Evaluation Functions

- Evaluation functions score non-terminals in depth-limited search

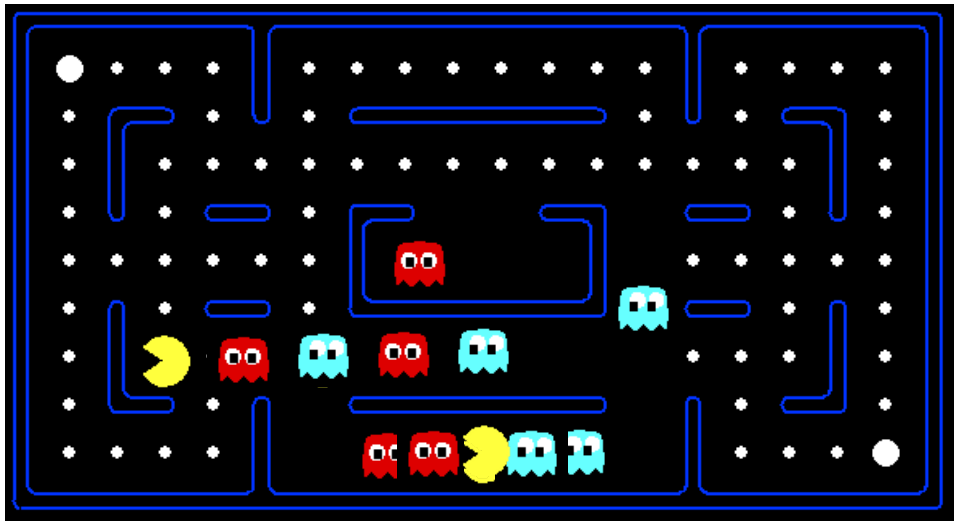


- Ideal function: returns the actual minimax value of the position
- In practice: typically weighted linear sum of features:

  EVAL(s) = $w_1 f_1(s) + w_2 f_2(s) + \ldots + w_n f_n(s)$

  - e.g. $w_1 = 9$, $f_1(s)$ = (num white queens – num black queens), etc.

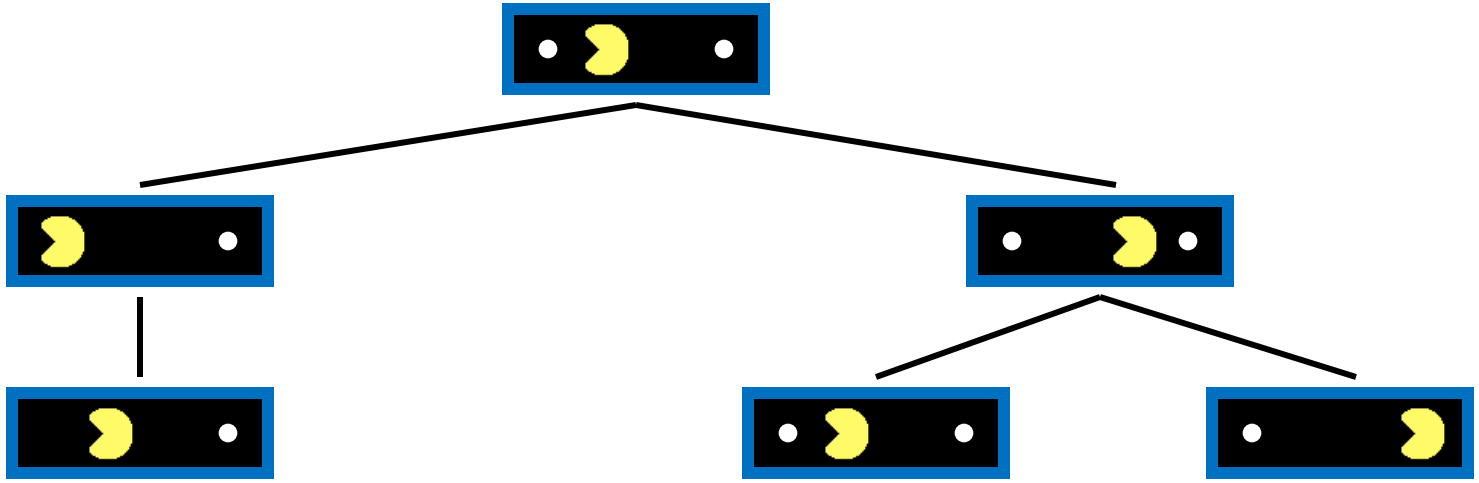
Evaluation for Pacman



[Demo: thrashing d=2, thrashing d=2 (fixed evaluation function), smart ghosts coordinate (L6D6,7,8,10)]

Video of Demo Thrashing (d=2) (Failure)

Why Pacman Starves



- A danger of replanning agents!
  - He knows his score will go up by eating the dot now (west, east)
  - He knows his score will go up just as much by eating the dot later (east, west)
  - There are no point-scoring opportunities after eating the dot (within the horizon, two here)
  - Therefore, waiting seems just as good as eating: he may go east, then back west in the next round of replanning!

Video of Demo Thrashing -- Fixed (d=2)

Video of Demo Smart Ghosts (Coordination)

Video of Demo Smart Ghosts (Coordination) – Zoomed In

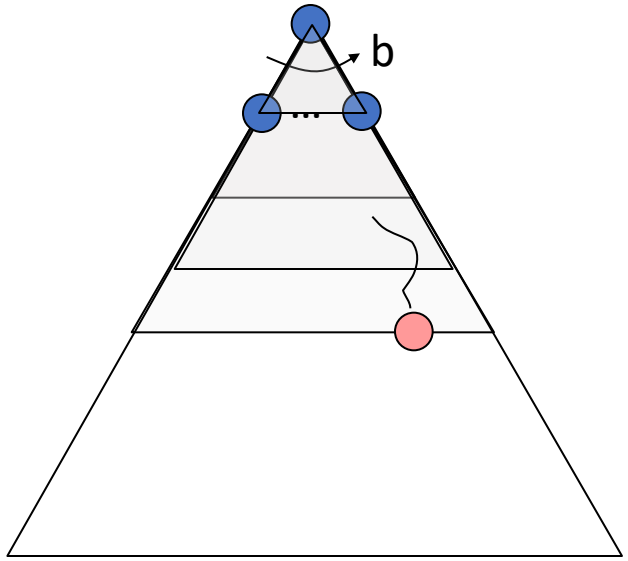
- Cooperation behaviors naturally arise based on the minimax strategies and common evaluation functions

Iterative Deepening

Iterative deepening uses DFS as a subroutine:

1. Do a DFS which only searches for paths of length 1 or less. (DFS gives up on any path of length 2)
2. If “1” failed, do a DFS which only searches paths of length 2 or less.
3. If “2” failed, do a DFS which only searches paths of length 3 or less.

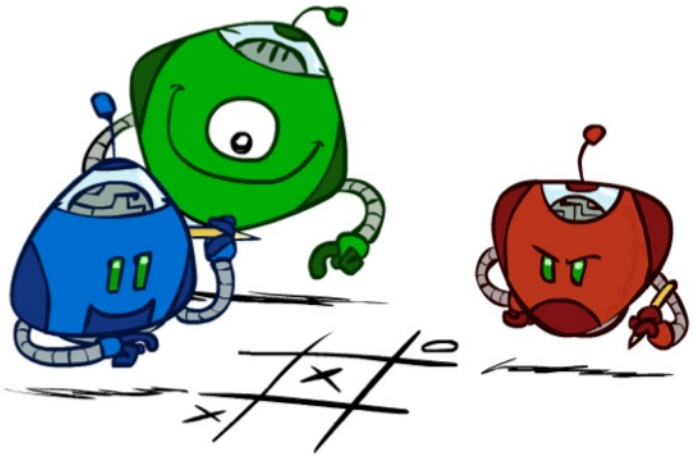
….and so on.



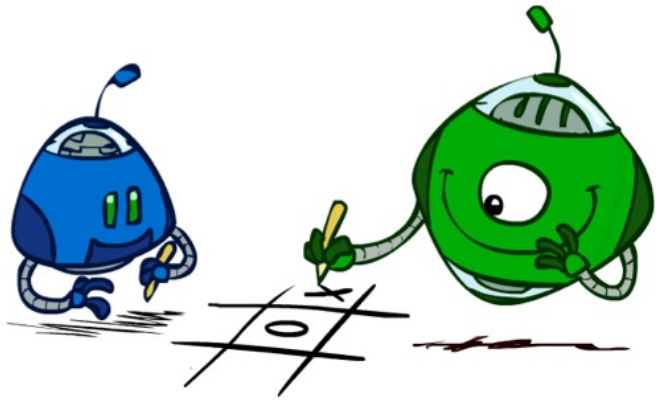
Why do we want to do this for multiplayer games?

Note: wrongness of eval functions matters less and less the deeper the search goes!

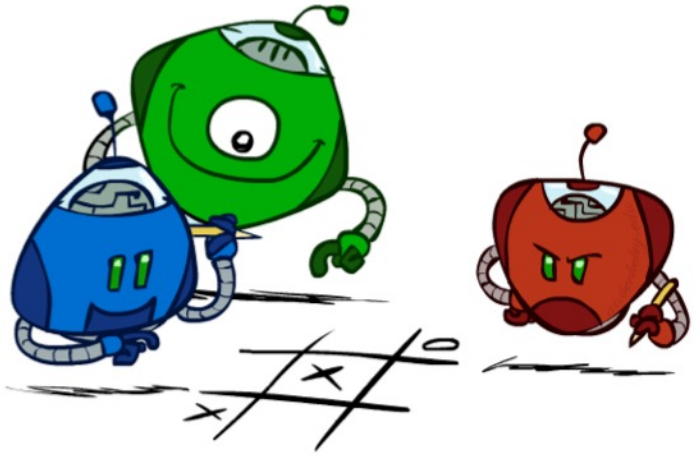
Generalized minimax

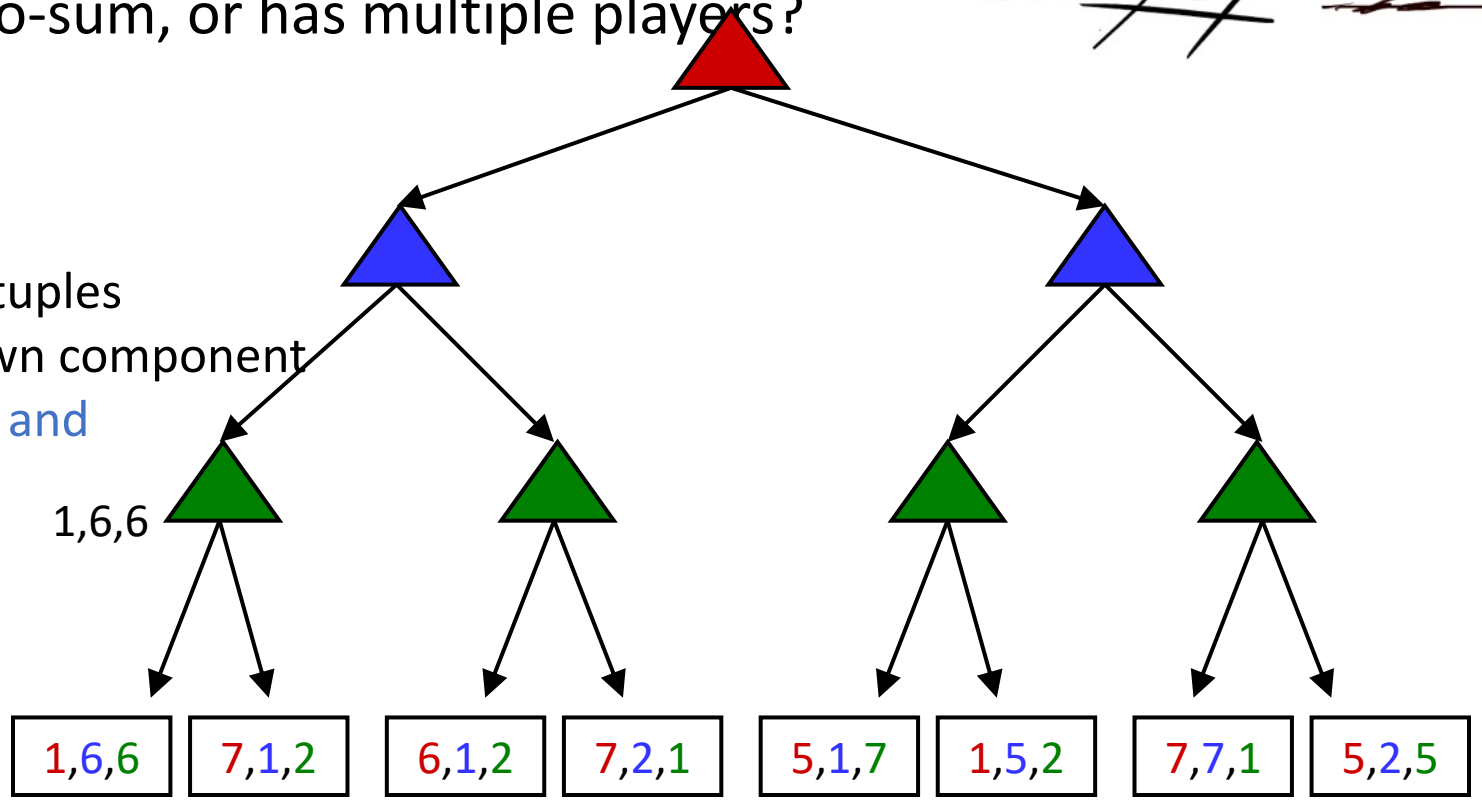


Multi-Agent Utilities



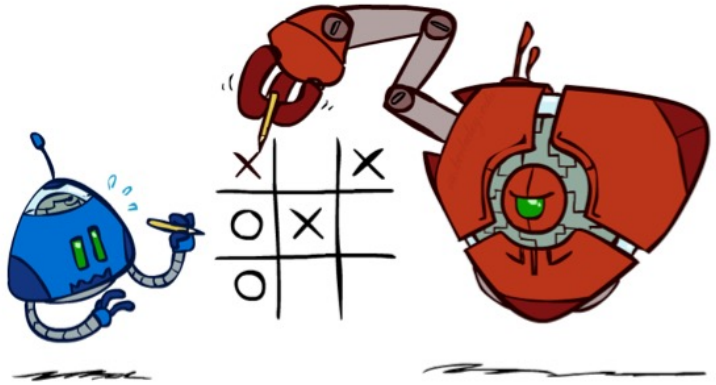
- What if the game is not zero-sum, or has multiple players?
- Generalization of minimax:
  - Terminals have utility tuples
  - Node values are also utility tuples
  - Each player maximizes its own component
  - Can give rise to cooperation and competition dynamically…

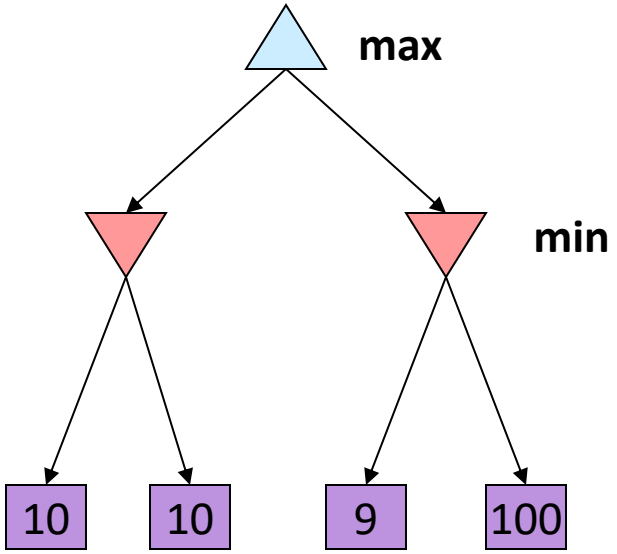


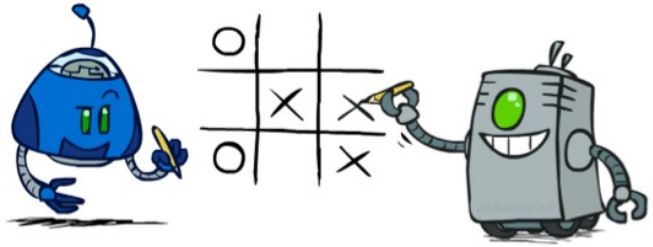


Modeling Assumptions

What if your opponent isn't playing optimally?







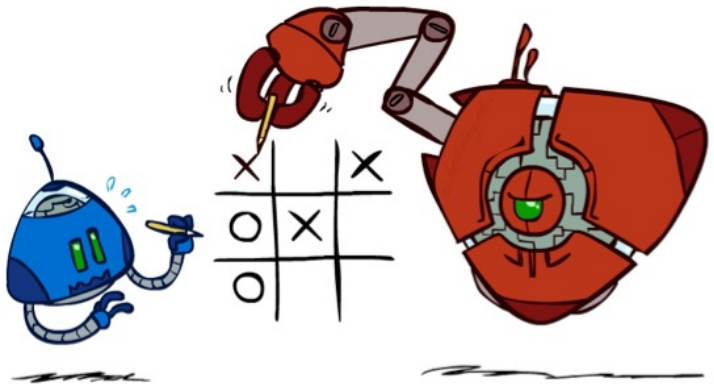
Optimal against a perfect player. Otherwise?

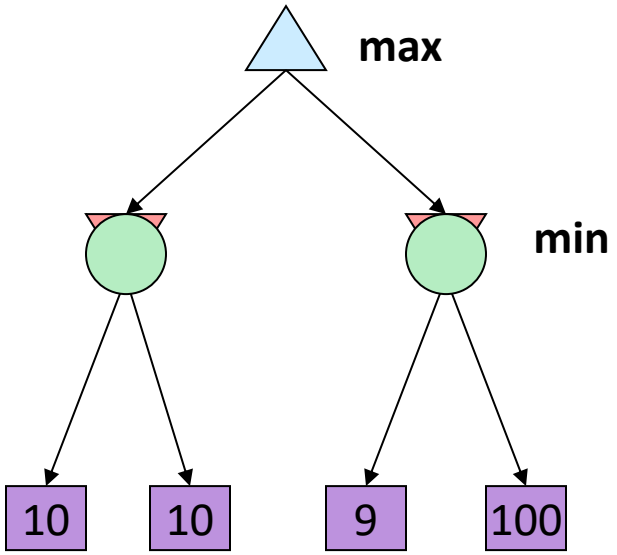
[Demo: min vs exp (L6D2, L6D3)]

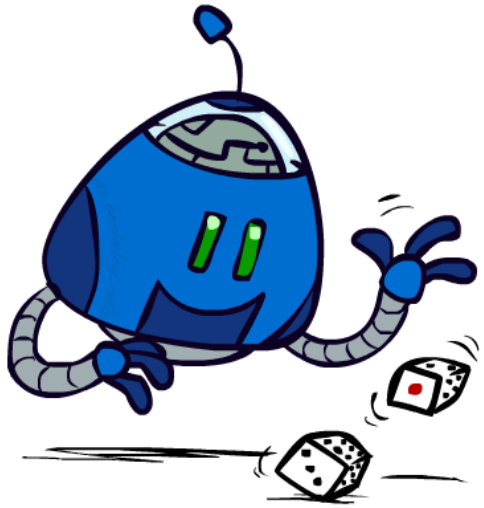
Video of Demo Min vs. Exp (Min)

Video of Demo Min vs. Exp (Exp)

Worst-Case vs. Average Case



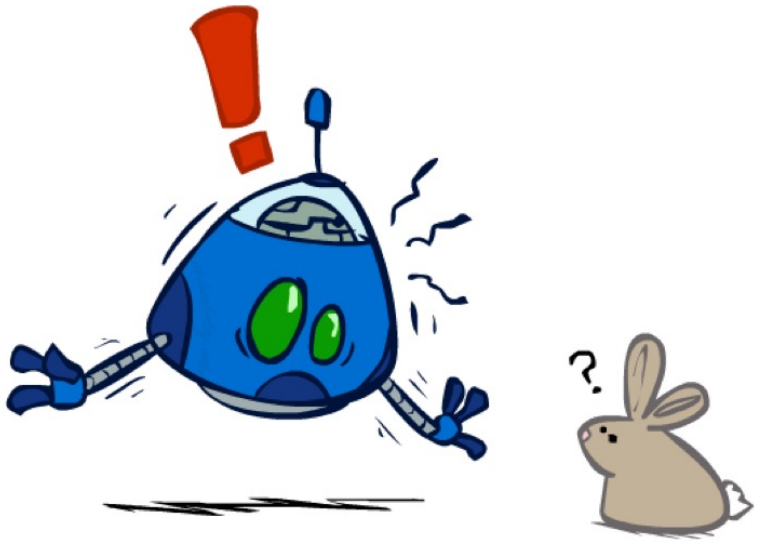




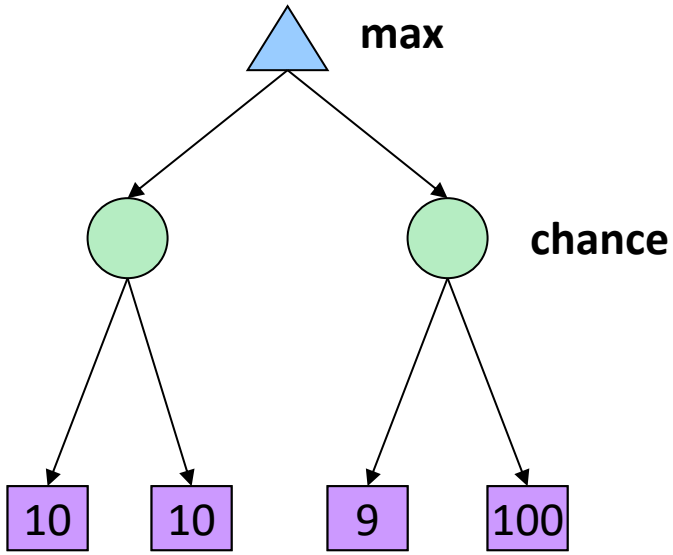
Idea: Uncertain outcomes controlled by chance, not an adversary!

Why not minimax?

- Worst case reasoning is too conservative
- Need average case reasoning



Expectimax Search



- Why wouldn't we know what the result of an action will be?
  - Explicit randomness: rolling dice
  - Unpredictable opponents: the ghosts respond randomly
  - Unpredictable humans: humans are not perfect
  - Actions can fail: when moving a robot, wheels might slip
- Values should now reflect average-case (expectimax) outcomes, not worst-case (minimax) outcomes
- Expectimax search: compute the average score under optimal play
  - Max nodes as in minimax search
  - Chance nodes are like min nodes but the outcome is uncertain
  - Calculate their expected utilities
  - I.e. take weighted average (expectation) of children
- Later, we'll learn how to formalize the underlying uncertain-result problems as Markov Decision Processes

[Demo: min vs exp (L7D1,2)]

Video of Demo Minimax vs Expectimax (Min)

Video of Demo Minimax vs Expectimax (Exp)

Expectimax Pseudocode

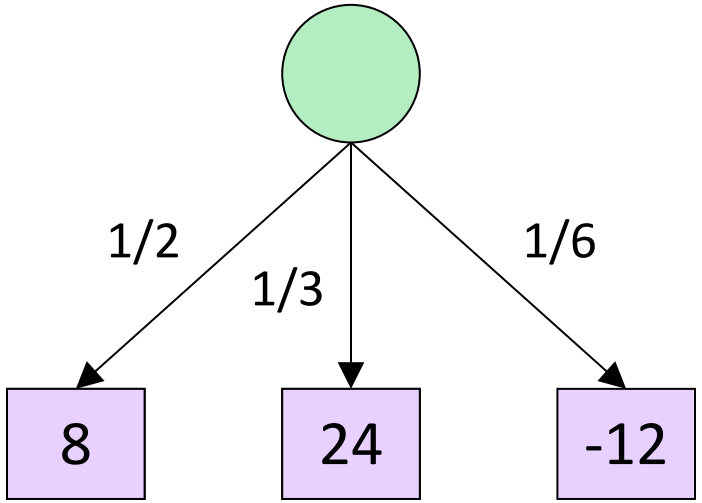
```
def value(state):
    if the state is a terminal state: return the state's utility
    if the next agent is MAX: return max-value(state)
    if the next agent is EXP: return exp-value(state)
```

```
def max-value(state):
    initialize v = -∞
    for each successor of state:
        v = max(v, value(successor))
    return v
```

```
def exp-value(state):
    initialize v = 0
    for each successor of state:
        p = probability(successor)
        v += p * value(successor)
    return v
```

Expectimax Pseudocode 2

```
def exp-value(state):
    initialize v = 0
    for each successor of state:
        p = probability(successor)
        v += p * value(successor)
    return v
```



v = (1/2) (8) + (1/3) (24) + (1/6) (-12) = 10

Expectimax Pseudocode 3

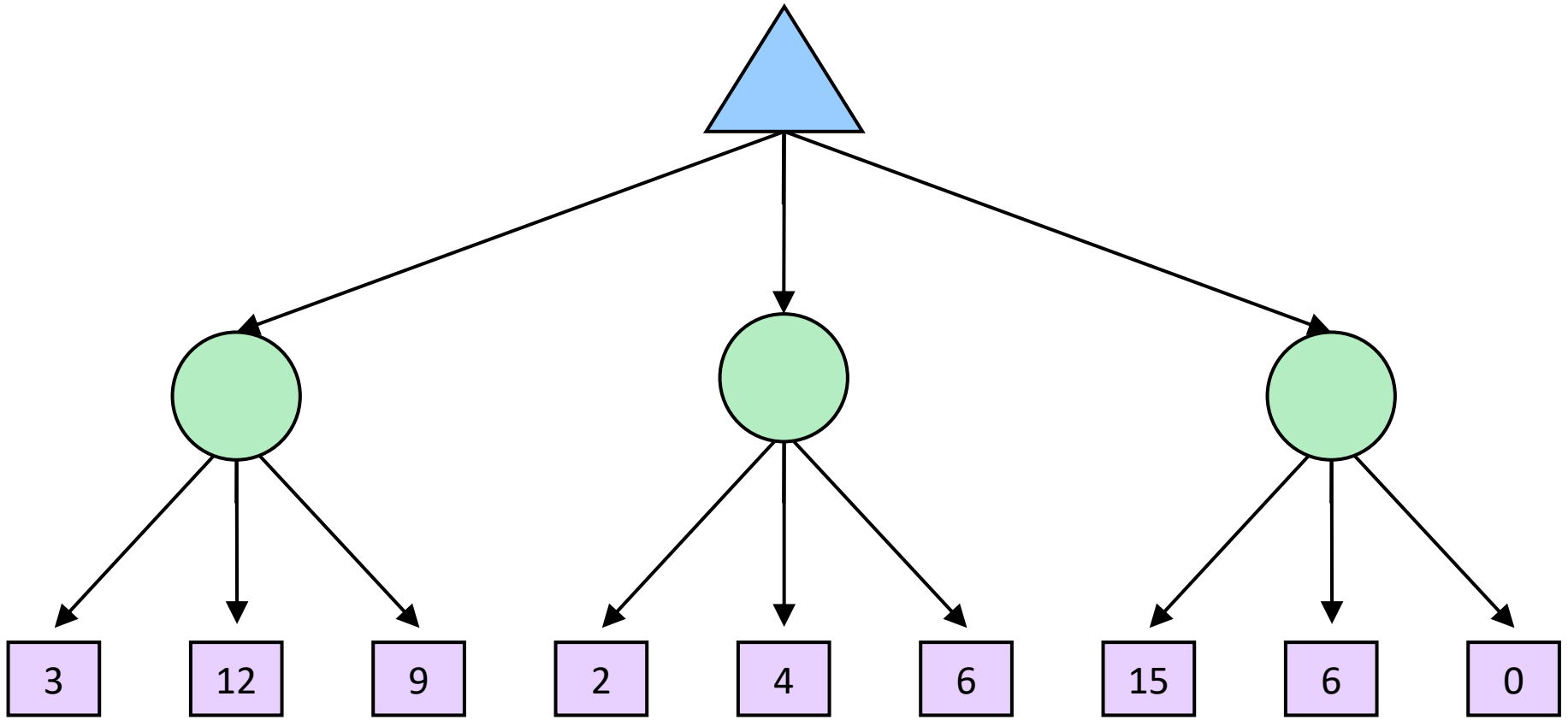
- function value(state)
  - if state.is_leaf
  - return state.value

  - if state.player is MAX
  - return max $_{\text{a in state.actions}}$ value(state.result(a))

  - if state.player is MIN
  - return min $_{\text{a in state.actions}}$ value(state.result(a))

  - if state.player is CHANCE
  - return sum $_{\text{s in state.next\_states}}$ P(s) * value(s)

Example



Quiz

Expectimax tree search:

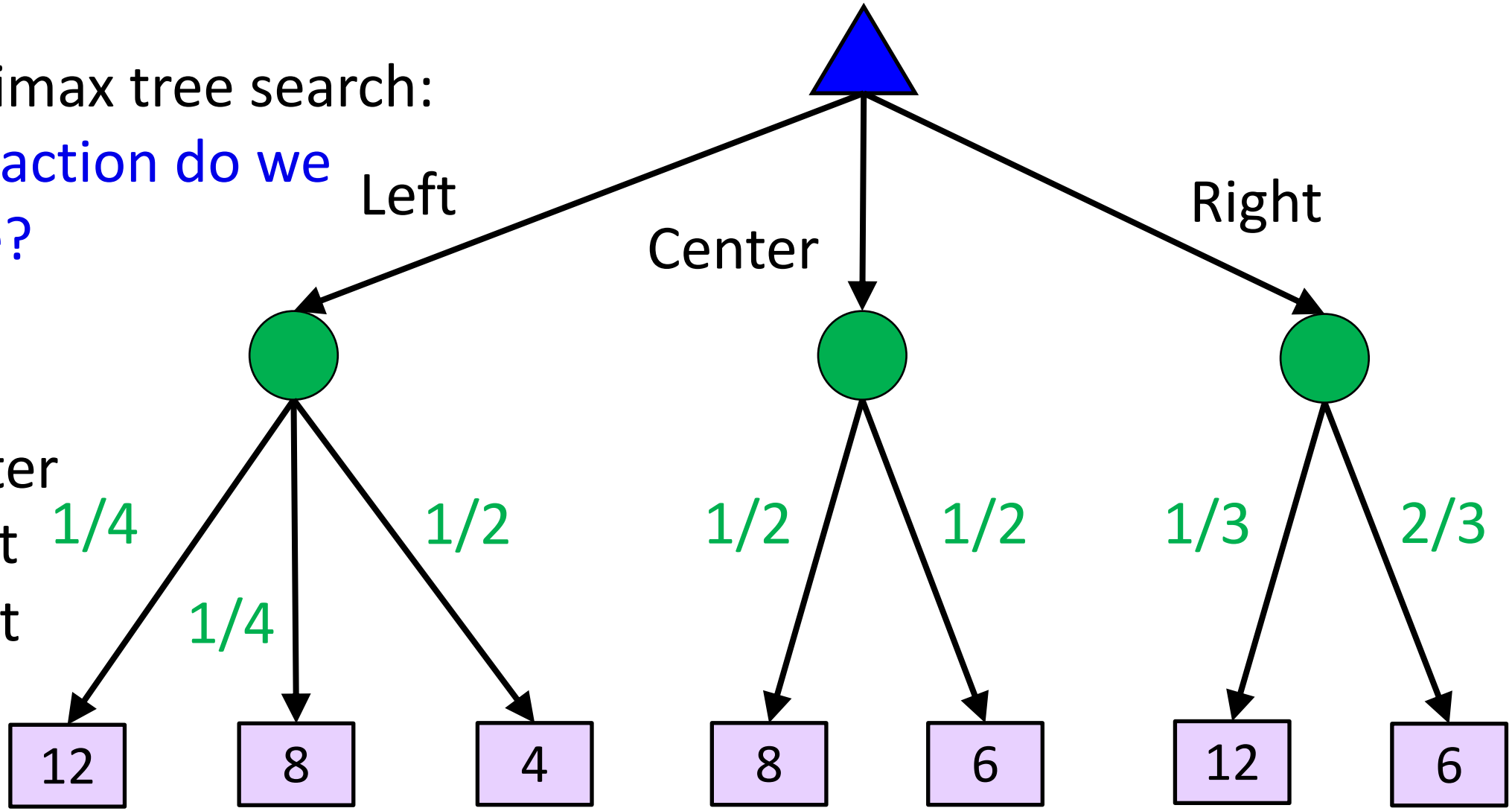
Which action do we choose?

A: Left

B: Center

C: Right

D: Eight



Quiz 2

Expectimax tree search:

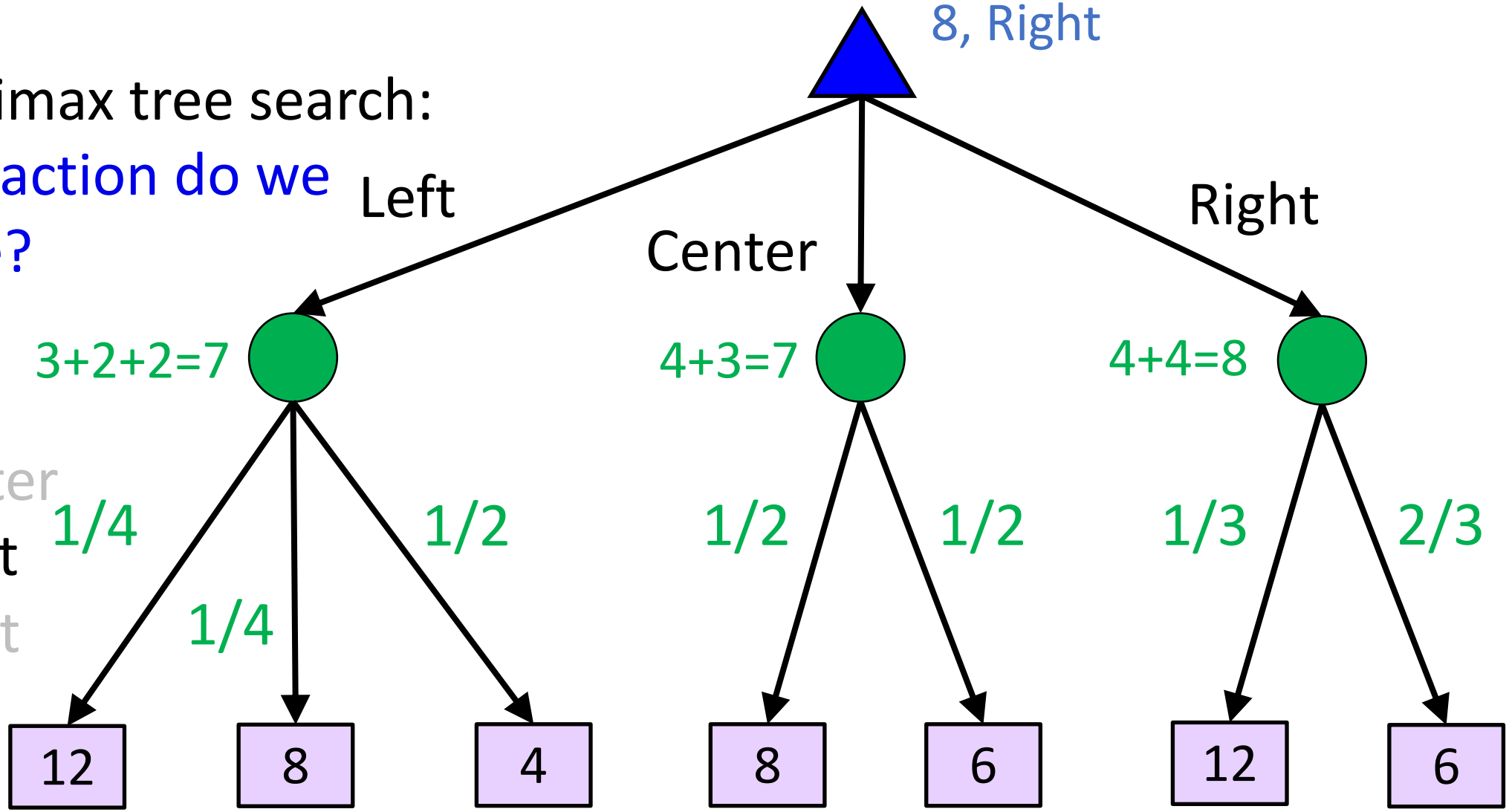
Which action do we choose?

A: Left

B: Center

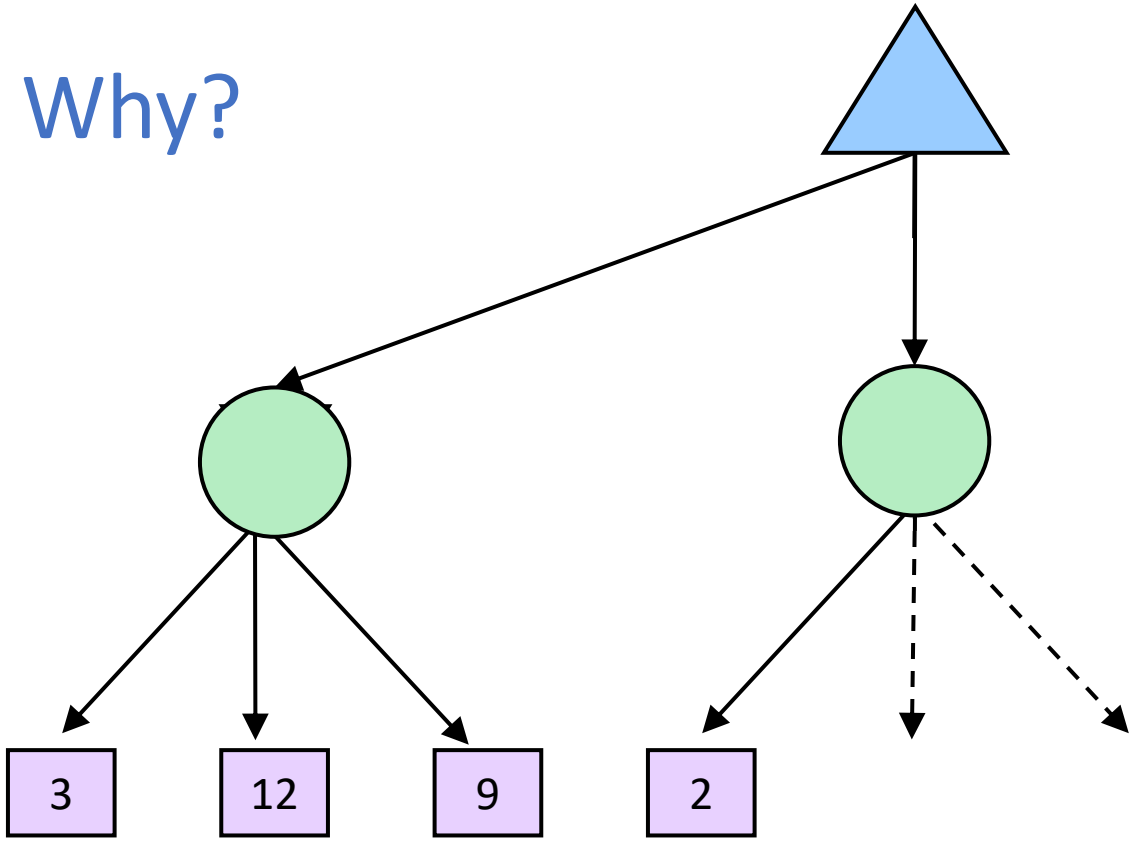
C: Right

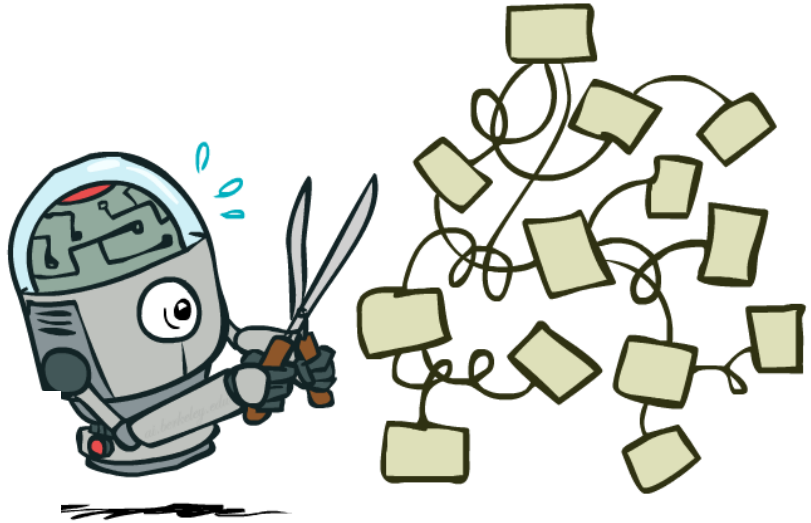
D: Eight



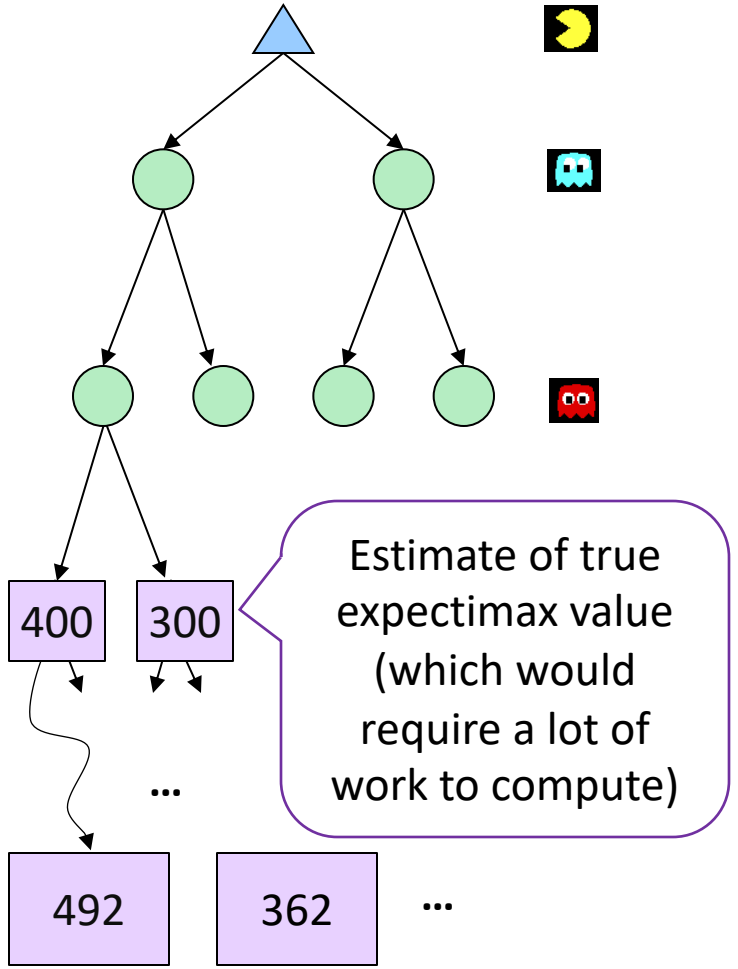
Expectimax Pruning?

No! Why?



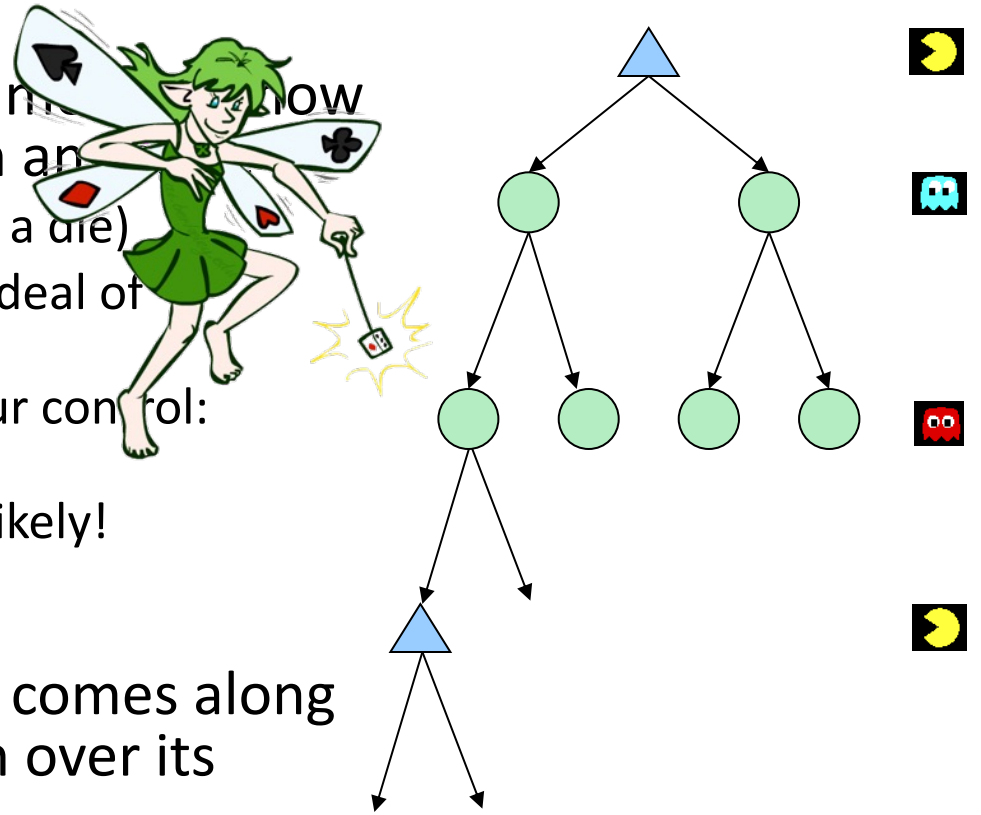


Expectimax: Depth-Limited



We know how to compute with probabilities. But where do probabilities arise?

- In expectimax search, we have a probabilistic model of how the opponent (or environment) will behave in any state
  - Model could be a simple uniform distribution (roll a die)
  - Model could be sophisticated and require a great deal of computation
  - We have a chance node for any outcome out of our control: opponent or environment
  - The model might say that adversarial actions are likely!

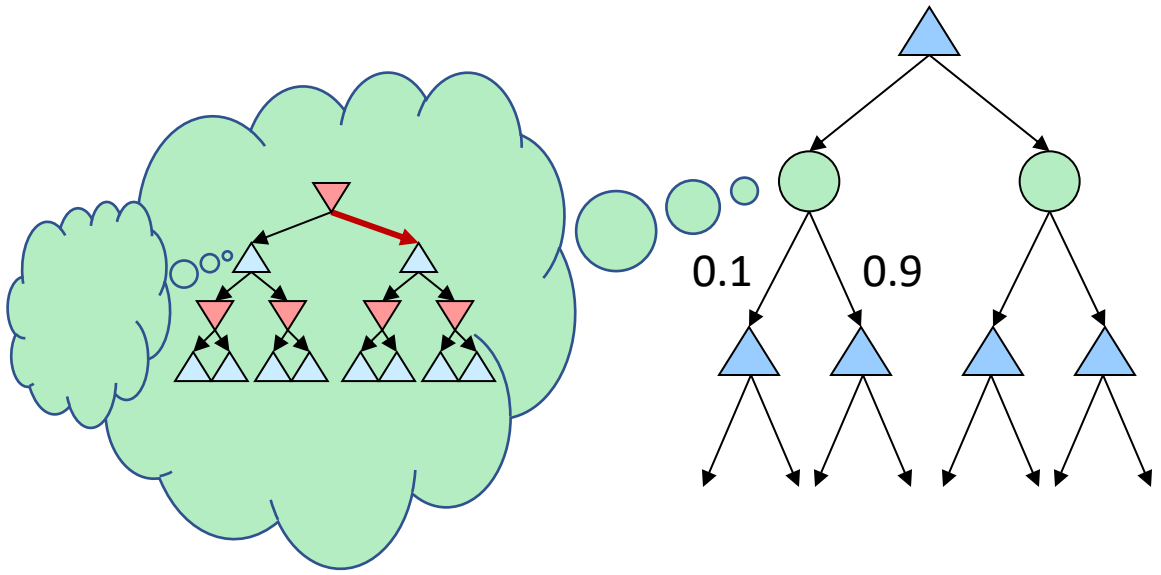


- For now, assume each chance node magically comes along with probabilities that specify the distribution over its outcomes

Having a probabilistic belief about another agent's action does not mean that the agent is flipping any coins!

Quiz: Informed Probabilities

- Let's say you know that your opponent is actually running a depth 2 minimax, using the result 80% of the time, and moving randomly otherwise
- Question: What tree search should you use?

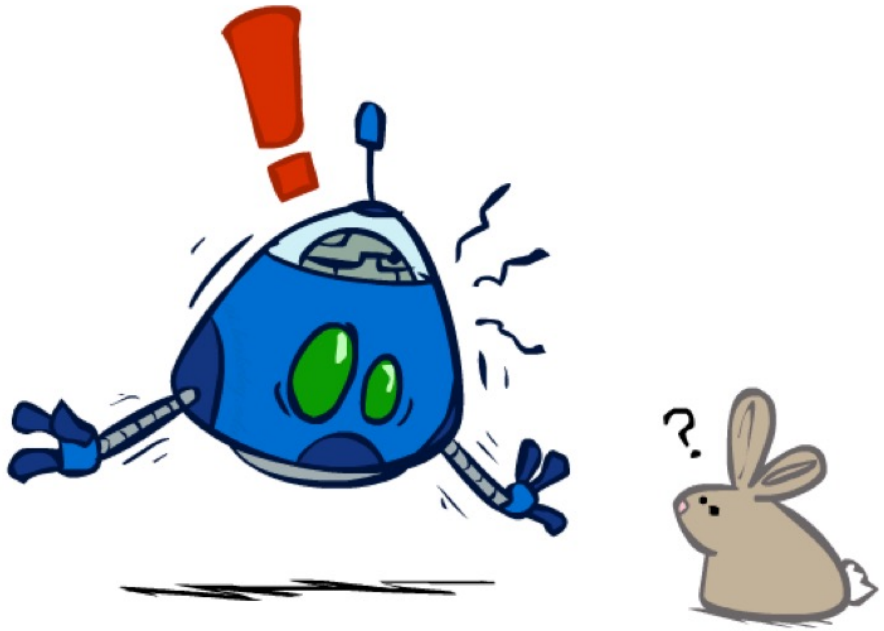


- Answer: Expectimax!
  - To figure out EACH chance node's probabilities, you have to run a simulation of your opponent
  - This kind of thing gets very slow very quickly
  - Even worse if you have to simulate your opponent simulating you…
  - … except for minimax and maximax, which have the nice property that it all collapses into one game tree

This is basically how you would model a human, except for their utility: their utility might be the same as yours (i.e. you try to help them, but they are depth 2 and noisy), or they might have a slightly different utility (like another person navigating in the office)

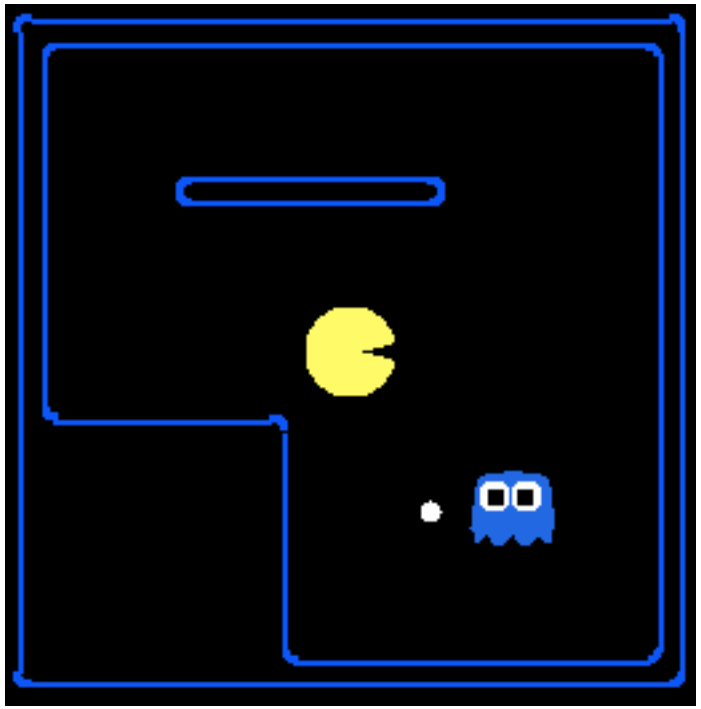
Dangerous Pessimism/Optimism

Assuming the worst case when it's not likely



Assuming chance when the world is adversarial

Assumptions vs. Reality



	Adversarial Ghost	Random Ghost
Minimax Pacman		
Expectimax Pacman		

Results from playing 5 games

Pacman used depth 4 search with an eval function that avoids trouble
Ghost used depth 2 search with an eval function that seeks Pacman

[Demos: world assumptions (L7D3,4,5,6)]

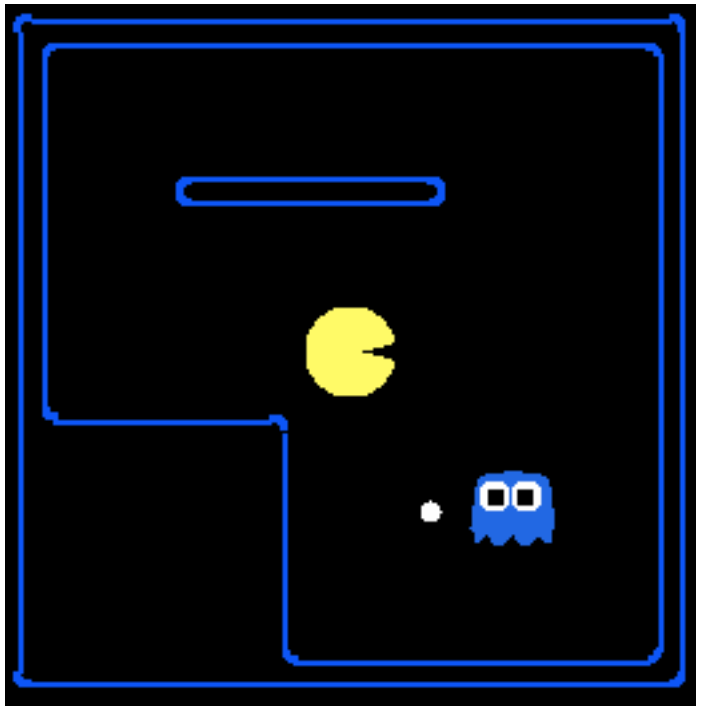
Video of Demo World Assumptions Random Ghost – Expectimax Pacman

Video of Demo World Assumptions Adversarial Ghost – Minimax Pacman

Video of Demo World Assumptions Adversarial Ghost – Expectimax Pacman

Video of Demo World Assumptions Random Ghost – Minimax Pacman

Assumptions vs. Reality



	Adversarial Ghost	Random Ghost
Minimax Pacman	Won 5/5 Avg. Score: 483	Won 5/5 Avg. Score: 493
Expectimax Pacman	Won 1/5 Avg. Score: -303	Won 5/5 Avg. Score: 503

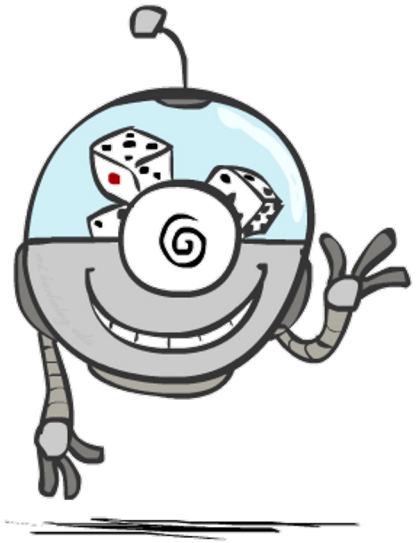
Results from playing 5 games

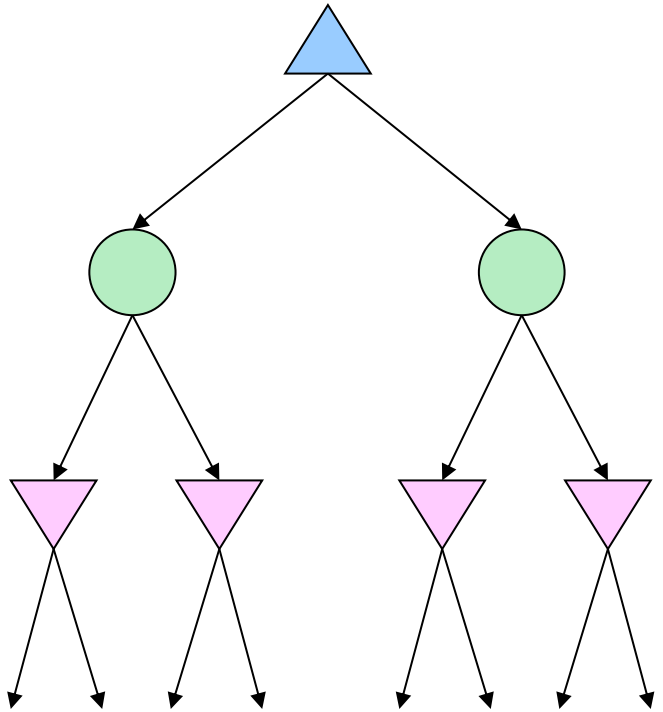
Pacman used depth 4 search with an eval function that avoids trouble
Ghost used depth 2 search with an eval function that seeks Pacman

[Demos: world assumptions (L7D3,4,5,6)]

Mixed Layer Types

- E.g. Backgammon
- Expectiminimax
  - Environment is an extra “random agent” player that moves after each min/max agent
  - Each node computes the appropriate combination of its children

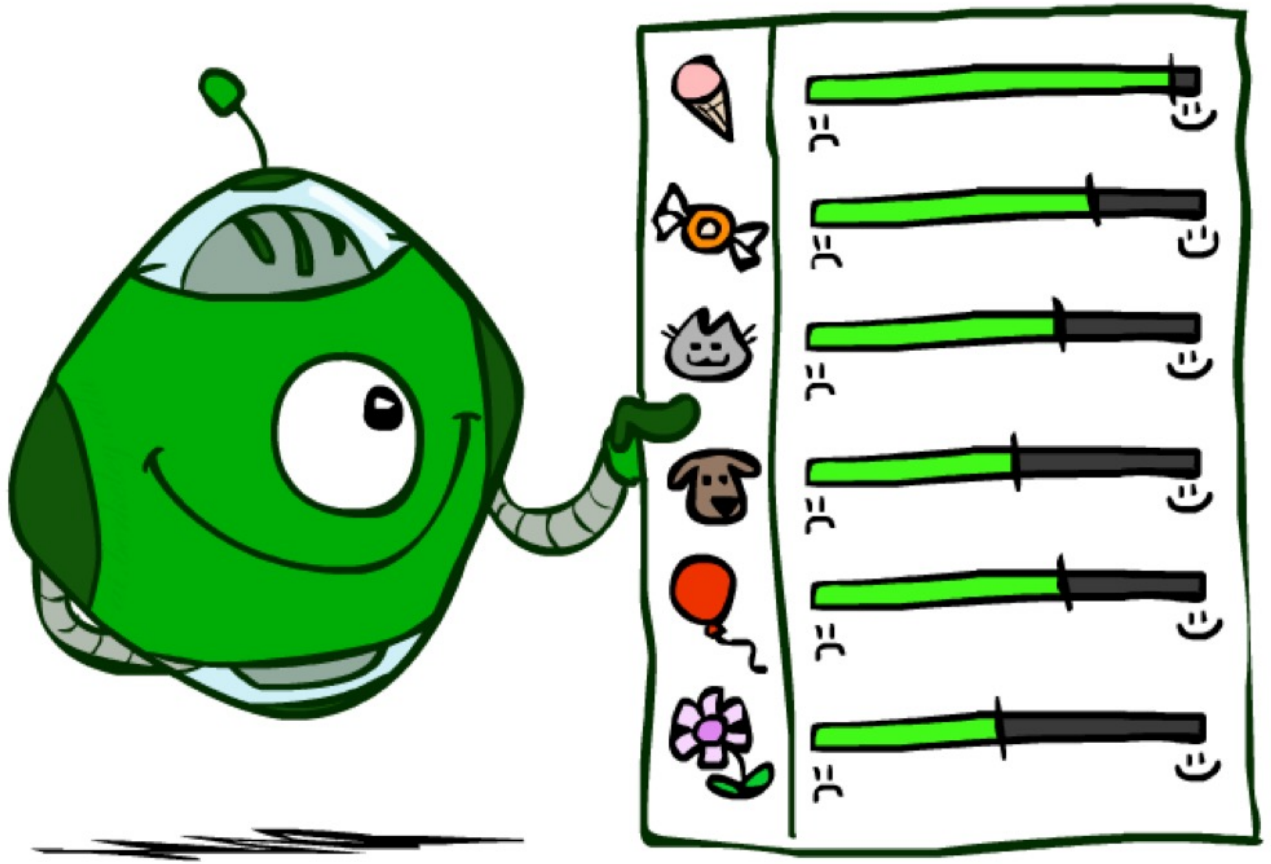




Example: Backgammon

- Dice rolls increase *b*: 21 possible rolls with 2 dice
  - Backgammon ≈ 20 legal moves
  - Depth 2 = 20 x $(21 \times 20)^3$ = 1.2 x 10^9
- As depth increases, probability of reaching a given search node shrinks
  - So usefulness of search is diminished
  - So limiting depth is less damaging
  - But pruning is trickier…
- Historic AI: TDGammon uses depth-2 search + very good evaluation function + reinforcement learning: world-champion level play
- 1st AI world champion in any game!

Utilities



We know how to compute with utilities given.

But how to model utilities in real applications?

And what properties of utilities are required?

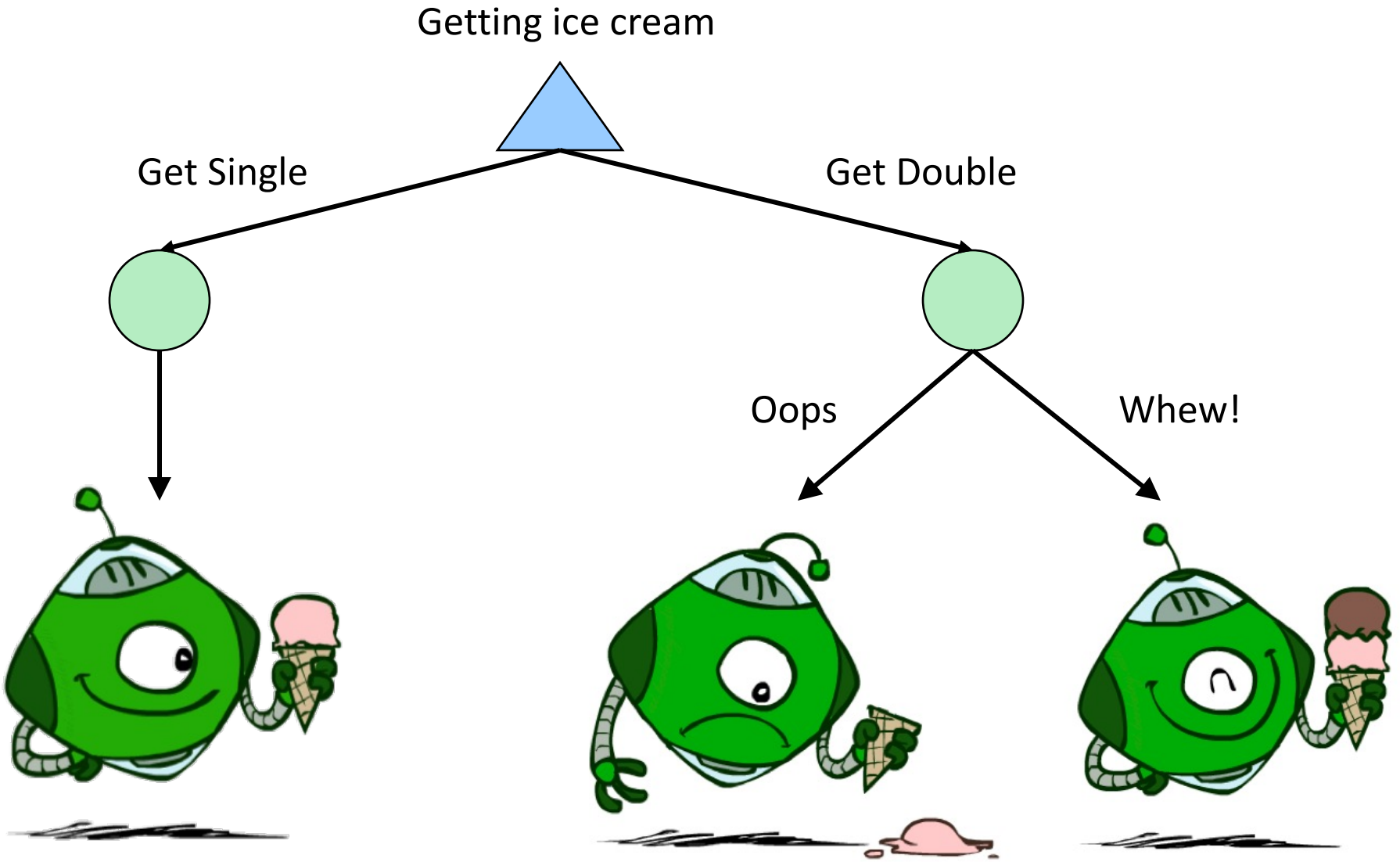
Utilities

- Utilities are functions from outcomes (states of the world) to real numbers that describe an agent's preferences

- Where do utilities come from?
  - In a game, may be simple (+1/-1)
  - Utilities summarize the agent's goals
  - Theorem: any "rational" preferences can be summarized as a utility function

- We hard-wire utilities and let behaviors emerge
  - Why don't we let agents pick utilities?
  - Why don't we prescribe behaviors?

Utilities with Uncertain Outcomes



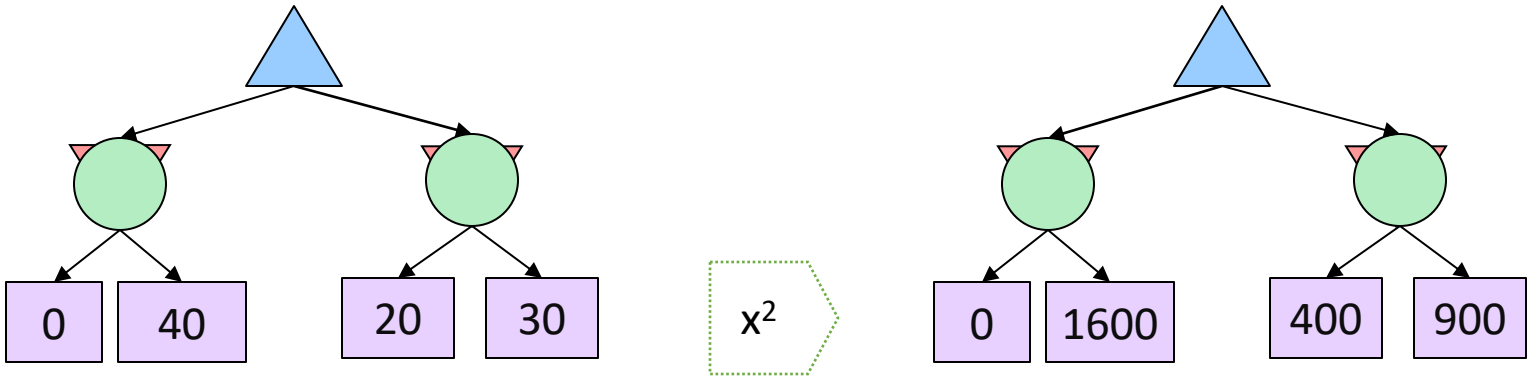
Maximum Expected Utility

- Why should we average utilities? Why not minimax?

- Principle of maximum expected utility:
  - A rational agent should chose the action that maximizes its expected utility, given its knowledge

- Questions:
  - Where do utilities come from?
  - How do we know such utilities even exist?
  - How do we know that averaging even makes sense?
  - What if our behavior (preferences) can't be described by utilities?

What Utilities to Use?



- For worst-case minimax reasoning, terminal function scale doesn't matter
  - We just want better states to have higher evaluations (get the ordering right)
  - We call this insensitivity to monotonic transformations

- For average-case expectimax reasoning, we need *magnitudes* to be meaningful

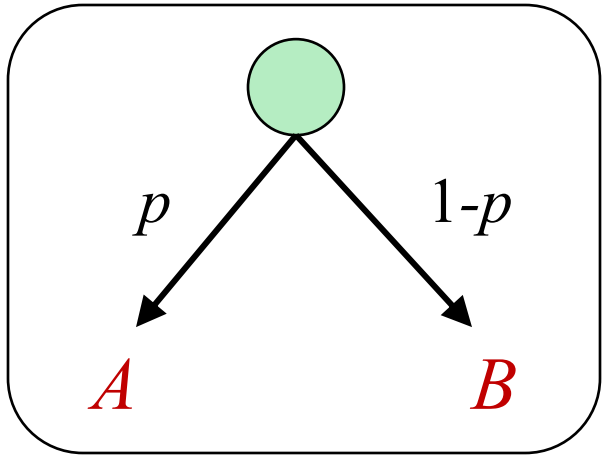
Preferences

- An agent must have preferences among:
  - Prizes: $A,\ B$, etc.
  - Lotteries: situations with uncertain prizes

$$L = [p, A;\ \ (1-p), B]$$

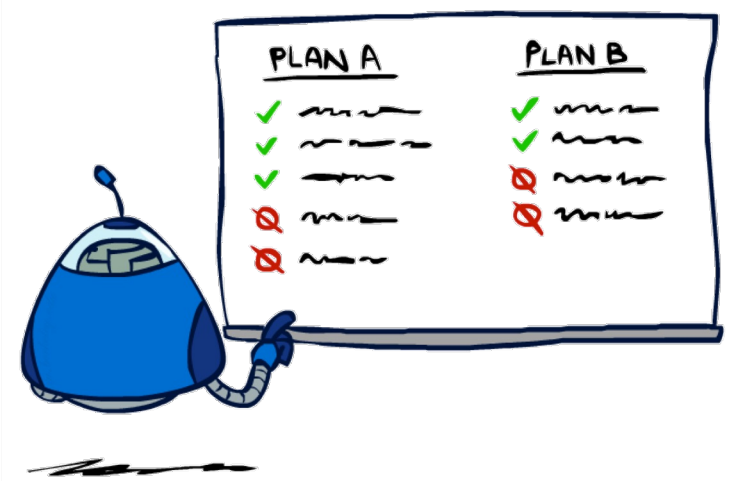
A Prize

A Lottery



- Notation:
  - Preference: $A \succ B$
  - Indifference: $A \sim B$

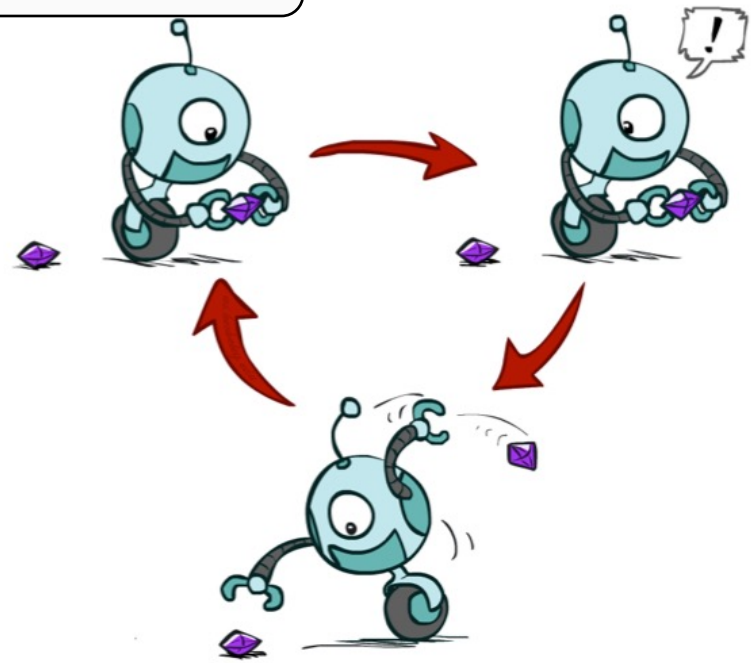
Rational Preferences



- We want some constraints on preferences before we call them rational, such as:

Axiom of Transitivity: $(A \succ B) \wedge (B \succ C) \Rightarrow (A \succ C)$

- For example: an agent with intransitive preferences can be induced to give away all of its money
  - If B > C, then an agent with C would pay (say) 1 cent to get B
  - If A > B, then an agent with B would pay (say) 1 cent to get A
  - If C > A, then an agent with A would pay (say) 1 cent to get C



Rational Preferences 2

- The Axioms of Rationality

Orderability

$$(A \succ B) \vee (B \succ A) \vee (A \sim B)$$

Transitivity

$$(A \succ B) \wedge (B \succ C) \Rightarrow (A \succ C)$$

Continuity

$$A \succ B \succ C \Rightarrow \exists p\ [p, A;\ 1-p, C] \sim B$$

Substitutability

$$A \sim B \Rightarrow [p, A;\ 1-p, C] \sim [p, B; 1-p, C]$$

Monotonicity

$$A \succ B \Rightarrow$$
$$(p \geq q \Leftrightarrow [p, A;\ 1-p, B] \succeq [q, A;\ 1-q, B])$$

- Theorem: Rational preferences imply behavior describable as maximization of expected utility

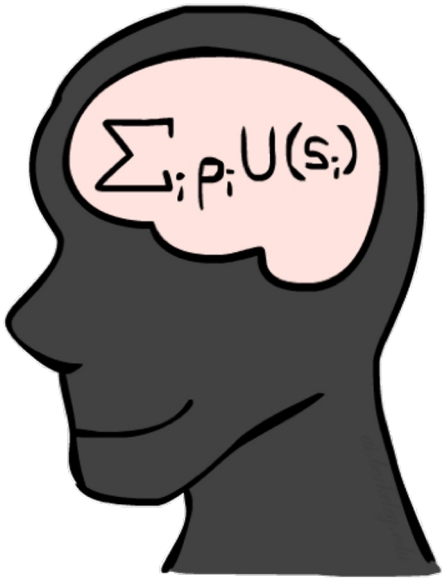
MEU Principle

- Theorem [Ramsey, 1931; von Neumann & Morgenstern, 1944]
  - Given any preferences satisfying these constraints, there exists a real-valued function U such that:

$$U(A) \geq U(B) \;\Leftrightarrow\; A \succeq B$$

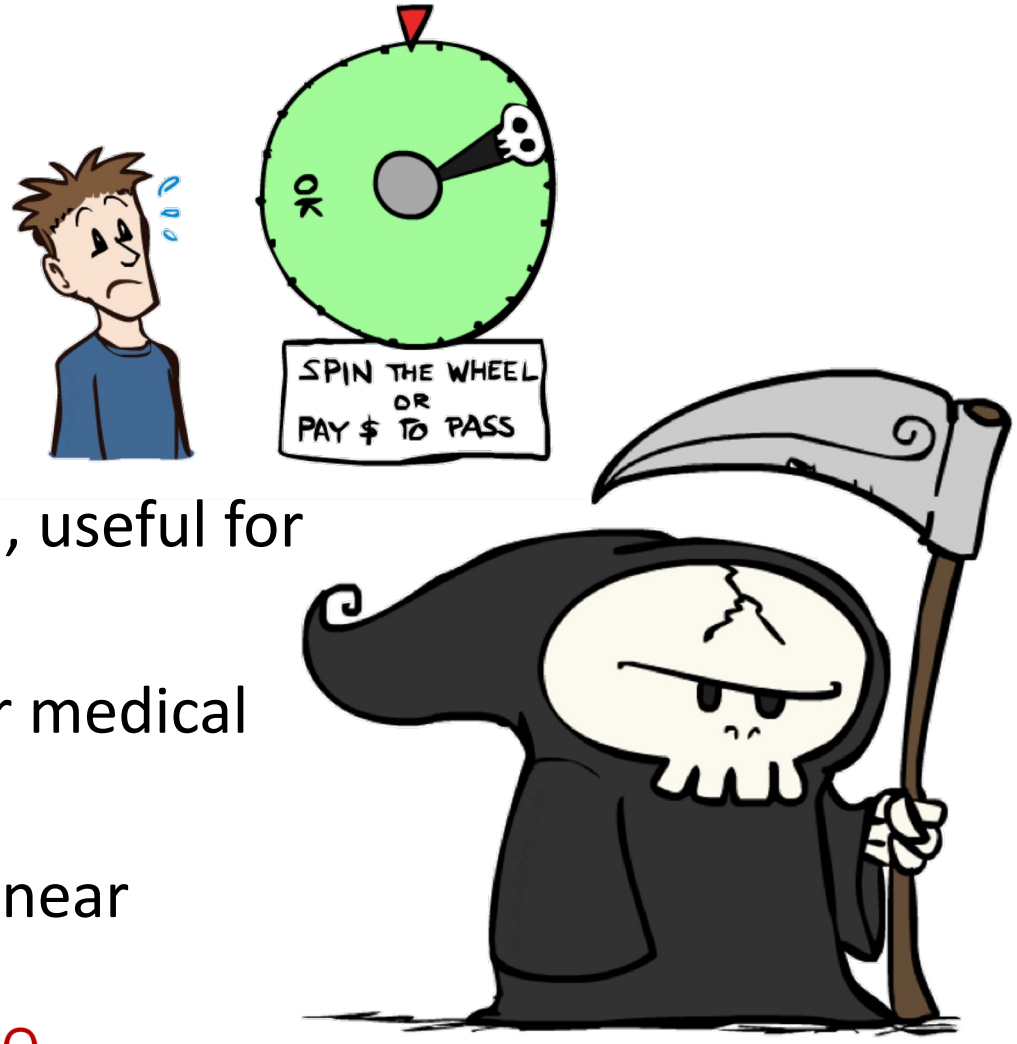
$$U([p_1, S_1;\; \ldots\; ;\; p_n, S_n]) = \sum_i p_i U(S_i)$$

  - i.e. values assigned by U preserve preferences of both prizes and lotteries!



- Maximum expected utility (MEU) principle:
  - Choose the action that maximizes expected utility
  - Note: an agent can be entirely rational (consistent with MEU) without ever representing or manipulating utilities and probabilities
  - E.g., a lookup table for perfect tic-tac-toe, a reflex vacuum cleaner

Human Utility Scales



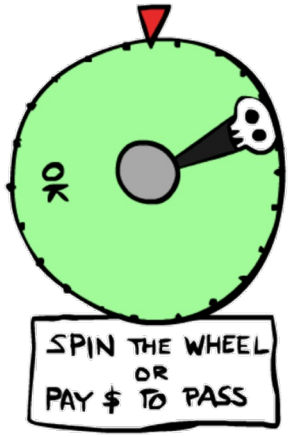
- Normalized utilities: $u_+ = 1.0$, $u_- = 0.0$
- Micromorts: one-millionth chance of death, useful for paying to reduce product risks, etc.
- QALYs: quality-adjusted life years, useful for medical decisions involving substantial risk
- Note: behavior is invariant under positive linear transformation

$$U'(x) = k_1 U(x) + k_2 \quad \text{where } k_1 > 0$$

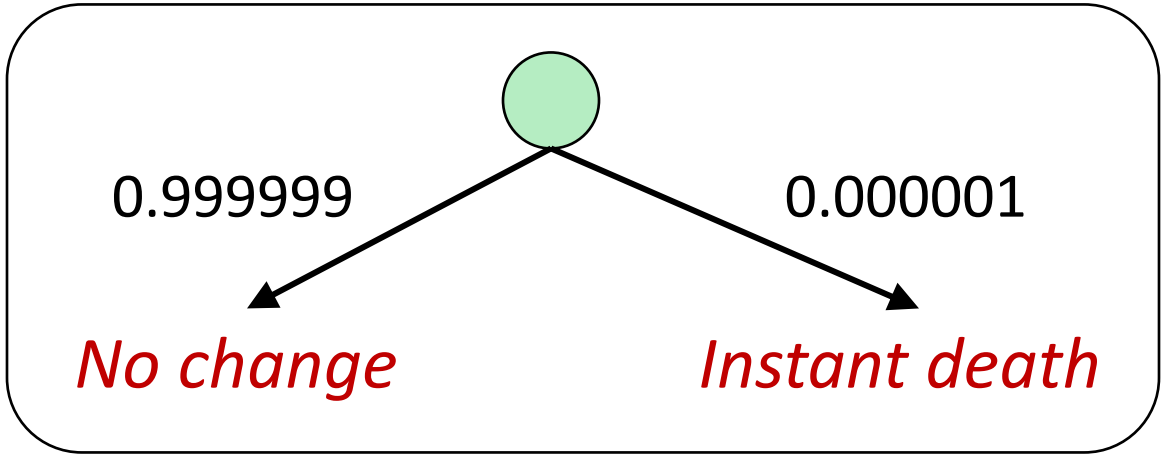
- With deterministic prizes only (no lottery choices), only ordinal utility can be determined, i.e., total order on prizes

Human Utilities

- Once we have total order on utilities, how to map utilities to numbers?
- Standard approach to assessment (elicitation) of human utilities:
  - Compare a prize A to a standard lottery L_p between
    - "best possible prize" u_+ with probability p
    - "worst possible catastrophe" u_- with probability 1-p
  - Adjust lottery probability p until indifference: A ~ L_p
  - Resulting p is a utility in [0,1]

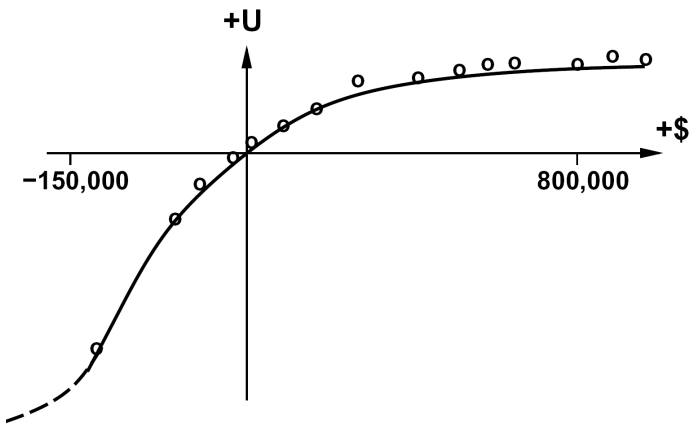


Pay $30 ~

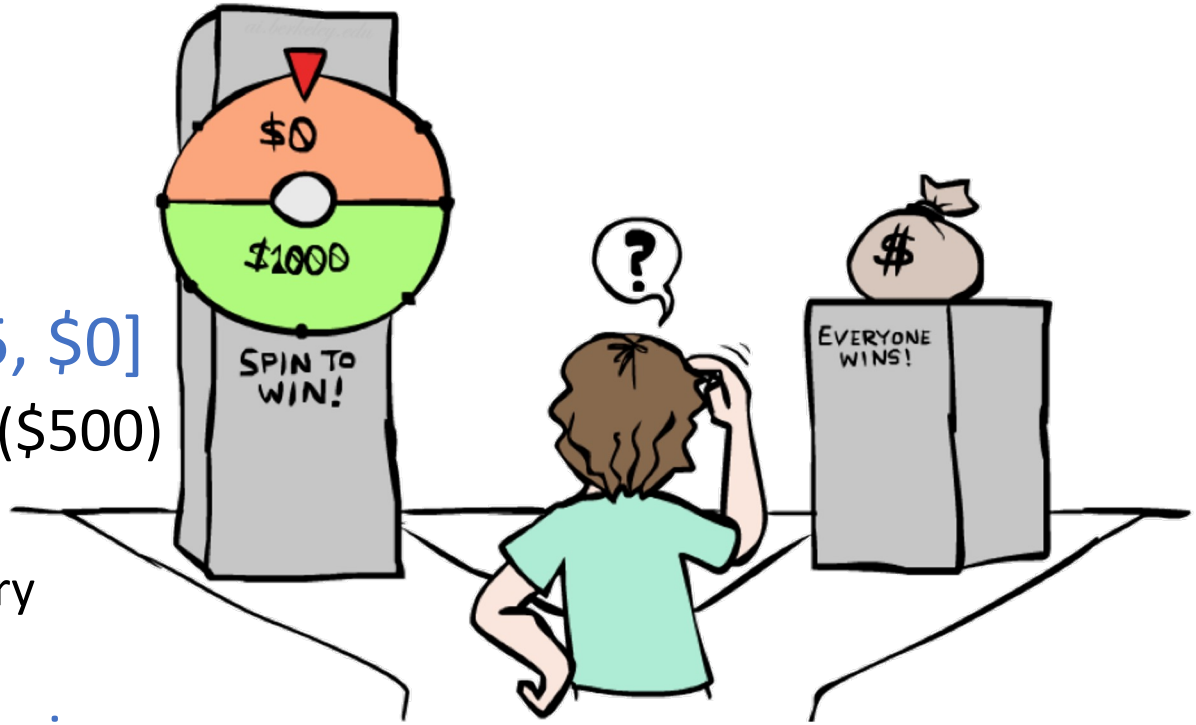


Human Utilities: Money

- Money does not behave as a utility function, but we can talk about the utility of having money (or being in debt)
- Given a lottery L = [p, $X; (1-p), $Y]
  - The expected monetary value EMV(L) is p*X + (1-p)*Y
  - U(L) = p*U($X) + (1-p)*U($Y)
  - Typically, U(L) < U(EMV(L))
  - In this sense, people are risk-averse
  - When deep in debt, people are risk-prone
    - When $X, Y < 0$, U(L) > U(EMV(L))



Example: Insurance



- Consider the lottery [0.5, $1000; 0.5, $0]
  - What is its expected monetary value? ($500)
  - What is its certainty equivalent?
    - Monetary value acceptable in lieu of lottery
    - $400 for most people
  - Difference of $100 is the insurance premium
    - There's an insurance industry because people will pay to reduce their risk
    - If everyone were risk-neutral, no insurance needed!
  - It's win-win: you'd rather have the $400 and the insurance company would rather have the lottery (their utility curve is flat and they have many lotteries)

Example: Human Rationality?

- Famous example of Allais (1953)
  - A: [0.8, $4k; 0.2, $0]
  - B: [1.0, $3k; 0.0, $0]
  - C: [0.2, $4k; 0.8, $0]
  - D: [0.25, $3k; 0.75, $0]
- Most people prefer B > A, C > D
- But if U($0) = 0, then
  - B > A $\Rightarrow$ U($3k) > 0.8 U($4k)
  - C > D $\Rightarrow$ 0.8 U($4k) > U($3k)

Summary

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- Types of games
- Adversarial Game Trees: Minimax search
- Resource Limits I: Alpha-Beta Pruning
- Resource Limits II: Depth-limited search
  - Evaluation functions
  - Iterative deepening
- Expectimax Search
  - Pruning ×/Depth-limited ✓
  - Adversarial/Random environments vs. Minimax/Expectimax agents
  - Probabilities/Simulations
- Utilities
  - Rational preferences/MEU principle/Human utilities/Money

Questions?