



上海交通大学

SHANGHAI JIAO TONG UNIVERSITY



上海交通大学

约翰·霍普克罗夫特  
计算机科学中心

John Hopcroft Center for Computer Science

# CS 3330: Combinatorics

Shuai Li & Biaoshuai Tao

John Hopcroft Center, Shanghai Jiao Tong University

<https://shuaili8.github.io>

<https://jhc.sjtu.edu.cn/~bstao/>

<https://shuaili8.github.io/Teaching/CS3330/index.html>

# Shuai Li (Week 1-8)

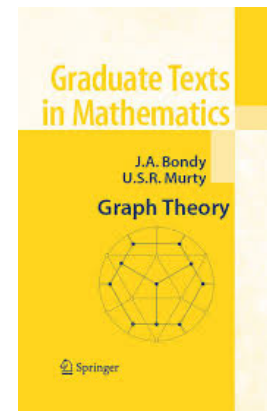
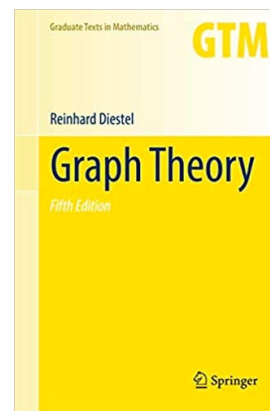
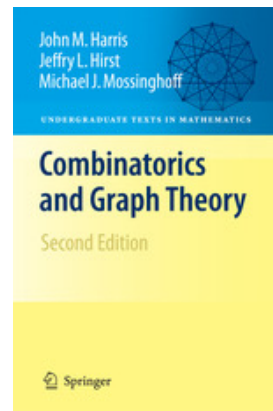
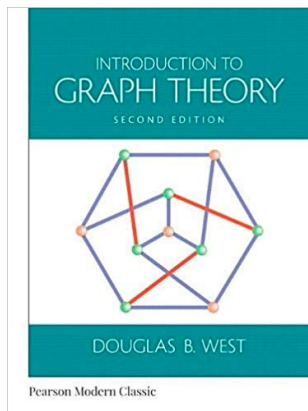
- Position
  - Assistant Professor at John Hopcroft Center since Sep 2019
- Education
  - PhD in Computer Science from the Chinese University of Hong Kong
  - Master in Math from the Chinese Academy of Sciences
  - Bachelor in Math from Zhejiang University
- Research interests
  - Reinforcement learning algorithms and analysis
  - Optimization, algorithms and analysis in machine learning

# Biaoshuai Tao (Week 9-16)

- Position
  - Assistant Professor at John Hopcroft Center since Nov 2020
- Education
  - PhD in Computer Science from the University of Michigan
  - Bachelor in Math from Nanyang Technological University
- Research interests
  - Theoretical Computer Science
  - Computational Economics

# References

- References:
  - Introduction to Graph Theory, by Douglas West
  - Combinatorics and Graph Theory, by Harris, Hirst and Mossinghoff
  - Graph Theory, Reinhard Diestel
  - Graph Theory, by Bondy and Murty
  - A Course in Combinatorics, J. H. Van Lint



# Previous courses

- Discrete Mathematics
  - Basic concepts for graph theory
- Mathematical Foundations of Computer Science (CS 499?)
  - Basic notions and hand shaking lemma
  - Graph isomorphism and graph score
  - Applications of handshake lemma: Parity argument
  - The number of spanning trees
  - Isomorphism of trees
  - Random graphs

# Goal

- Knowledge of the basic problems for graph theory
  - Bipartite graphs/Matching/Circuits/Coloring/...
- Knowledge of the important counting related results on graphs
- Familiar with the common proof techniques
- Awareness of the popular applications of graphs in many fields

# Grading policy

- Attendance and participance: 5%
- Assignments: 35%
- Midterm exam: 15%
- Entry editing: 5%
- Reading report: 10%
- Final exam: 30%

# Honor code

- Discussions are encouraged
- **Independently** write-up homework and project
- Same reports and homework will be **reported**



# Teaching Assistant

- Ruofeng Yang (杨若峰) (Week 1-8)
  - Email: [wanshuiyin@sjtu.edu.cn](mailto:wanshuiyin@sjtu.edu.cn)
  - 1<sup>st</sup> year PhD Student
  - Research interests on bandit algorithms and optimization
  - Office hour: Tue 7-9 PM
- Yichen Tao (陶滢尘) (Week 9-16)
  - Email: [taoyc0904@sjtu.edu.cn](mailto:taoyc0904@sjtu.edu.cn)
  - Senior undergraduate student
  - Research interests on economics & computation
  - Office hour: Mon 7-9 PM, Activity Room of No.1 Dormitory Building, East District
- Zilong Wang (王子龙) (Week 1-8)
  - Email: [wangzilong@sjtu.edu.cn](mailto:wangzilong@sjtu.edu.cn)
  - Senior undergraduate student
  - Research interests on bandit and RL algorithms
  - Office hour: Wed 7-9 PM

# Course Outline

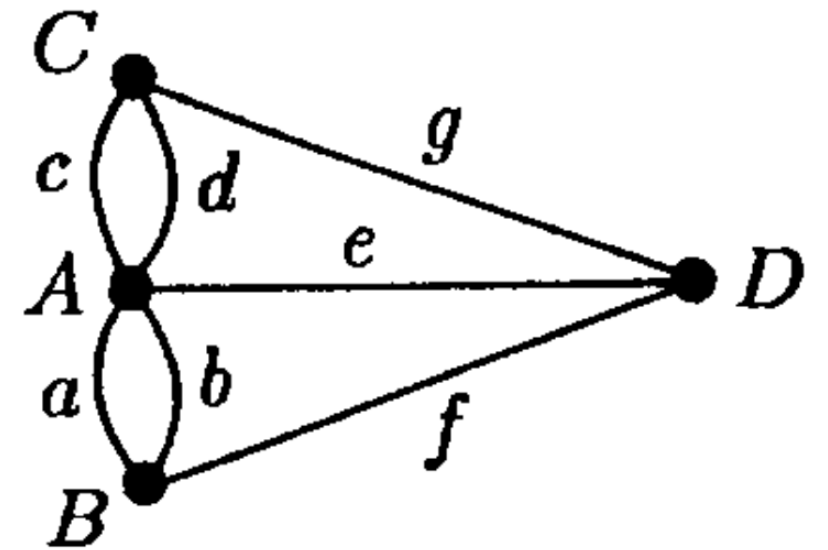
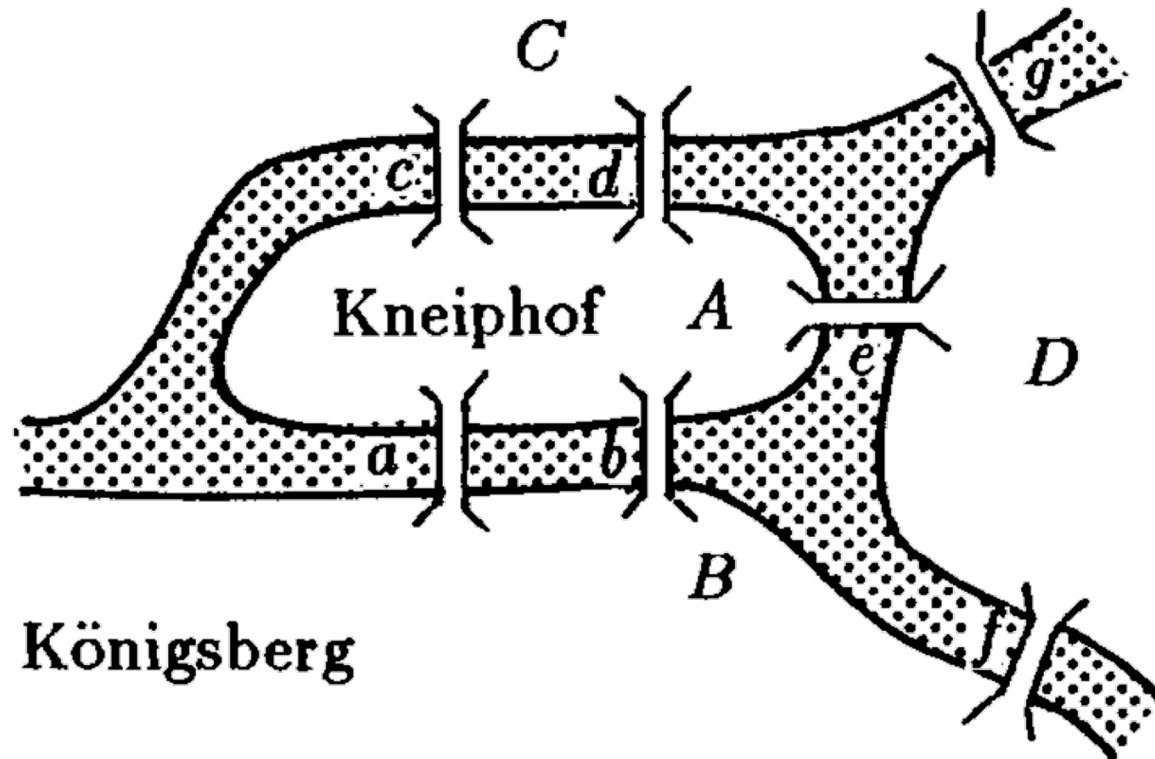
- Basics
  - Graphs, paths and cycles, connectivity, bipartite graphs
- Trees
- Matching
- Connectivity
- Planar Graphs
- Coloring
- Circuits

# Introduction

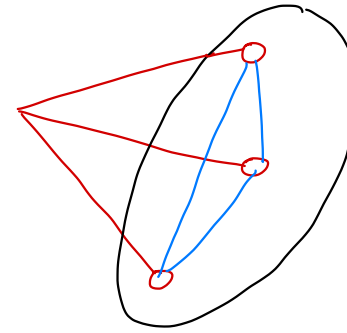
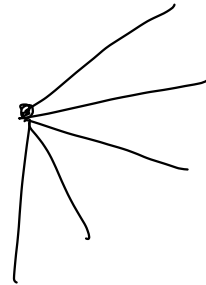
# Seven bridges of Königsberg 七桥问题



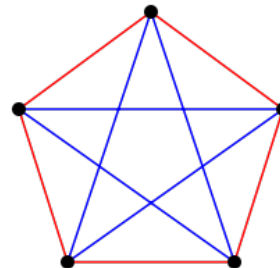
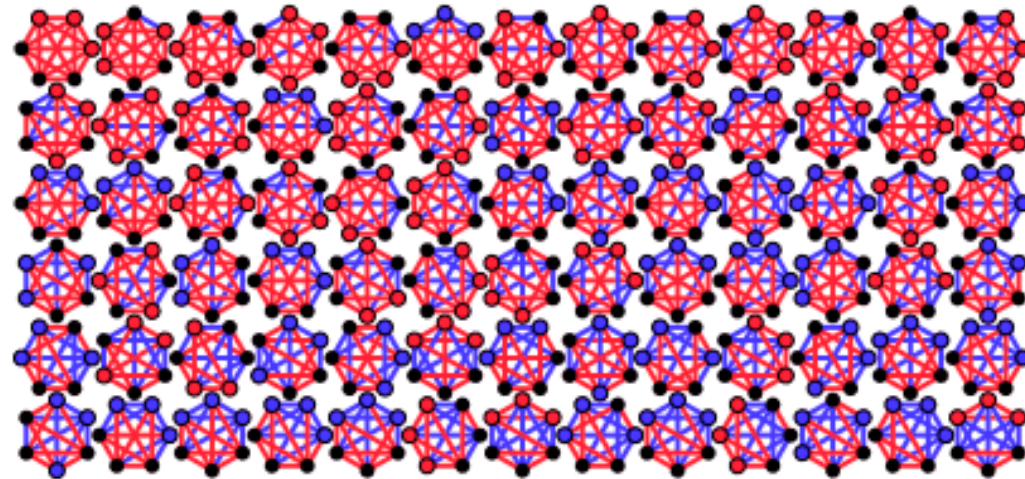
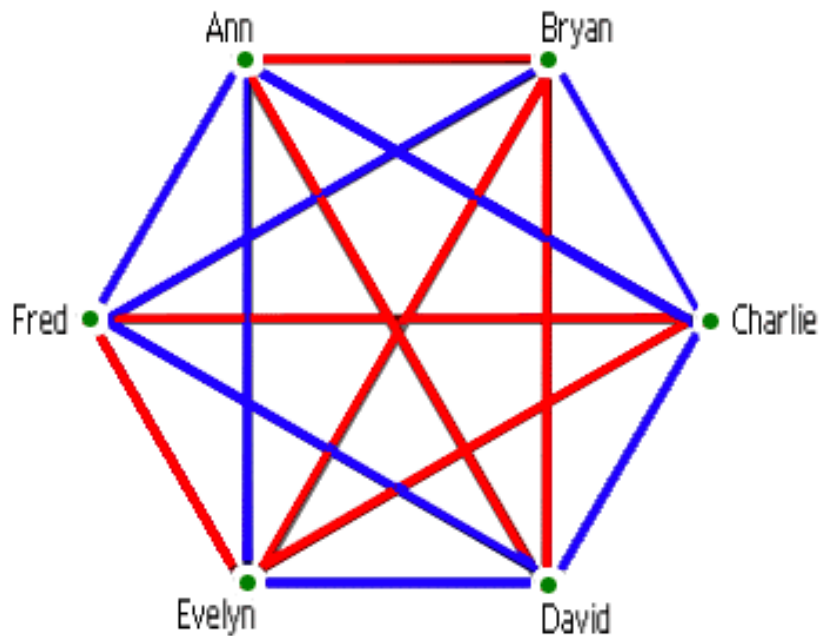
- Leonhard Euler 1736: Is it possible to make a walk through the city, returning to the starting point and crossing each bridge exactly once?



# The friendship riddle



- Does every set of six people contain three mutual acquaintances or three mutual strangers?



$$R(3,3)=6$$

$$R(3,4)=R(4,3)=9$$

$$R(3,5)=R(5,3)=14$$

$$R(3,6)=R(6,3)=18$$

# Examples of general combinatorics problems using graph theory

- Instant Insanity 四色方柱问题

- make a stack of these cubes so that all four colors appear on each of the four sides of the stack

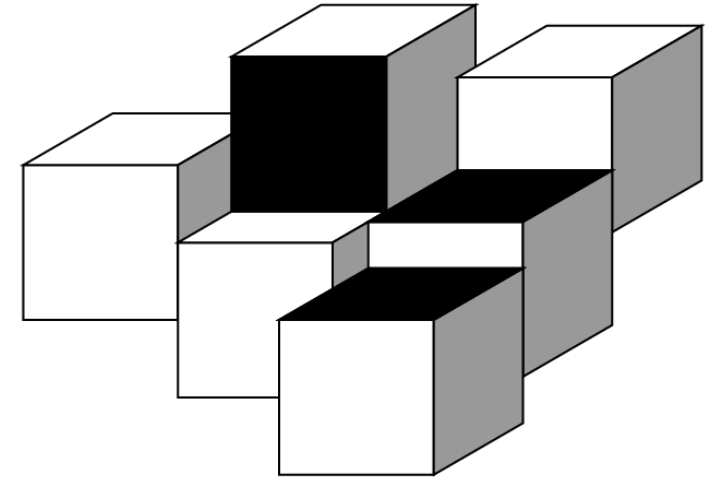
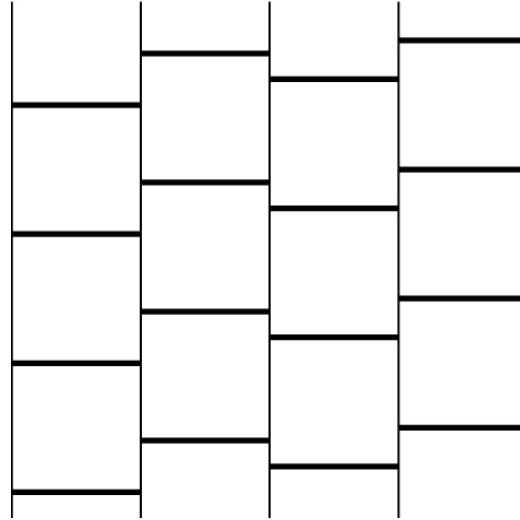


- A set problem

- Let  $A_1, \dots, A_n$  be  $n$  distinct subsets of the  $n$ -set  $N := \{1, \dots, n\}$ . Show that there is an element  $x \in N$  such that the sets  $A_i \setminus \{x\}$ ,  $1 \leq i \leq n$ , are all distinct

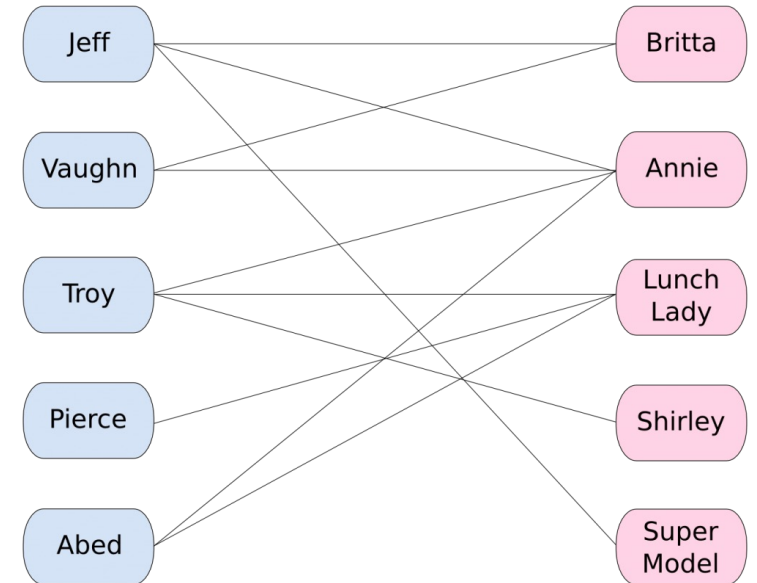
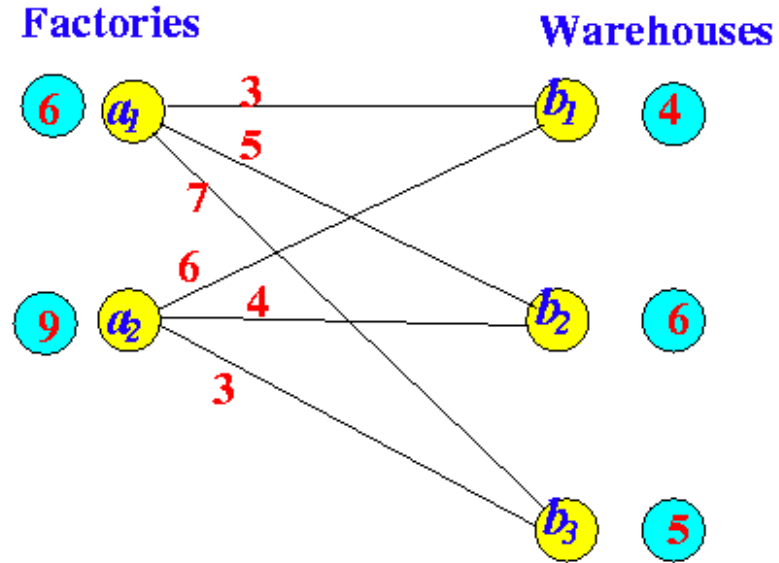
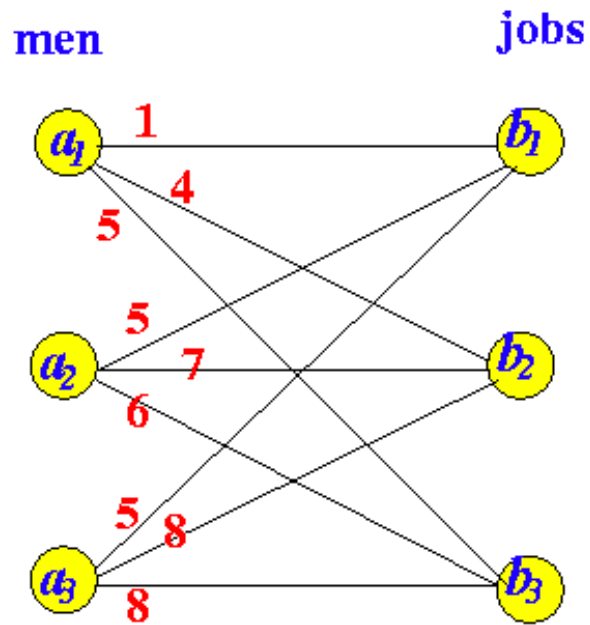
# Keller's conjecture

- In 1930, Keller conjectured that any tiling of  $n$ -dimensional space by translates of the unit cube must contain a pair of cubes that share a complete  $(n - 1)$ -dimensional face



- Corrádi and Szabó transfer it into a graph theory problem
  - Constructing Keller graph
- The conjecture is solved by computer search recently (June 2020)

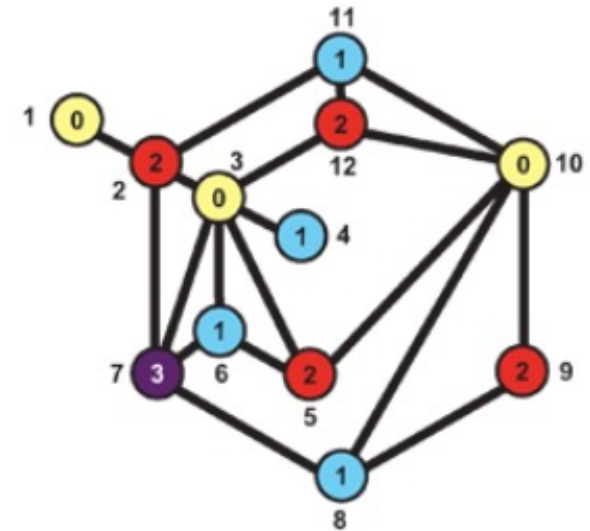
# Assignment problems





# Scheduling and coloring

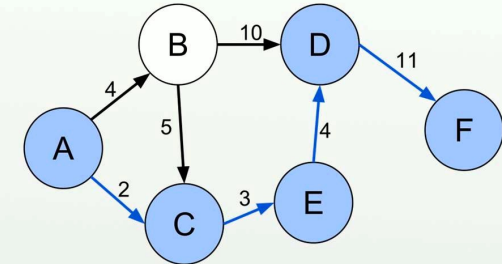
- University examination timetabling
  - Two courses linked by an edge if they have the same students
- Meeting scheduling
  - Two meetings are linked if they have same member



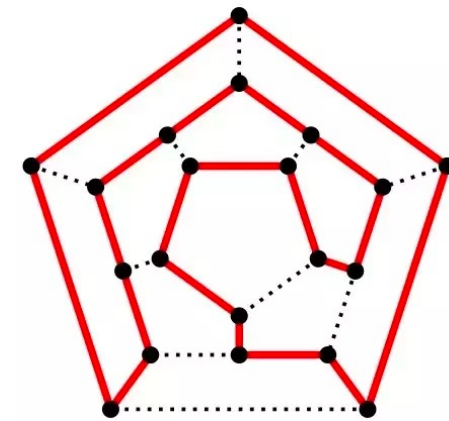
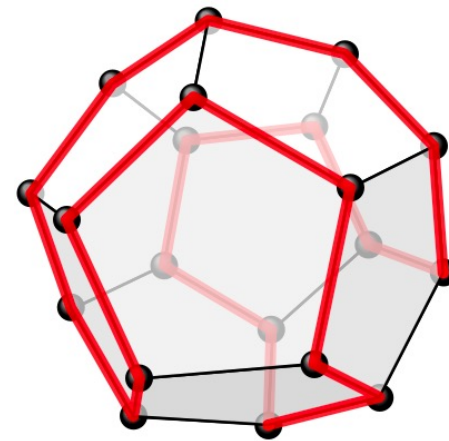
# Routes in road networks

- How can we find the shortest route from *A* to *F*?
- If the vertices of the graph represent our house and other places to visit, then we may want to follow a route that visits every vertex exactly once, so as to visit everyone without overstaying our welcome
  - Hamilton circuit

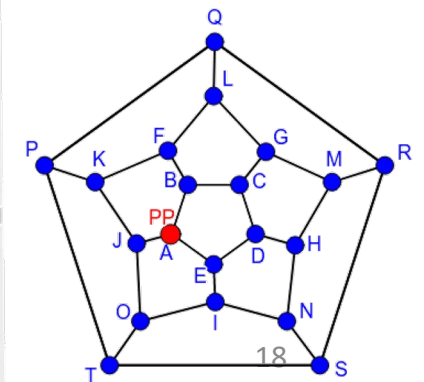
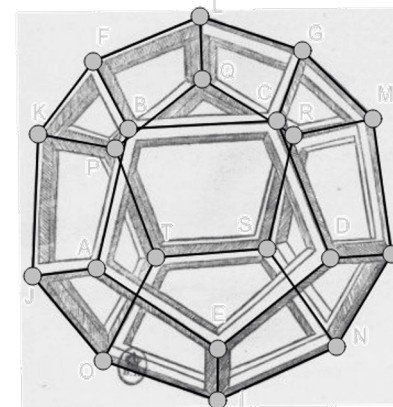
## Shortest path problem



[https://en.wikipedia.org/wiki/File:Shortest\\_path\\_with\\_direct\\_weights.svg](https://en.wikipedia.org/wiki/File:Shortest_path_with_direct_weights.svg)

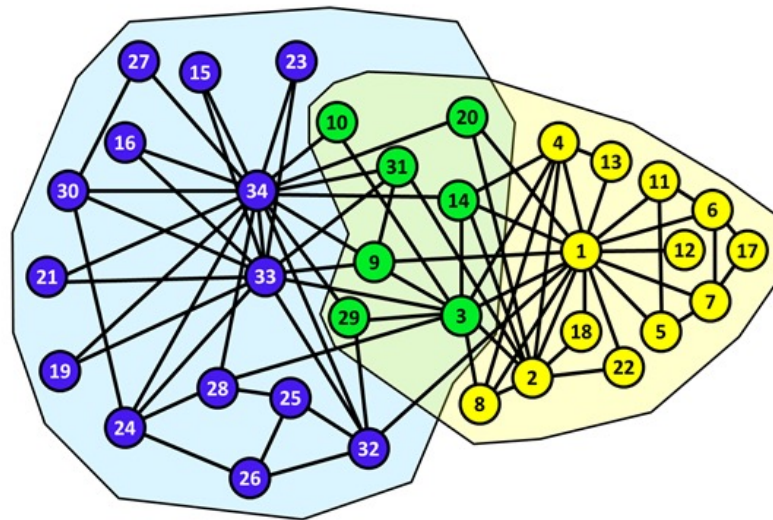
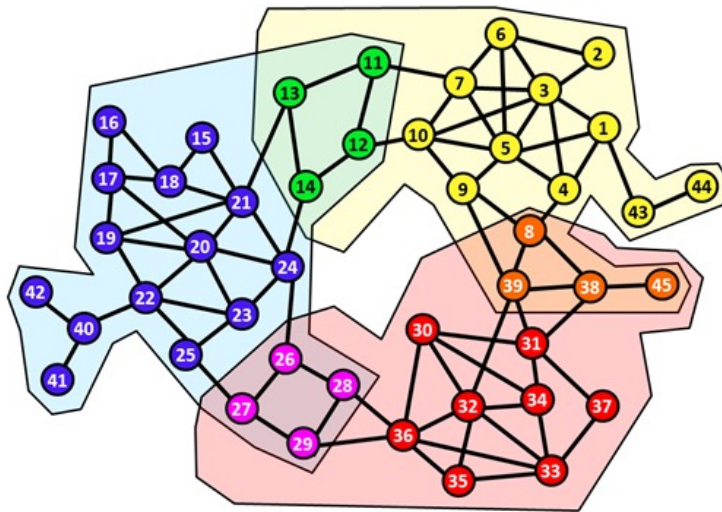


✓ Leonardo da Vinci: DVODECEDRON



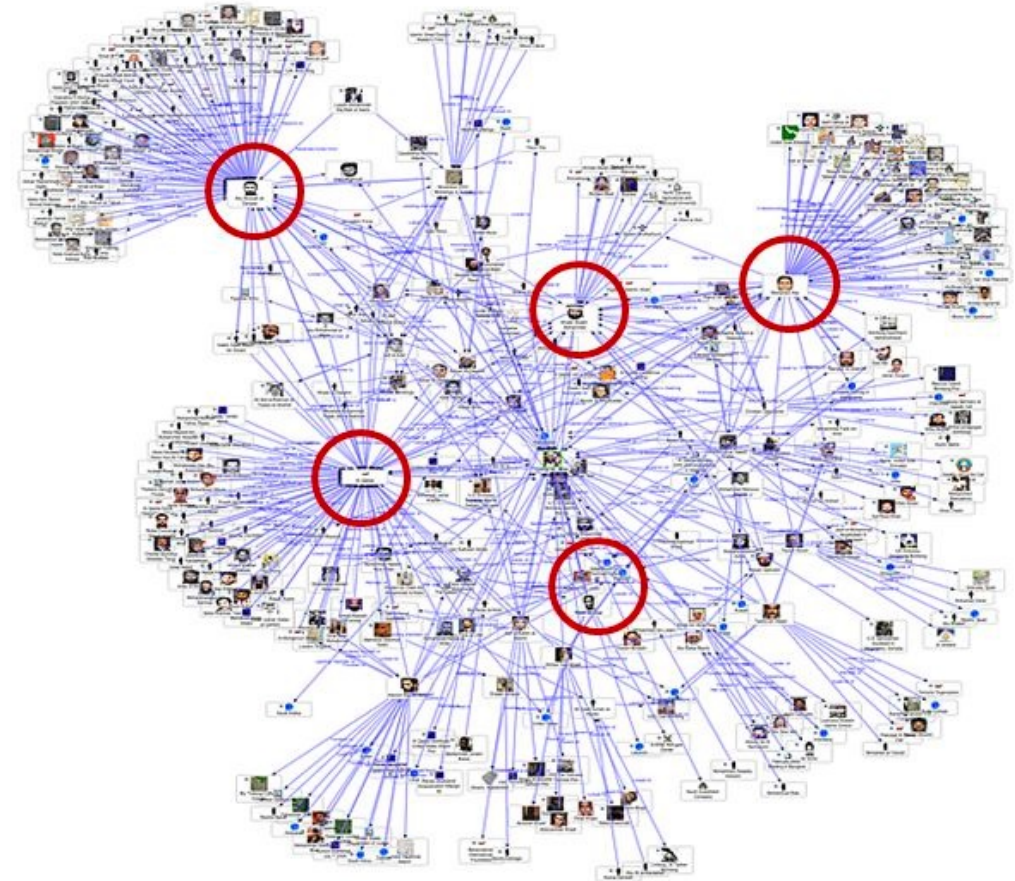
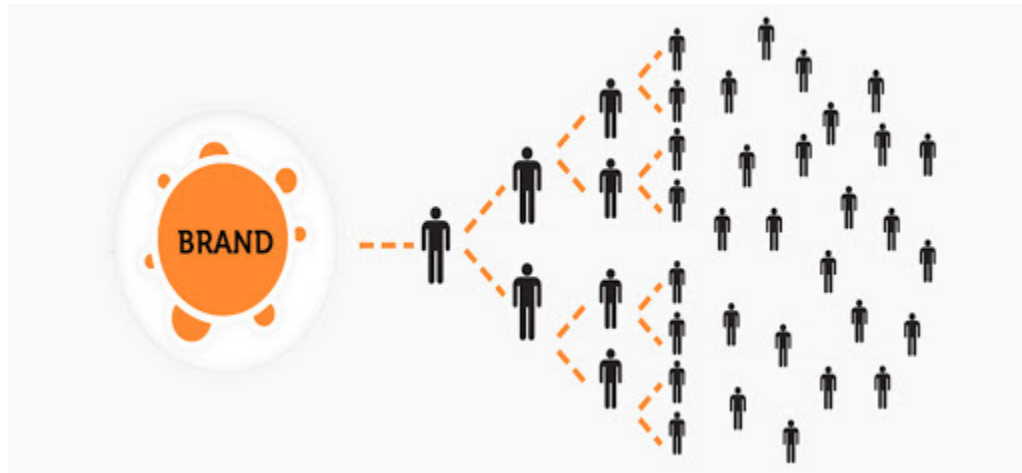
# Social network

- Recommendation
- Clustering



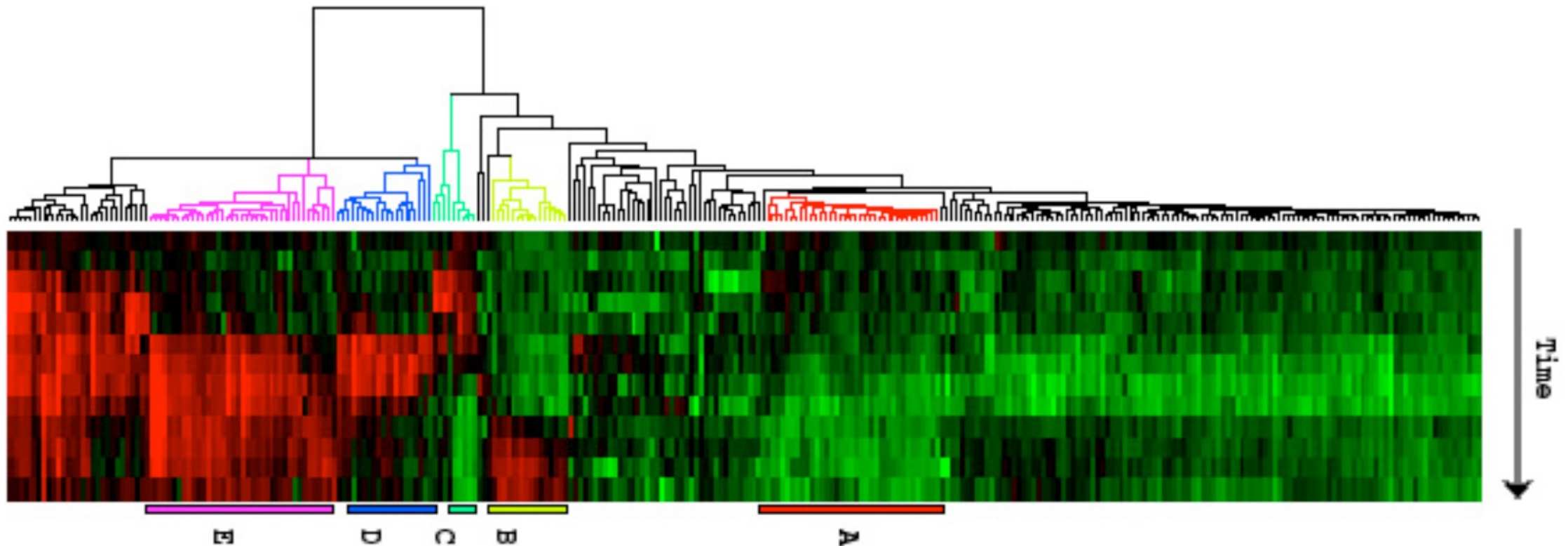
# Influence maximization

- Select the best seed set

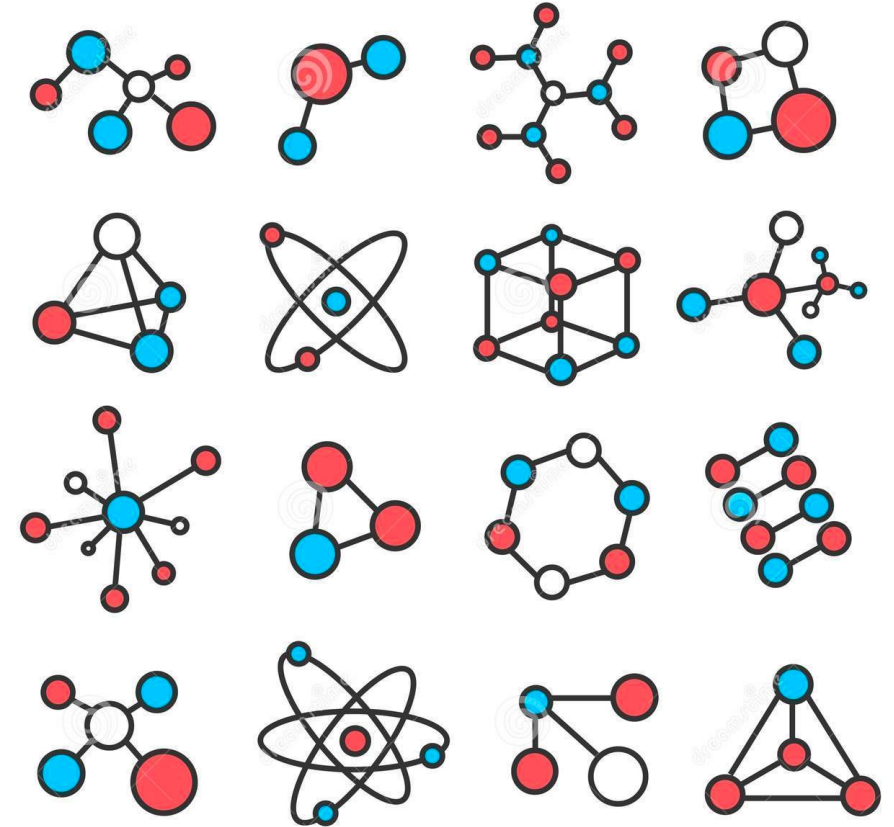
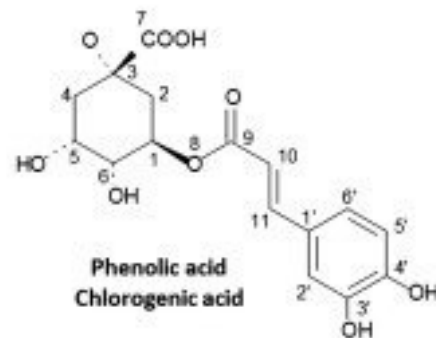
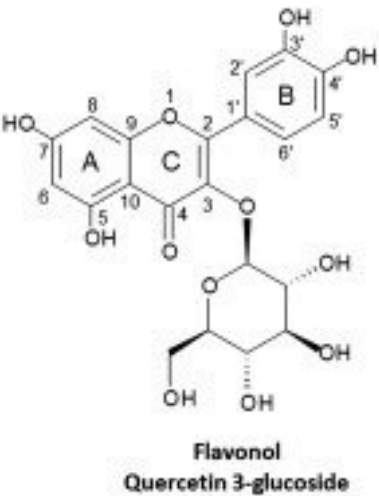
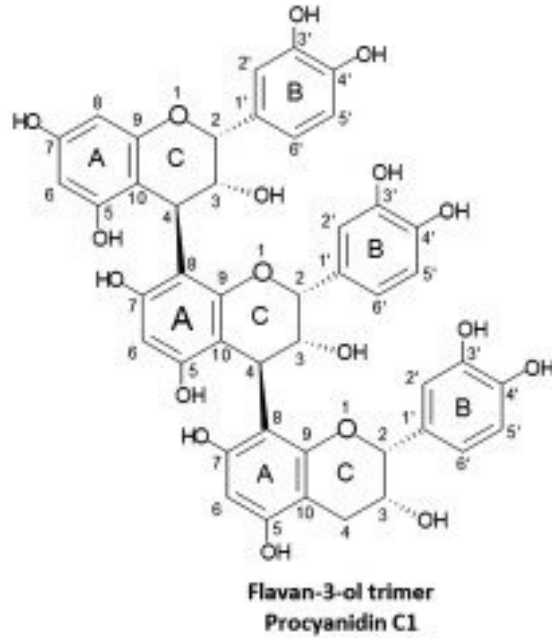
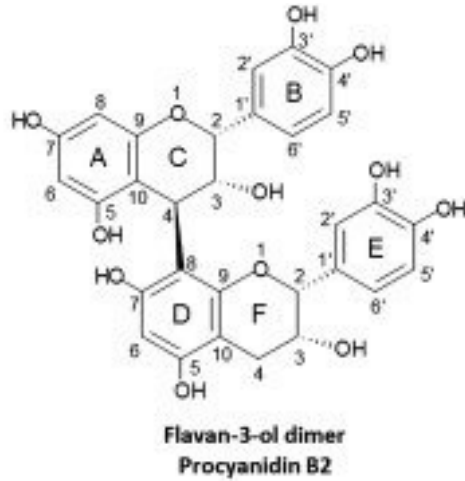
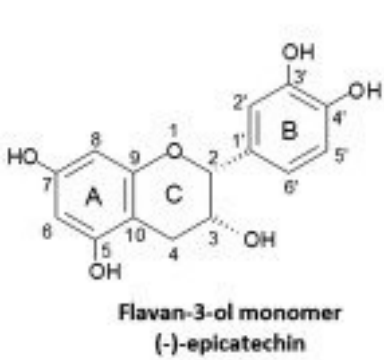


# Gene structure

- Tree graph
  - Agglomerative clustering method



# Molecular structure



# Graph neural network (GNN)

## How Graph Convolutions work

CNN on image

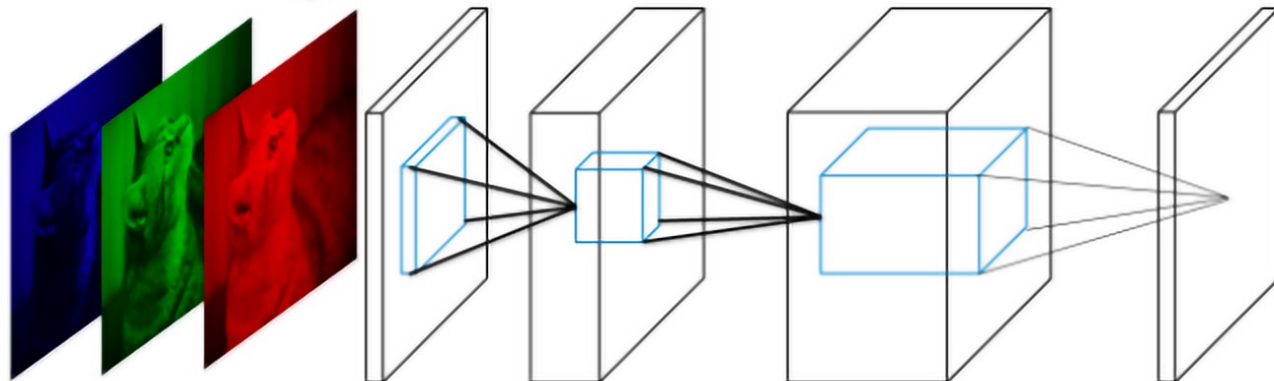
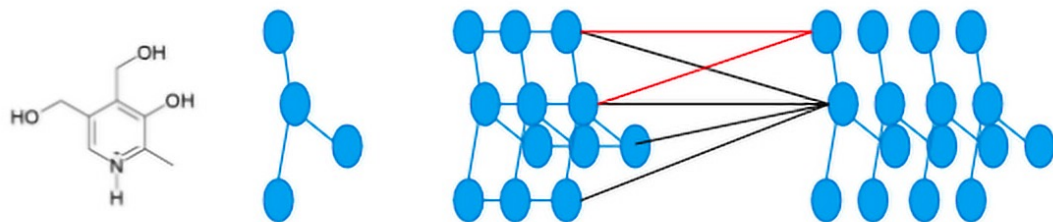


Image  
class label

**Graph convolution**



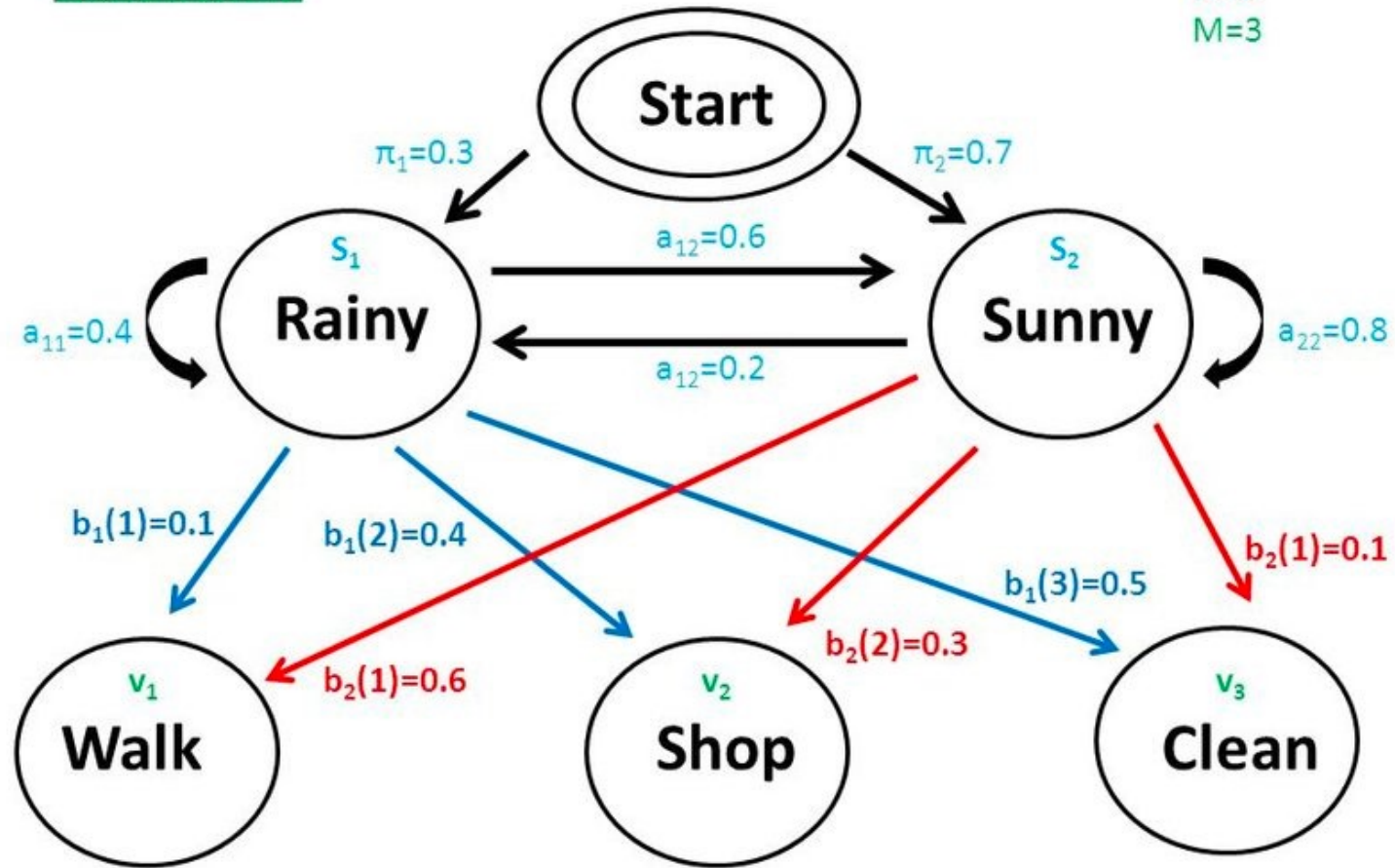
Chemical  
property

Convolution “kernel” depends on Graph structure

# Hidden Markov Model

Example (cont):

$N=2$   
 $M=3$





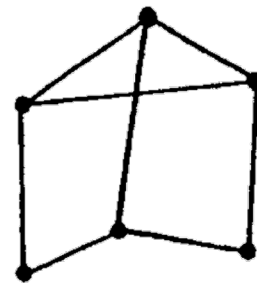
# Basics

# Graphs

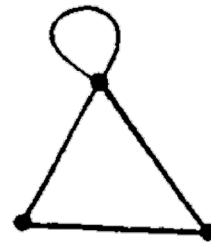
- **Definition** A graph  $G$  is a pair  $(V, E)$ 
  - $V$ : set of vertices
  - $E$ : set of edges
  - $e \in E$  corresponds to a pair of endpoints  $x, y \in V$

We mainly focus on  
**Simple graph:**  
 No loops, no multi-edges

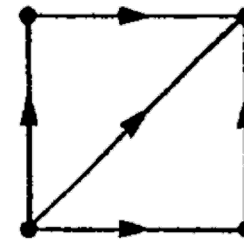
edge	ends
$a$	$x, z$
$b$	$y, w$
$c$	$x, z$
$d$	$z, w$
$e$	$z, w$
$f$	$x, y$
$g$	$z, w$



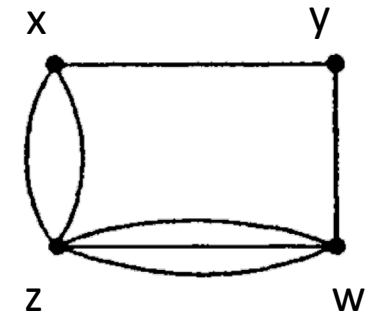
(i) graph



(ii) graph with loop



(iii) digraph



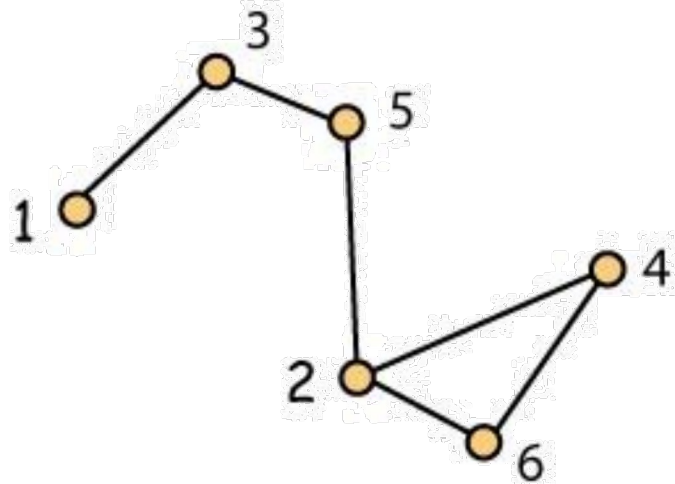
(iv) multiple edges

Figure 1.2

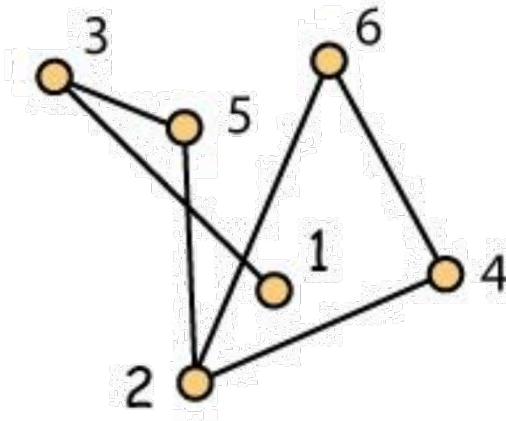
Figure 1.1

# Graphs: All about adjacency

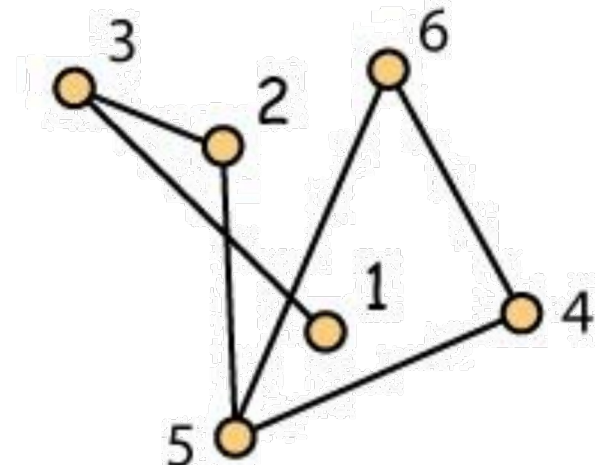
- Same graph or not



(a)



(b)

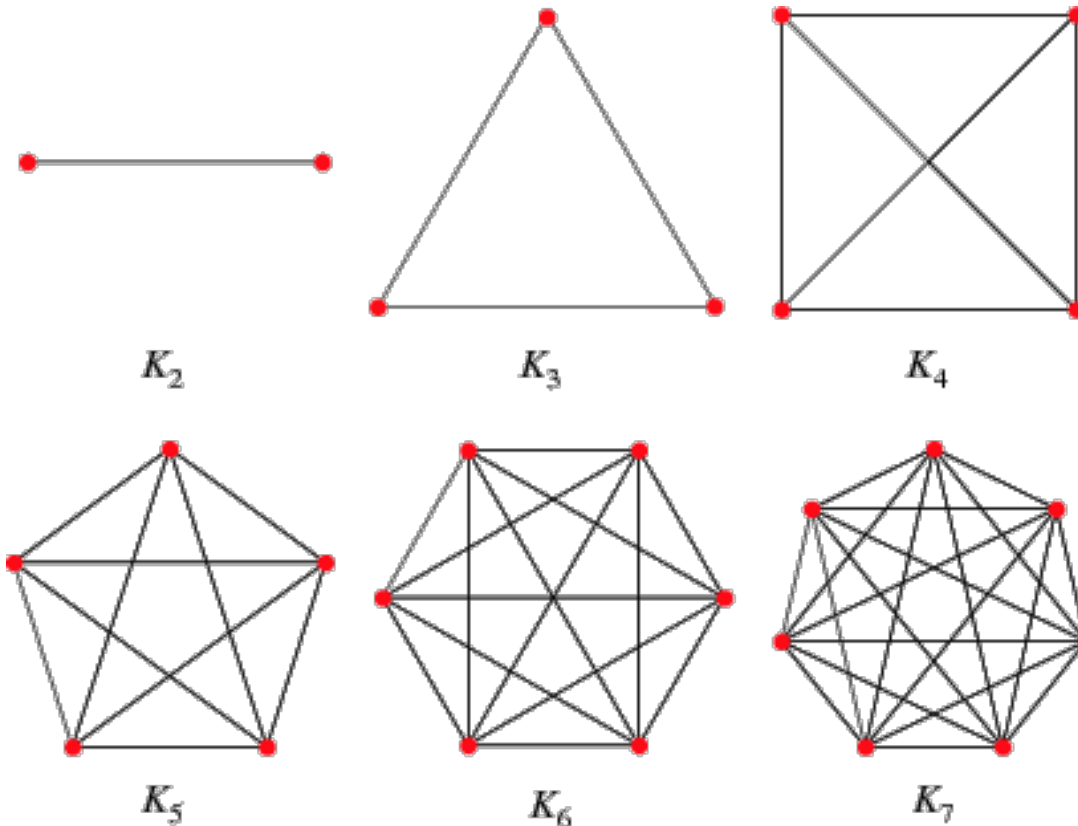


(c)

- Two graphs  $G_1 = (V_1, E_1)$ ,  $G_2 = (V_2, E_2)$  are **isomorphic** if there is a bijection  $f: V_1 \rightarrow V_2$  s.t.  
$$e = \{a, b\} \in E_1 \iff f(e) := \{f(a), f(b)\} \in E_2$$

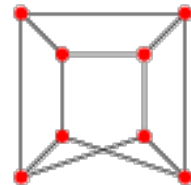
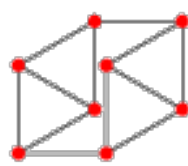
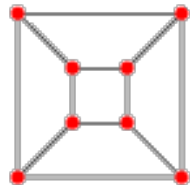
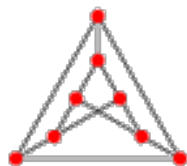
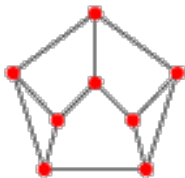
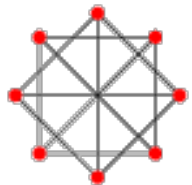
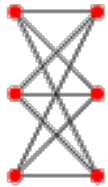
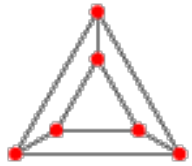
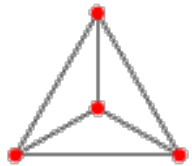
# Example: Complete graphs

- There is an edge between every pair of vertices  $K_n$



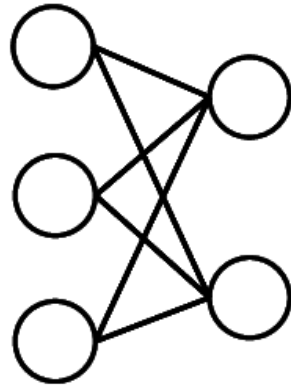
# Example: Regular graphs

- Every vertex has the same degree

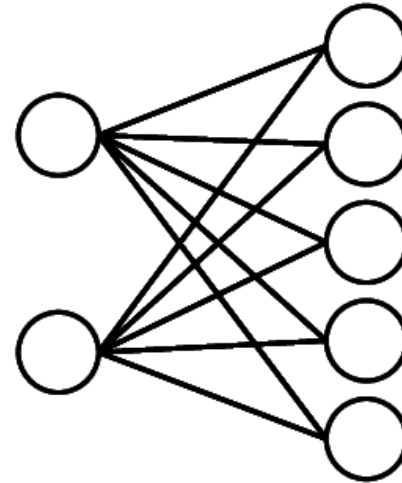



# Example: Bipartite graphs

- The vertex set can be partitioned into two sets  $X$  and  $Y$  such that every edge in  $G$  has one end vertex in  $X$  and the other in  $Y$
- Complete bipartite graphs



$K_{3,2}$



$K_{2,5}$

# Example (1A, L): Peterson graph

- Show that the following two graphs are same/isomorphic

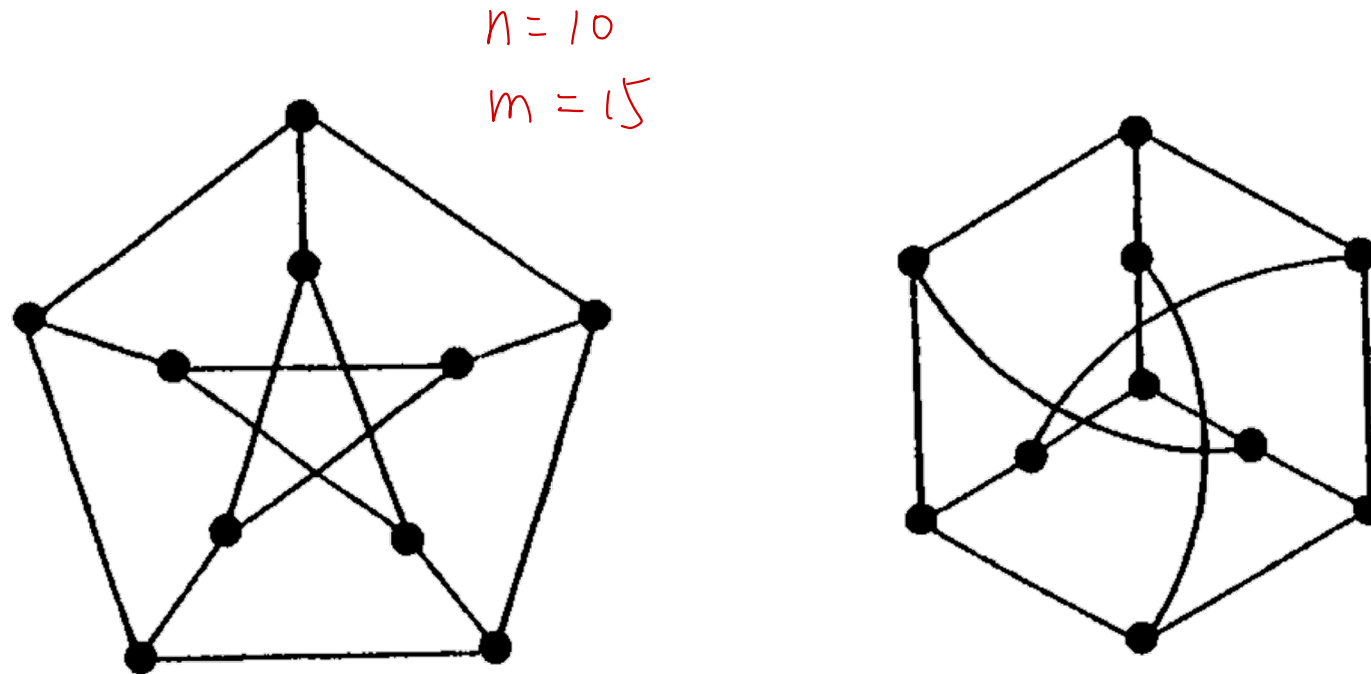
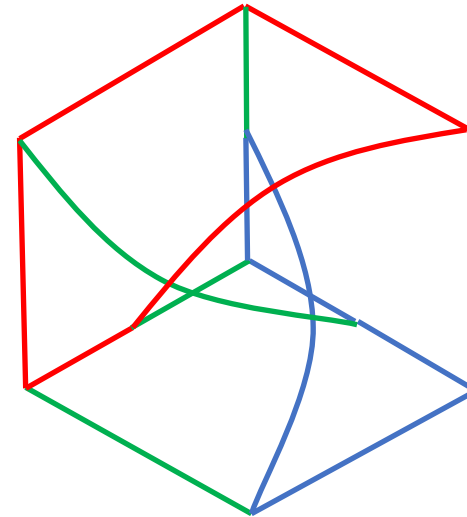
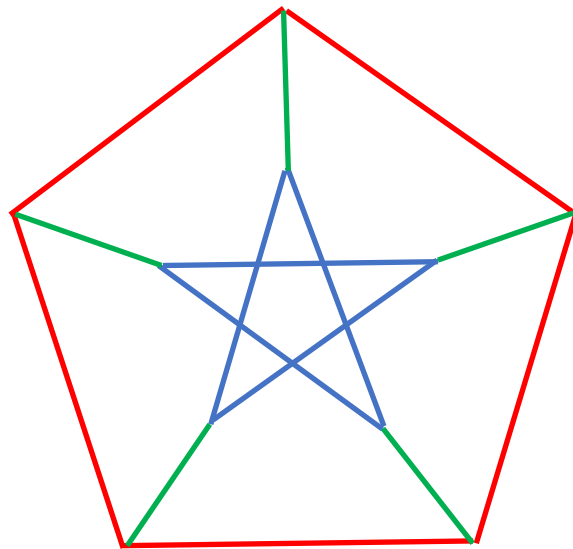


Figure 1.4

# Example: Peterson graph (cont.)

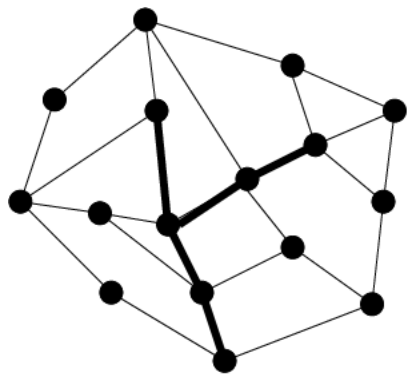
- Show that the following two graphs are same/isomorphic



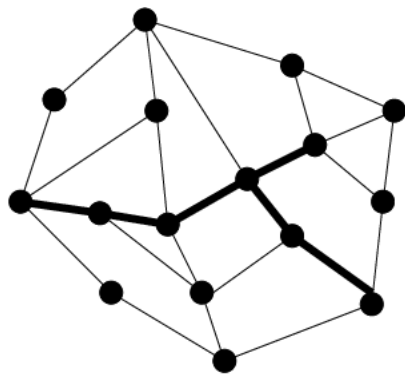


# Subgraphs

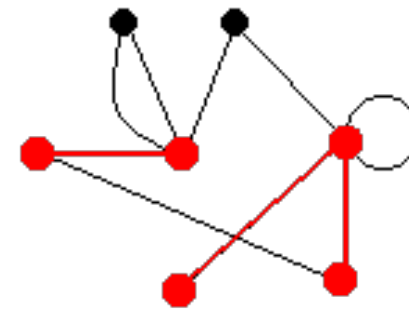
- A **subgraph** of a graph  $G$  is a graph  $H$  such that
$$V(H) \subseteq V(G), E(H) \subseteq E(G)$$
and the ends of an edge  $e \in E(H)$  are the same as its ends in  $G$ 
  - $H$  is a **spanning subgraph** when  $V(H) = V(G)$
  - The subgraph of  $G$  **induced** by a subset  $S \subseteq V(G)$  is the subgraph whose vertex set is  $S$  and whose edges are all the edges of  $G$  with both ends in  $S$



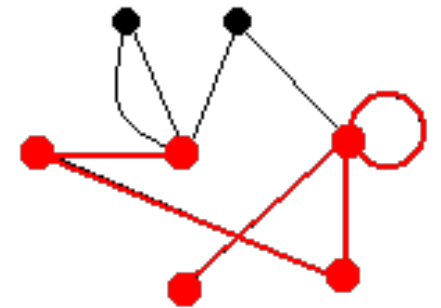
(a)



(b)



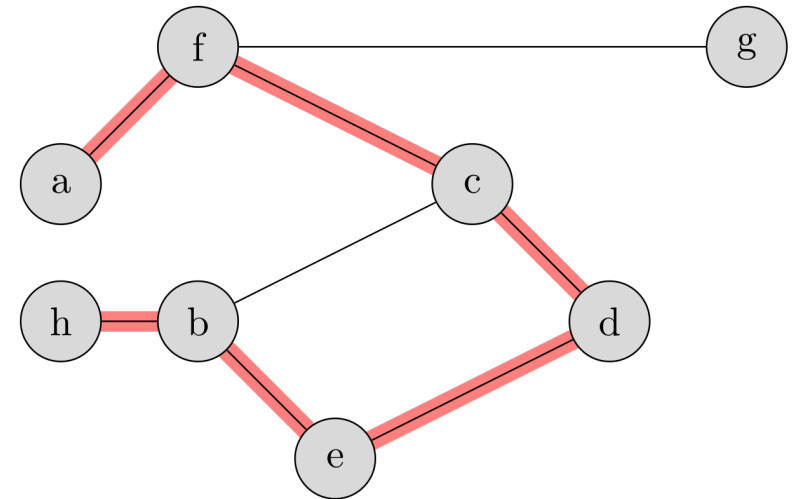
Subgraph (in red)



Induced Subgraph

# Paths (路径)

- A **path** is a non-empty alternating sequence  $v_0e_1v_1e_2 \dots e_kv_k$  where vertices are all **distinct**
  - Or it can be written as  $v_0v_1 \dots v_k$  in simple graphs
- $P^k$ : path of length  $k$  (the number of edges)



# Walk (游走)

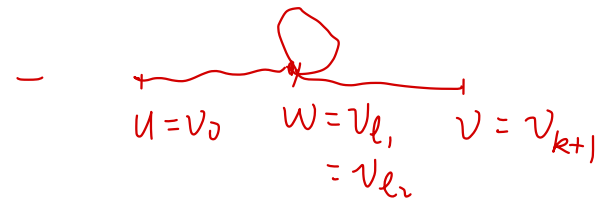
- A **walk** is a non-empty alternating sequence  $v_0 e_1 v_1 e_2 \dots e_k v_k$ 
  - The vertices not necessarily distinct
  - The length = the number of edges
- **Proposition (1.2.5, W)** Every  $u$ - $v$  walk contains a  $u$ - $v$  path

By induction

$k=0$   $u=v$  •

$\leq k$  ✓  $u$ - $v$  walk of  $(k+1)$  length  $W$

- distinct ✓



$u$ - $v$  walk  $W' = \overset{u}{v_0} \cdot v_{l_1} v_{l_2+1} \dots v_{k+1}$  of length  $\leq k$   
 $\Rightarrow \exists u$ - $v$  path  $P \subseteq W' \subseteq W$

# Cycles (环)

By induction.

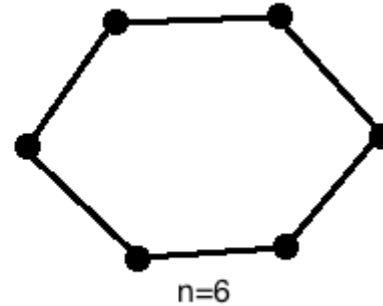
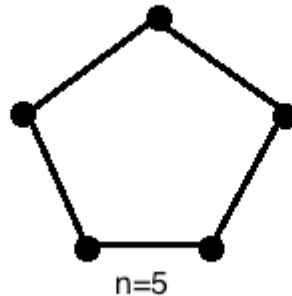
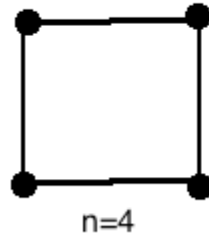
$k=3$  ✓

$\leq k$  closed walk of length  $k+1$  (odd)

- distinct ✓



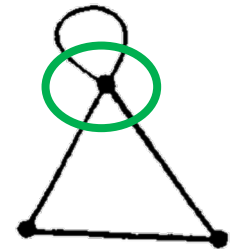
- If  $P = x_0x_1 \dots x_{k-1}$  is a path and  $k \geq 3$ , then the graph  $C := P + x_{k-1}x_0$  is called a **cycle**
- $C^k$ : cycle of length  $k$  (the number of edges/vertices)



- **Proposition** (1.2.15, W) Every closed odd walk contains an odd cycle

# Neighbors and degree

- Two vertices  $a \neq b$  are called **adjacent** if they are joined by an edge
  - $N(x)$ : set of all vertices adjacent to  $x$ 
    - **neighbors** of  $x$
  - A vertex is **isolated** vertex if it has no neighbors
- The number of edges incident with a vertex  $x$  is called the **degree** of  $x$ 
  - A **loop** contributes **2** to the degree

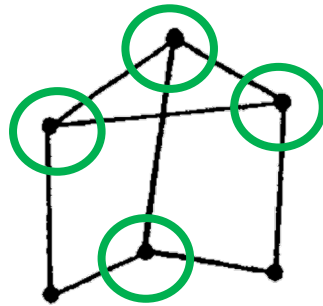


graph with loop

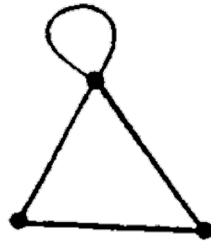
- A graph is **finite** when both  $E(G)$  and  $V(G)$  are finite sets

# Handshaking Theorem (Euler 1736)

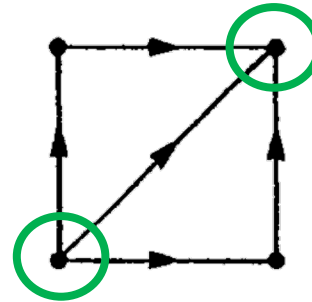
- **Theorem** A finite graph  $G$  has an even number of vertices with odd degree



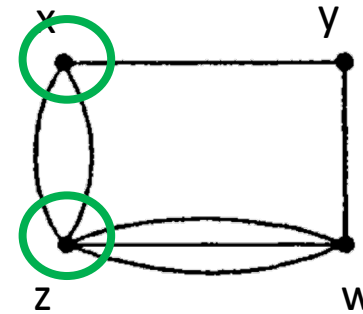
(i) graph



(ii) graph with loop



(iii) digraph



(iv) multiple edges

Figure 1.2

# Proof

- **Theorem** A finite graph  $G$  has an even number of vertices with odd degree.
- **Proof** The degree of  $x$  is the number of times it appears in the right column. Thus

$$\sum_{x \in V(G)} \deg(x) = 2|E(G)|$$

edge	ends
$a$	$x, z$
$b$	$y, w$
$c$	$x, z$
$d$	$z, w$
$e$	$z, w$
$f$	$x, y$
$g$	$z, w$

Figure 1.1

# Degree

- **Minimal** degree of  $G$ :  $\delta(G) = \min\{d(v) : v \in V\}$
- **Maximal** degree of  $G$ :  $\Delta(G) = \max\{d(v) : v \in V\}$
- **Average** degree of  $G$ :  $d(G) = \frac{1}{|V|} \sum_{v \in V} d(v) = \frac{2|E|}{|V|}$

- All measure the 'density' of a graph

- $d(G) \geq \delta(G) \geq ?$





# Degree (global to local)

$$d(G) = \frac{2|E|}{|V|}$$

$$\forall v \in G \quad \frac{|E|}{|V|} < \frac{|E| - d(v)}{|V| - 1} \iff d(v) < \frac{|E|}{|V|} = \frac{1}{2}d(G)$$

$$G = G_0 \supseteq G_1 \supseteq \dots \supseteq \dots$$

•  $G_i$  has vertex  $v_i$  s.t.  $\deg_{G_i}(v_i) \leq \frac{1}{2}d(G_i) \Rightarrow G_{i+1} = G_i \setminus \{v_i\} \Rightarrow d(G_{i+1}) \geq d(G_i)$

•  $G_i$  has no such vertex  $\delta(G_i) > \frac{1}{2}d(G_i) \geq 0$

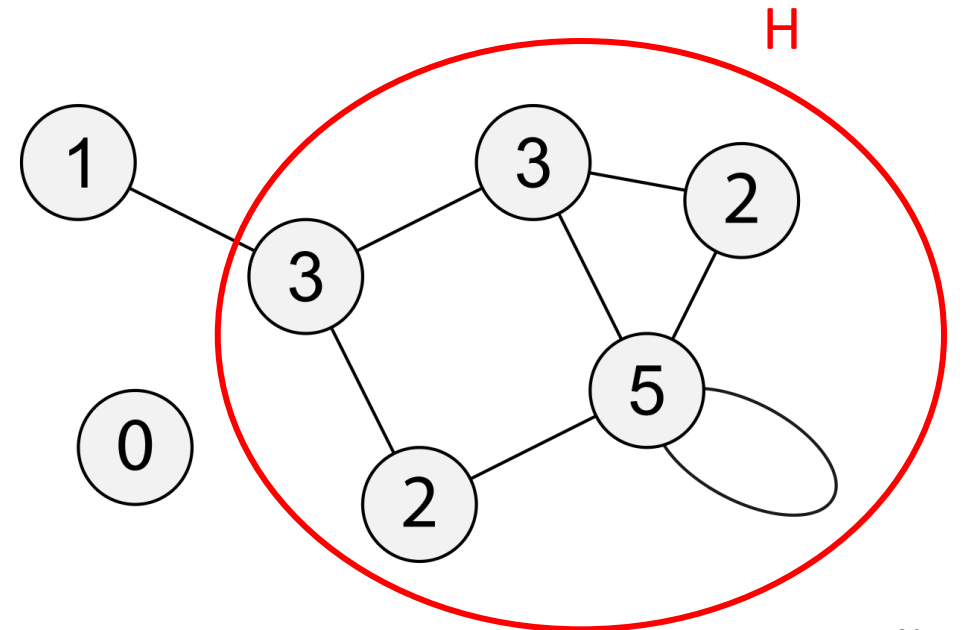
( $K_1$ ,  $d(K_1) = 0$ ,  $H \neq \emptyset$ )

- **Proposition** (1.2.2, D) Every graph  $G$  with at least one edge has a subgraph  $H$  with

$$\delta(H) > \frac{1}{2}d(H) \geq \frac{1}{2}d(G)$$

- Example:  $|G| = 7, d(G) = \frac{16}{7}$

- $\delta(H) = 2, d(H) = \frac{14}{5}$



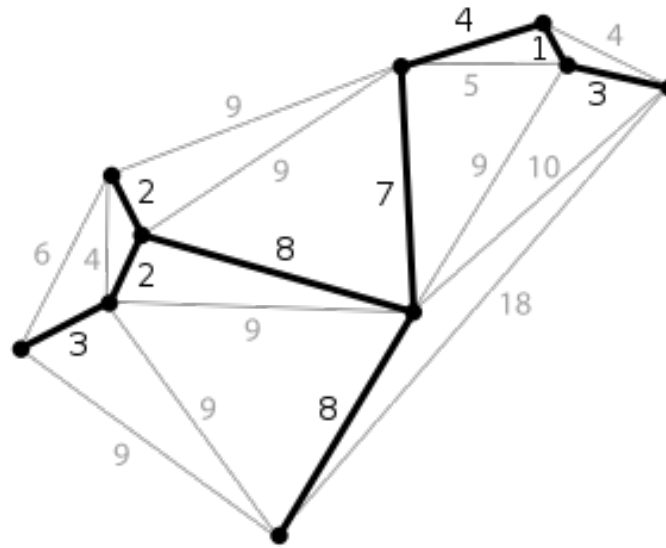
# Minimal degree guarantees long paths and cycles

- **Proposition** (1.3.1, D) Every graph  $G$  contains a path of length  $\delta(G)$  and a cycle of length at least  $\delta(G) + 1$ , provided  $\delta(G) \geq 2$ .

Take the longest path  $P$

$$P = v_0 v_1 \dots v_i \dots v_k \quad \leftarrow w$$

Consider all neighbors of  $v_k$



# Distance and diameter

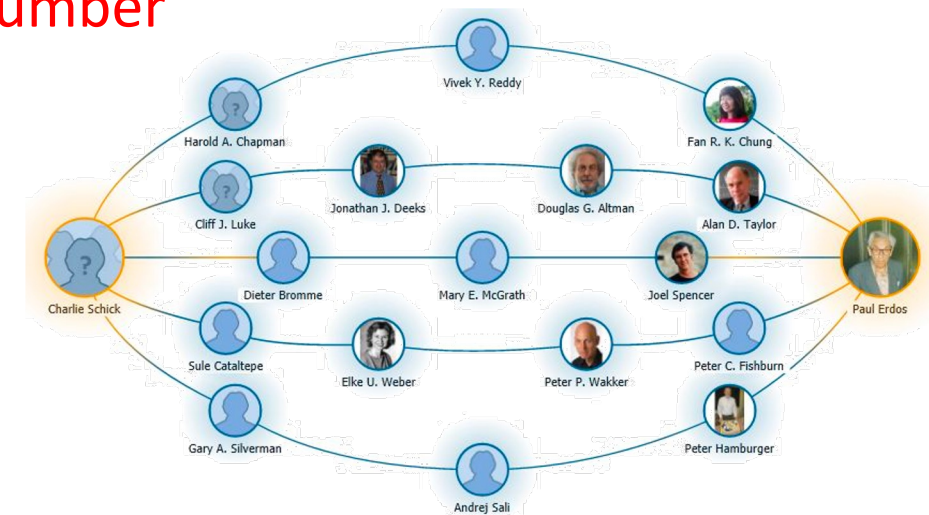
- The **distance**  $d_G(x, y)$  in  $G$  of two vertices  $x, y$  is the length of a shortest  $x \sim y$  path
  - if no such path exists, we set  $d(x, y) := \infty$
- The greatest distance between any two vertices in  $G$  is the **diameter** of  $G$

$$\text{diam}(G) = \max_{x, y \in V} d(x, y)$$

# Example -- Erdős number



- A well-known graph
  - vertices: mathematicians of the world
  - Two vertices are adjacent if and only if they have published a joint paper
  - The distance in this graph from some mathematician to the vertex Paul Erdős is known as his or her **Erdős number**



# Radius and diameter

- A vertex is **central** in  $G$  if its greatest distance from other vertex is smallest, such greatest distance is the **radius** of  $G$

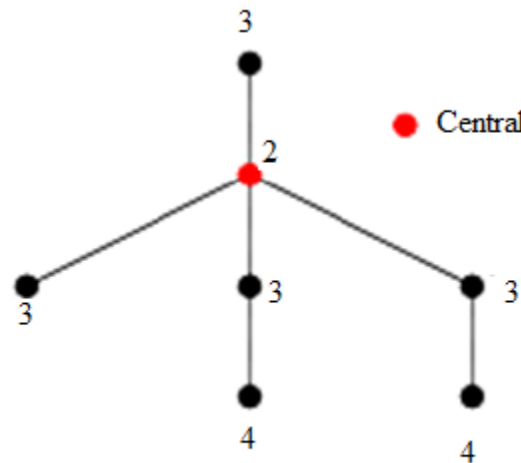
$$\text{rad}(G) := \min_{x \in V} \max_{y \in V} d(x, y)$$

$$\text{diam}(G) = \max_x \max_y d(x, y)$$

- Proposition** (1.4, H; Ex1.6, D)  $\text{rad}(G) \leq \text{diam}(G) \leq 2 \text{rad}(G)$

$$\forall x, y \quad d(x, y) \leq d(x, z) + d(z, y)$$

Take a center  $z \leq 2 \text{rad}(G)$



Radius = 2  
Diameter = 4



# Radius and maximum degree control graph size

- **Proposition (1.3.3, D)** A graph  $G$  with radius at most  $r$  and maximum degree at most  $\Delta \geq 3$  has fewer than  $\frac{\Delta}{\Delta-2} (\Delta - 1)^r$ .

Take  $z$  as the center point

$$D_i := \{x \in G : d(x, z) = i\}$$

$$|D_0| = 1$$

$$G = \bigcup_{i=0}^r D_i$$

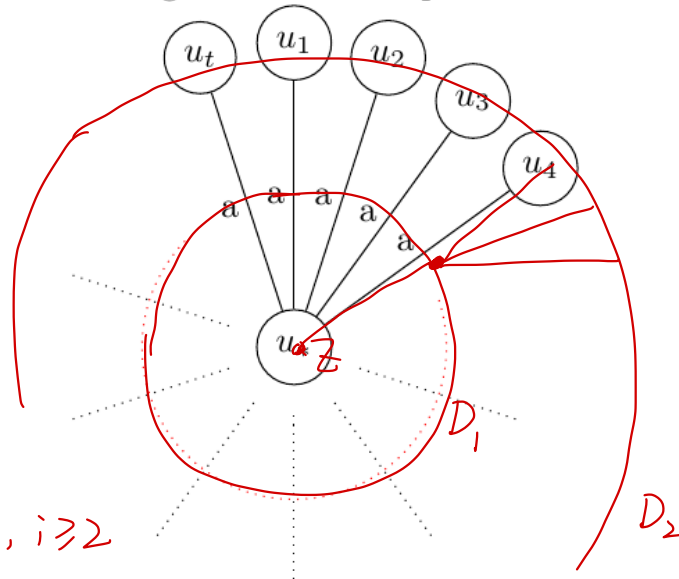
$$|D_1| \leq \Delta$$

$$|D_2| \leq (\Delta-1) \cdot |D_1| \leq \Delta(\Delta-1)$$

$$|D_i| \leq (\Delta-1) |D_{i-1}| \leq (\Delta-1)^{i-1} \Delta, i \geq 2$$

$$|G| \leq 1 + \sum_{i=1}^r \Delta \cdot (\Delta-1)^{i-1} = \dots$$

Figure 1: Star Graph



# Summary

- Motivation and applications
- Basic concepts:
  - graph, isomorphism, subgraphs, paths, walks, cycles,
  - Neighbors, degree, distance, diameter, radius
- Examples:
  - Complete/regular/bipartite graphs, Peterson graph
- Theorems:
  - Handshaking
  - Large average degree guarantees dense subgraphs
  - Large minimal degree guarantees long paths and cycles
  - Radius and maximum degree control graph size

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## Questions?